


UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE		REGULAR MAIL: BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING PO BOX 3020 CHANHASSEN, MN 55317-3020 MESSENGER/OVERNIGHT DELIVERY BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING 18575 LAKE DRIVE EAST CHANHASSEN, MN 55317		PROOF OF CLAIM / REQUEST FOR PAYMENT OF ADMINISTRATIVE EXPENSE	
Name of Debtor: AgFeed Industries Inc.		Case Number: 13-11762		COURT USE ONLY <input type="checkbox"/> Check this box if this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name of Creditor (the person or other entity to whom the debtor owes money or property): MR.XIAOHAI LI					
Name and address where notices should be sent: MR.XIAOHAI LI 29 BRIARGLEN COURT , SCARBOROUGH ONTARIO CANADA M1W 3Z8					
Telephone number: 416-893-0518 email: XIAOHAILI@HOTMAIL.COM				<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach a copy of statement giving particulars.	
Name and address where payment should be sent (if different from above): SAME AS ABOVE					
Telephone number: _____ email: _____					
<div style="display: flex; justify-content: space-between;"> <div> RECEIVED OCT 29 2013 BMC GROUP </div> </div>					
1. Amount of Claim as of Date Case Filed: <u>\$263492.72</u> If all or part of the claim is secured, complete item 4. If all or part of the claim is entitled to priority, complete item 5. If all or part of the claim qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9), complete item 6. <input type="checkbox"/> Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges. 1a. Amount of Administrative Claim (see Definitions) solely with respect to AgFeed USA, LLC or any other Debtor (excluding Debtor AgFeed Industries, Inc.) arising from the period from July 15, 2013, through September 12, 2013: \$ _____ (See instruction #1a)					
2. Basis for Claim: Amount of stock loss owed to me for violations of federal securities law (See instruction #2)					
3. Last four digits of any number by which creditor identifies debtor: _____		3a. Debtor may have scheduled account as: _____ (See instruction #3a)		3b. Uniform Claim Identifier (optional): _____ (See instruction #3b)	
4. Secured Claim (See instruction #4) Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: _____ Value of Property: \$ _____ Annual Interest Rate _____ % <input type="checkbox"/> Fixed or <input type="checkbox"/> Variable (when case was filed) Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount of Unsecured: \$ _____					
5. Amount of Claim Entitled to Priority under 11 U.S.C. § 507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.					
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <input type="checkbox"/> Domestic support obligations under 11 U.S.C. § 507 (a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. § 507 (a)(7). </div> <div style="width: 30%;"> <input type="checkbox"/> Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier – 11 U.S.C. § 507 (a)(4). <input type="checkbox"/> Taxes or penalties owed to governmental units – 11 U.S.C. § 507 (a)(8). </div> <div style="width: 30%;"> <input type="checkbox"/> Contributions to an employee benefit plan – 11 U.S.C. § 507 (a)(5). <input checked="" type="checkbox"/> Other – Specify applicable paragraph of 11 U.S.C. § 507 (a)() </div> </div> <div style="text-align: right; margin-top: 10px;"> Amount entitled to priority: \$ _____  00128 </div>					
*Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjus.					
6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9): _____ (See instruction #6)					

7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim (See instruction #7)

8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #7, and the definition of "redacted".)

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

9. Signature: (See instruction #9)

Check the appropriate box.

☒ I am the creditor.

☐ I am the creditor's authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent.
(See Bankruptcy Rule 3004.)

☐ I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to best of my knowledge, information, and reasonable belief.

Print Name: MR.XIAOHAI LI

Title: _____

Company: _____

Address and telephone number (if different from notice address above):

SAME AS ABOVE

(Signature)

OCT. 25TH, 2013

(Date)

Telephone number: _____

email: _____

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, exceptions to these general rules may apply.

Items to be completed in Proof of Claim form

Court, Name of Debtor, and Case Number:

Fill in the debtor's full name, and the bankruptcy case number. If the creditor received a notice of the case from the bankruptcy court, all of this information is at the top of the notice.

AgFeed USA, LLC	13-11761
AgFeed Industries, Inc.	13-11762
Genetics Land, LLC	13-11776
Genetics Operating, LLC	13-11769
Heritage Farms, LLC	13-11767
Heritage Land, LLC	13-11768
M2P2 AF JV, LLC	13-11774
M2P2 Facilities, LLC	13-11770
M2P2 General Operations, LLC	13-11772
MGM, LLC	13-11771
Midwest Finishing, LLC	13-11775
New Colony Farms, LLC	13-11766
New Colony Land Company, LLC	13-11773
New York Finishing, LLC	13-11764
Pork Technologies, LC	13-11765
TS Finishing, LLC	13-11763

If your claim is against multiple Debtors, complete a separate form for each Debtor.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP 2002(g)).

1. Amount of Claim as of Date Case Filed:

State the total amount owed to the creditor on the date of the bankruptcy filing. Follow the instructions concerning whether to complete items 4, 5, and 6. Check the box if interest or other charges are included in the claim.

1a. Amount of Administrative Claim arising after Date Case Filed:

State the total amount owed pursuant to section 503(b), *excluding* any amounts owed under section 503(b)(9), on account of claims arising from the period

3b. Uniform Claim Identifier:

If you use a uniform claim identifier, you may report it here. A uniform claim identifier is an optical 24-character identifier that certain large creditors use to facilitate electronic payment in chapter 13 cases.

4. Secured Claim:

Check whether the claim is fully or partially secured. Skip this section if the Claim is entirely unsecured. (See Definitions.) If the claim is secured, check the box for the nature and value of property that secures the claim, attach copies of lien documentation, and state, as of the date of the bankruptcy filing, the annual interest rate (and whether it is fixed or variable), and the amount past due on the claim.

5. Amount of Claim Entitled to Priority Under 11 U.S.C. § 507(a):

If any portion of the claim falls into any category shown, check the appropriate box(es) and state the amount entitled to priority. (See Definitions.) A claim may be partly priority and partly non-priority. For example, in some of the categories, the law limits the amount entitled to priority.

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9):

State the value of any goods received by the debtor within 20 days before the date of commencement in which the goods have been sold to the debtor in the ordinary course of the debtor's business.

7. Credits:

An authorized signature on this proof of claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

8. Documents:

Attach redacted copies of any documents that show the debt exists and a lien secures the debt. You must also attach copies of documents that evidence perfection of any security interest and documents required by FRBP 3001(c) for claims based on an open-end or revolving consumer credit agreement or secured by a security interest in the debtor's principal residence. You may also attach a summary in addition to the documents themselves. FRBP 3001(c) and (d). If the claim is based on delivering health care goods or services, limit disclosing confidential health care information. Do not send original documents, as attachments may be destroyed after scanning.

9. Date and Signature:

The individual completing this proof of claim must sign and date it. FRBP 9011. If the claim is filed electronically, FRBP 5005(a)(2) authorizes courts to establish local rules

from July 15, 2013, through the September 12, 2013 (the "Closing Date"), solely with respect to AgFeed USA, LLC and any other Debtor (excluding Debtor AgFeed Industries, Inc.).

2. Basis for Claim:

State the type of debt or how it was incurred. Examples include goods sold, money loaned, services provided, personal injury/wrongful death, car loan, mortgage note, and credit card. If the claim is based on delivering health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information. You may be required to provide additional disclosure if any interested party objects to the claim.

3. Last Four Digits of Any Number by Which Creditor Identifies Debtor:

State only the last four digits of the debtor's account or other number used by the creditor to identify the debtor

3a. Debtor May Have Scheduled Account As:

Report a change in the creditor's name, a transferred claim, or any other information that clarifies a difference between this proof of claim and the claim as scheduled by the debtor.

specifying what constitutes a signature. If you sign this form, you declare under penalty of perjury that the information provided is true and correct to the best of your knowledge, information, and reasonable belief. Your signature is also certification that the claim meets the requirements of FRBP 9011(b). Whether the claim is filed electronically or in person, if your name is on the signature line, you are responsible for the declaration. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. If the claim is filed by an authorized agent, provide both the name of the individual filing the claim and the name of the agent. If the authorized agent is a servicer, identify the corporate servicer as the company. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is a person, corporation, or other entity to whom debtor owes a debt that was incurred before the date of the bankruptcy filing. See 11 U.S.C. § 101(10).

Claim

A claim is the creditor's right to receive payment for a debt owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. § 101(5). A claim may be secured or unsecured.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Debtors' claims agent, BMC Group, Inc., at the applicable following address:

REGULAR MAIL:

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
PO BOX 3020
CHANHASSEN, MN 55317-3020

MESSENGER/OVERNIGHT DELIVERY

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
18675 LAKE DRIVE EAST
CHANHASSEN, MN 55317

Secured Claim Under 11 U.S.C. § 506(a)

A secured claim is one backed by a lien on property of the debtor. The claim is secured so long as the creditor has the right to be paid from the property prior to other creditors. The amount of the secured claim cannot exceed the value of the property. Any amount owed to the creditor in excess of the value of the property is an unsecured claim.

Examples of liens on property include a mortgage on real estate or a security's interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court judgment is a lien. A claim also may be secured if the creditor owes the debtor money (has a right to setoff).

Unsecured Claim

An unsecured claim is one that does not meet the requirements of a secured claim. A claim may be partly unsecured if the amount of the claim exceeds the value of the property on which the creditor has a lien.

Claim Entitled to Priority Under 11 U.S.C. § 507(a)

Priority claims are certain categories of unsecured claims that are paid from the available money or property in a bankruptcy case before other unsecured claims.

Administrative Claim

An administrative claim is a claim of the type described in sections 503 and 507 of title 11 of the United States Code. Among other things, these sections provide that certain types of claims are entitled to administrative expense priority, including, without limitation: (i) the action, necessary costs and expenses of preserving the estate, including wages, salaries, or commissions for services rendered after the commencement of the bankruptcy case; (ii) certain taxes and penalties related thereto; (iii) compensation and reimbursement of certain officers; (iv) the actual, necessary expenses incurred by (a) certain creditors, (b) a creditor, an indenture trustee, an equity security holder, or a committee representing any such entities, in making a substantial contribution to a debtor's chapter 11 case, (c) a custodian, and (v) compensation for services rendered by an indenture trustee. Claims alleged to be entitled to an administrative expense pursuant to 11 U.S.C. § 503(b)(9) should be listed in item 6 of the form, but not in item 1a.

Administrative Claim Under 11 U.S.C. § 503(b)(9)

Claims that are based upon facts or circumstances arising or occurring after the date of the Bankruptcy filing and that qualify as an administrative expense under section 503(b)(9) (excluding 503(b)(9) of the Bankruptcy Code).

Redacted

A document has been redacted when the person filing it has masked, edited out, or otherwise deleted, certain information. A creditor must show only the last four digits of any social-security, individual's tax-identification, or financial-account number, only the initials of a minor's name, and only the year of any person's date of birth. If the claim is based on the delivery of health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information.

Evidence of Perfection

Evidence of perfection may include a mortgage, lien, certificate of title, financing statement, or other document showing that the lien has been filed or recorded.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim or you may access the court's PACER system (www.pacer.psc.uscourts.gov) for a small fee to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

TOTAL AGFEED INDUSTRIES INC. STOCK LOSS CACULATION FOR XIAOHAI LI ONLY

BROKER NAME	ACCOUN NO:	LOSS AMOUNT
LOSS FROM TD WATERHOUSE	81FJ31USD	235999.57
LOSS FROM HSBC INVETDIRECT WATERHOUSE	xhl-sdrsp	20635.35
LOSS FROM HSBC INVETDIRECT WATERHOUSE	6YA1C0	6857.8
TOTAL AGFEED INDUSTRIES INC. STOCK LOSS FOR XIAOHAI LI ONLY	=	263492.72



InvestDirect

Holdings

Balances As Of: 2013-10-25	Account: 6YA1C0 - RESP	Currency: CAD	
Cash	Stocks	Mutual Funds	Total Equity
-466.15 CAD	781.73 CAD	397.11 CAD	712.69 CAD

Holdings As Of: 2013-10-25								
Symbol/Description	Quantity	Currency	Price	Market Value	Weight (%)	Avg. Unit Cost	Book Value	Unrealized Gain/Loss (\$)/%
Cash		CAD		-466.15	0 %		-466.15	
<input type="checkbox"/> Stocks		CAD		781.73	66 %		7,665.48	-6,883.75 (-90 %)
AGFEED INDUSTRIES INC	2,750	CAD	0.28	781.73	66 %	2.79	7,665.48	-6,883.75 (-90 %)
<input type="checkbox"/> Mutual Funds		CAD		397.11	34 %		389.09	8.02 (2 %)
HSBC MON MKT US /N'FRAC	37.997	CAD	10.45	397.11	34 %	10.24	389.09	8.02 (2 %)
Total Portfolio in CAD				712.69			7,588.42	-6,875.73 (-91 %)
Total Portfolio in USD (CAD = 0.9566 USD)				681.76			7,259.08	-6,577.32 (-91 %)

Balances & Holdings shown reflect previous day's closing BID price. Equities, when paired with an option, reflect previous day's CLOSE price. Options reflect previous day's closing ASK price. All margin amounts reflect the margin as at previous day's close of business.

Non-Canadian dollar denominated holdings are displayed in the currency of the account in which they are held. The amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

The data presented is for informational purposes only. Although we endeavour to keep this information accurate, it does not replace the official confirmations of transactions and statements of accounts that we send you, which are the only documents upon which you may rely for your account information.

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History

Date From Date To

2010-01-01 2013-10-24

6YA1C0 - RESP

Transaction Date	Settlement Date	Quantity	Description	Transaction Type	Price	Comm/Fees	Net Amount
20120702	20120702	0.000	2012 ADMINISTRATION FEE				-50.00
20120702	20120702	0.000	HST CHARGED FOR ON	HST			-6.50
20110627	20110627	0.000	2011 ADMINISTRATION FEE				-50.00
20110627	20110627	0.000	HST CHARGED FOR ON	HST			-6.50
20110601	20110531	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20110601	20110531	0.000	VALUE = 0.01				0.00
20110502	20110429	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20110502	20110429	0.000	VALUE = 0.01				0.00
20110401	20110331	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20110401	20110331	0.000	VALUE = 0.01				0.00
20110201	20110131	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20110201	20110131	0.000	VALUE = 0.01				0.00
20110104	20101231	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20110104	20101231	0.000	VALUE = 0.01				0.00
20101201	20101130	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20101201	20101130	0.000	VALUE = 0.01				0.00
20101101	20101029	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20101101	20101029	0.000	VALUE = 0.01				0.00
20101001	20100930	0.001	HSBC MON MKT U\$ /N'FRAC	DRIP			0.00
20101001	20100930	0.000	VALUE = 0.01				0.00
20100806	20100806	37.989	HSBC MON MKT U\$ /N'FRAC	BUY	10.0000	0	-389.01
20100803	20100806	2,750.000	AGFEED INDUSTRIES INC	BUY	2.7196	6.98	-7,665.48
20100803	20100806	16,000.000	CEL-SCI CORP	SELL	0.4920	6.98	8,054.49
20100628	20100628	0.000	2010 ADMINISTRATION FEE				-50.00
20100628	20100628	0.000	GST CHARGED	GST			-2.50

20100602	20100602	22.462	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	, 238.43
20100527	20100602	16,000.000	CEL-SCI CORP	BUY	0.5231	6.98	-8,891.74
20100527	20100602	2,280.000	AGFEED INDUSTRIES INC	SELL	3.4317	6.98	8,297.79
20100512	20100512	8.467	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	87.92
20100507	20100512	2,280.000	AGFEED INDUSTRIES INC	BUY	3.5399	6.98	-8,388.22
20100507	20100512	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0001	6.98	8,300.30
20100315	20100315	30.522	HSBC MON MKT U\$ /N'FRAC	BUY	10.0000	0	-313.34
20100312	20100312	15.389	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	158.14
20100310	20100315	16,200.000	CEL-SCI CORP	SELL	0.6983	6.98	11,606.58
20100310	20100315	4,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7484	6.98	-11,293.24
20100309	20100312	1,950.000	MBIA INC	SELL	5.3900	6.98	10,793.38
20100309	20100312	16,200.000	CEL-SCI CORP	BUY	0.6570	13.96	-10,951.52
20100210	20100210	15.796	HSBC MON MKT U\$ /N'FRAC	BUY	10.0000	0	-168.24
20100205	20100210	697.000	FIDELITY NATL FINANCIAL-A	SELL	13.3419	8.98	9,895.08
20100205	20100210	1,950.000	MBIA INC	BUY	4.6786	8.98	-9,726.83
20100203	20100208	480.000	FIDELITY NATL FINANCIAL-A	BUY	13.0181	9.98	-6,634.88
20100203	20100208	1,200.000	MBIA INC	SELL	5.2716	9.98	6,695.47
20100128	20100202	37.000	FIDELITY NATL FINANCIAL-A	BUY	13.0600	9.98	-532.16
20100127	20100129	0.000	00401 RESP	CESG			500.00
20100127	20100129	0.000	01JENNIFER JUANYUE				0.00
20100108	20100113	180.000	FIDELITY NATL FINANCIAL-A	BUY	13.1881	9.98	-2,494.69

All transaction prices and commissions are displayed in the currency of the holding. Net Settlement amounts are displayed in the currency of the account in which they are held.

For Non-Canadian dollar denominated holdings the amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

The data presented is for informational purposes only. Although we endeavour to keep this information accurate, it does not replace the official confirmations of transactions and statements of accounts that we send you, which are the only documents upon which you may rely for your account information.



InvestDirect

Holdings

Balances As Of: 2013-10-25	Account: xhl - SDRSP	Currency: CAD	
Cash	Stocks	Mutual Funds	Total Equity
0.00 CAD	2,473.12 CAD	151.74 CAD	2,624.86 CAD

Holdings As Of: 2013-10-25

Symbol/Description	Quantity	Currency	Price	Market Value	Weight (%)	Avg. Unit Cost	Book Value	Unrealized Gain/Loss (\$)/%
Cash		CAD		0.00	0 %		0.00	
<input type="checkbox"/> Stocks		CAD		2,473.12	94 %		23,231.60	-20,758.48 (-89 %)
AGFEED INDUSTRIES INC	8,700	CAD	0.28	2,473.12	94 %	2.67	23,231.60	-20,758.48 (-89 %)
<input type="checkbox"/> Mutual Funds		CAD		151.74	6 %		149.05	2.69 (2 %)
HSBC MON MKT US /N'FRAC	14.519	CAD	10.45	151.74	6 %	10.27	149.05	2.69 (2 %)
Total Portfolio in CAD				2,624.86			23,380.65	-20,755.79 (-89 %)
Total Portfolio in USD (CAD = 0.9566 USD)				2,510.94			22,365.93	-19,854.99 (-89 %)

Balances & Holdings shown reflect previous day's closing BID price. Equities, when paired with an option, reflect previous day's CLOSE price. Options reflect previous day's closing ASK price. All margin amounts reflect the margin as at previous day's close of business.

Non-Canadian dollar denominated holdings are displayed in the currency of the account in which they are held. The amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

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InvestDir :

History

Date From Date To

2010-01-01 2013-10-24

xhl - SDRSP

Transaction Date	Settlement Date	Quantity	Description	Transaction Type	Price	Comm/Fees	Net Amount
20130530	20130530	0.000		CONT			68.30
20120702	20120702	0.000	2012 ADMINISTRATION FEE	FEE			-50.00
20120702	20120702	0.000	HST CHARGED FOR ON	HST			-6.50
20120525	20120531	400.000	AGFEED INDUSTRIES INC	BUY	0.2400	9.88	-110.49
20100803	20100806	46,000.000	CEL-SCI CORP	SELL	0.4912	6.98	23,132.22
20100803	20100806	8,300.000	AGFEED INDUSTRIES INC	BUY	2.7195	6.98	-23,121.11
20100602	20100602	19.616	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	207.25
20100527	20100602	7,050.000	AGFEED INDUSTRIES INC	SELL	3.4074	6.98	25,371.86
20100527	20100602	46,000.000	CEL-SCI CORP	BUY	0.5262	6.98	-25,579.11
20100507	20100512	6,400.000	AGFEED INDUSTRIES INC	BUY	3.5194	6.98	-23,396.50
20100507	20100512	11,300.000	OCULUS INNOVATIVE SCI INC	SELL	2.0000	6.98	23,460.41
20100315	20100315	34.135	HSBC MON MKT U\$ /N'FRAC	BUY	10.0000	0	-350.43
20100312	20100312	5.463	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	56.41
20100311	20100316	537.000	MBIA INC	SELL	5.9012	6.98	3,191.32
20100311	20100316	650.000	AGFEED INDUSTRIES INC	BUY	4.8281	6.98	-3,262.25
20100310	20100315	20,000.000	CEL-SCI CORP	SELL	0.6980	6.98	14,324.13
20100310	20100315	11,300.000	OCULUS INNOVATIVE SCI INC	BUY	2.7439	6.98	-31,838.43
20100310	20100315	25,000.000	CEL-SCI CORP	SELL	0.6966	13.96	17,864.73
20100309	20100312	15,000.000	CEL-SCI CORP	BUY	0.6595	6.98	-10,221.87
20100309	20100312	10,000.000	CEL-SCI CORP	BUY	0.6612	6.98	-6,834.68
20100309	20100312	5,463.000	MBIA INC	SELL	5.4300	6.98	30,623.54
20100309	20100312	10,000.000	CEL-SCI CORP	BUY	0.6699	6.98	-6,924.95
20100309	20100312	10,000.000	CEL-SCI CORP	BUY	0.6552	6.98	-6,773.31
20100210	20100210	11.154	HSBC MON MKT U\$ /N'FRAC	SELL	10.0000	0	118.80
20100208	20100208	16.617	HSBC MON MKT U\$ /N'FRAC	BUY	10.0000	0	-176.16
20100205	20100205	0.000.000	MBIA INC	BUY	4.0000	0.00	22,074.04

13-10-24

Untitled Page

20100205	20100210	2,100.000	FIDELITY NATL FINANCIAL-A	SELL	13.3512	8.98	29,853.04
20100203	20100208	2,100.000	FIDELITY NATL FINANCIAL-A	BUY	12.9888	9.98	-28,926.72
20100203	20100208	5,200.000	MBIA INC	SELL	5.2814	9.98	29,102.88

All transaction prices and commissions are displayed in the currency of the holding. Net Settlement amounts are displayed in the currency of the account in which they are held.

For Non-Canadian dollar denominated holdings, the amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

The data presented is for informational purposes only. Although we endeavour to keep this information accurate, it does not replace the official confirmations of transactions and statements of accounts that we send you, which are the only documents upon which you may rely for your account information.

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AGFEED INDUSTRIES INC. STOCK LOSS FROM TD WATERHOUSE TAX SLIP

TD WATERHOUSE TAX SLIP		buy(shares)	cost(us \$)	sell (shares).	proceeds(us\$)	
Tax-Document_81FJ31USD_Investment-Income-Trading-Summary_2010		91245	253309.8	41245	128434.25	
Tax-Document_81FJ31USD_Investment-Income-Trading-Summary_2011		187700	352927.57	127100	209729.55	
Tax-Document_81FJ31USD_Investment-Income-Trading-Summary_2012		0	0	0	0	
total shares=		278945	-	168345	=	110600
total cost=		606237.37	-	338163.8	=	268073.6
price per share =		2.4238117				
loss per share =		2.4238117	-	0.29	=	2.133812
loss total =		110600	X	2.133811664	=	235999.6



Waterhouse

TRADING SUMMARY - 2010
T5008 / RL18

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YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUN 02		100	AGFEED INDUSTRIES INC	3.460		22,297.63	195.00
JUN 02		3700	AGFEED INDUSTRIES INC	3.460			
JUN 02		2200	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		400	AGFEED INDUSTRIES INC	3.460			
JUN 02		200	AGFEED INDUSTRIES INC	3.460		3,086.94	27.00
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		400	AGFEED INDUSTRIES INC	3.460			
JUN 02		200	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460		1,701.06	29.00
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460		7,559.87	52.00
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		800	AGFEED INDUSTRIES INC	3.460			
JUN 02		1100	AGFEED INDUSTRIES INC	3.460			
JUN 02		300	AGFEED INDUSTRIES INC	3.460		2,400.95	21.00
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460		4,458.92	39.00
JUN 02		900	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460			
JUN 02		100	AGFEED INDUSTRIES INC	3.460		7,888.86	69.00
JUN 02		300	AGFEED INDUSTRIES INC	3.460			
JUN 02		1200	AGFEED INDUSTRIES INC	3.460			
JUN 02		700	AGFEED INDUSTRIES INC	3.460			
JUN 09	100		AGFEED INDUSTRIES INC	3.129	14,405.72		9.99
JUN 09	200		AGFEED INDUSTRIES INC	3.129			
JUN 09	4100		AGFEED INDUSTRIES INC	3.129			
JUN 09	100		AGFEED INDUSTRIES INC	3.129			
JUN 09	100		AGFEED INDUSTRIES INC	3.129			
JUN 09	100		AGFEED INDUSTRIES INC	3.130	5,633.46		
JUN 09	200		AGFEED INDUSTRIES INC	3.129			
JUN 09	600		AGFEED INDUSTRIES INC	3.129			
JUN 09	600		AGFEED INDUSTRIES INC	3.129			
JUN 09	300		AGFEED INDUSTRIES INC	3.130			

T5008/RL-18. SECURITIES TRANSACTIONS ARE DISCLOSED TO THE CANADA REVENUE AGENCY AND REVENU QUEBEC (RESIDENT OF QUEBEC ONLY) ON A YEARLY BASIS. THESE TRANSACTIONS ARE TO BE REPORTED ON YOUR ANNUAL RETURN OF INCOME. PLEASE RETAIN FOR INCOME TAX PURPOSES, AS NO OTHER FORM WILL BE ISSUED. RQ-FS-04-18-001 RC-04-1068. AVERAGE US\$ RATE FOR 2010 CRA=1.02993904



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TRADING SUMMARY - 2010

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YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUN 09	1700		AGFEED INDUSTRIES INC	3.129	5,320.83		
JUN 09	100		AGFEED INDUSTRIES INC	3.119	9,680.54		9.99
JUN 09	2600		AGFEED INDUSTRIES INC	3.119			
JUN 09	100		AGFEED INDUSTRIES INC	3.119			
JUN 09	100		AGFEED INDUSTRIES INC	3.119			
JUN 09	200		AGFEED INDUSTRIES INC	3.119			
JUN 09	100		AGFEED INDUSTRIES INC	3.120	3,120.00		
JUN 09	200		AGFEED INDUSTRIES INC	3.120			
JUN 09	200		AGFEED INDUSTRIES INC	3.120			
JUN 09	200		AGFEED INDUSTRIES INC	3.120			
JUN 09	300		AGFEED INDUSTRIES INC	3.120			
JUN 09	400		AGFEED INDUSTRIES INC	3.120	10,295.76		
JUN 09	500		AGFEED INDUSTRIES INC	3.120			
JUN 09	2400		AGFEED INDUSTRIES INC	3.119			
JUN 10		100	AGFEED INDUSTRIES INC	2.970		2,366.01	9.99
JUN 10		100	AGFEED INDUSTRIES INC	2.970			
JUN 10		100	AGFEED INDUSTRIES INC	2.970			
JUN 10		100	AGFEED INDUSTRIES INC	2.970			
JUN 10		400	AGFEED INDUSTRIES INC	2.970			
JUN 10		1500	AGFEED INDUSTRIES INC	2.970		5,345.90	
JUN 10		100	AGFEED INDUSTRIES INC	2.970			
JUN 10		200	AGFEED INDUSTRIES INC	2.970			
JUN 10	100		AGFEED INDUSTRIES INC	2.999	5,069.88		9.99
JUN 10	1200		AGFEED INDUSTRIES INC	2.999			
JUN 10	187		AGFEED INDUSTRIES INC	2.997			
JUN 10	100		AGFEED INDUSTRIES INC	2.999			
JUN 10	100		AGFEED INDUSTRIES INC	2.999			
JUN 10	213		AGFEED INDUSTRIES INC	3.000	2,738.76		
JUN 10	100		AGFEED INDUSTRIES INC	2.999			
JUN 10	400		AGFEED INDUSTRIES INC	2.999			
JUN 10	100		AGFEED INDUSTRIES INC	2.999			
JUN 10	100		AGFEED INDUSTRIES INC	3.000			
JUN 11	1050		AGFEED INDUSTRIES INC	2.899	5,083.62		9.99
JUN 11	100		AGFEED INDUSTRIES INC	2.898			
JUN 11	100		AGFEED INDUSTRIES INC	2.898			
JUN 11	100		AGFEED INDUSTRIES INC	2.898			
JUN 11	400		AGFEED INDUSTRIES INC	2.899			
JUN 11	100		AGFEED INDUSTRIES INC	2.900	579.95		
JUN 11	100		AGFEED INDUSTRIES INC	2.899			
JUN 11	520		AGFEED INDUSTRIES INC	2.889	1,801.72		9.99
JUN 11	100		AGFEED INDUSTRIES INC	2.889			
JUN 15		100	AGFEED INDUSTRIES INC	3.040		3,626.94	9.99
JUN 15		100	AGFEED INDUSTRIES INC	3.030			
JUN 15		500	AGFEED INDUSTRIES INC	3.030			
JUN 15		100	AGFEED INDUSTRIES INC	3.030			
JUN 15		400	AGFEED INDUSTRIES INC	3.030			
JUN 15		400	AGFEED INDUSTRIES INC	3.040		4,155.11	
JUN 15		100	AGFEED INDUSTRIES INC	3.030			
JUN 15		870	AGFEED INDUSTRIES INC	3.030			
JUN 28		1	AGFEED INDUSTRIES INC	3.080		1,841.05	9.99



Waterhouse

TRADING SUMMARY - 2010

T5008 / RL18

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YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUN 28		100	AGFEED INDUSTRIES INC	3.080			
JUN 28		100	AGFEED INDUSTRIES INC	3.080			
JUN 28		100	AGFEED INDUSTRIES INC	3.080			
JUN 28		300	AGFEED INDUSTRIES INC	3.080			
JUN 28		200	AGFEED INDUSTRIES INC	3.080		1,843.96	
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070		1,534.97	
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		2449	AGFEED INDUSTRIES INC	3.070		9,360.51	
JUN 28		200	AGFEED INDUSTRIES INC	3.070			
JUN 28		200	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		100	AGFEED INDUSTRIES INC	3.070			
JUN 28		160	AGFEED INDUSTRIES INC	3.071		481.50	9.99
JUN 28	300		AGFEED INDUSTRIES INC	3.070	2,925.43		9.99
JUN 28	150		AGFEED INDUSTRIES INC	3.069			
JUN 28	300		AGFEED INDUSTRIES INC	3.070			
JUN 28	100		AGFEED INDUSTRIES INC	3.060			
JUN 28	100		AGFEED INDUSTRIES INC	3.070			
JUN 28	100		AGFEED INDUSTRIES INC	3.070	7,131.61		
JUN 28	200		AGFEED INDUSTRIES INC	3.070			
JUN 28	173		AGFEED INDUSTRIES INC	3.070			
JUN 28	100		AGFEED INDUSTRIES INC	3.070			
JUN 28	1750		AGFEED INDUSTRIES INC	3.070			
JUN 28	800		AGFEED INDUSTRIES INC	3.070	4,534.39		
JUN 28	100		AGFEED INDUSTRIES INC	3.070			
JUN 28	100		AGFEED INDUSTRIES INC	3.070			
JUN 28	377		AGFEED INDUSTRIES INC	3.070			
JUN 30		100	AGFEED INDUSTRIES INC	3.272		12,549.12	9.99
JUN 30		2740	AGFEED INDUSTRIES INC	3.270			
JUN 30		200	AGFEED INDUSTRIES INC	3.272			
JUN 30		100	AGFEED INDUSTRIES INC	3.271			
JUN 30		700	AGFEED INDUSTRIES INC	3.270			
JUN 30		100	AGFEED INDUSTRIES INC	3.270		2,615.95	
JUN 30		100	AGFEED INDUSTRIES INC	3.270			
JUN 30		100	AGFEED INDUSTRIES INC	3.270			
JUN 30		100	AGFEED INDUSTRIES INC	3.270			
JUN 30		400	AGFEED INDUSTRIES INC	3.270			
JUN 30		100	AGFEED INDUSTRIES INC	3.270		2,288.96	
JUN 30		100	AGFEED INDUSTRIES INC	3.270			
JUN 30		200	AGFEED INDUSTRIES INC	3.270			
JUN 30		200	AGFEED INDUSTRIES INC	3.270			
JUN 30		100	AGFEED INDUSTRIES INC	3.270			



TRADING SUMMARY - 2017
T5008 / RL18

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YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUL 01	1990		AGFEED INDUSTRIES INC	3.079	6,752.99		9.99
JUL 01	100		AGFEED INDUSTRIES INC	3.070			
JUL 01	100		AGFEED INDUSTRIES INC	3.070			
JUL 01	200		AGFEED INDUSTRIES INC	3.080	3,695.59		9.99
JUL 01	200		AGFEED INDUSTRIES INC	3.069			
JUL 01	100		AGFEED INDUSTRIES INC	3.070			
JUL 01	100		AGFEED INDUSTRIES INC	3.070			
JUL 01	600		AGFEED INDUSTRIES INC	3.069			
JUL 01	200		AGFEED INDUSTRIES INC	3.080	3,018.40		
JUL 01	200		AGFEED INDUSTRIES INC	3.080			
JUL 01	500		AGFEED INDUSTRIES INC	3.080			
JUL 01	80		AGFEED INDUSTRIES INC	3.080			
JUL 01	200		AGFEED INDUSTRIES INC	3.080	2,165.79		9.99
JUL 01	100		AGFEED INDUSTRIES INC	3.079			
JUL 01	200		AGFEED INDUSTRIES INC	3.079			
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080	1,848.00		
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	200		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080	1,232.00		
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	100		AGFEED INDUSTRIES INC	3.080			
JUL 01	25		AGFEED INDUSTRIES INC	3.080			
JUL 01	75		AGFEED INDUSTRIES INC	3.080			
JUL 01		191	AGFEED INDUSTRIES INC	3.100		1,816.07	9.99
JUL 01		100	AGFEED INDUSTRIES INC	3.090			
JUL 01		100	AGFEED INDUSTRIES INC	3.090			
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		100	AGFEED INDUSTRIES INC	3.080		1,539.97	
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		100	AGFEED INDUSTRIES INC	3.080			
JUL 01		1099	AGFEED INDUSTRIES INC	3.080		3,384.86	9.99
JUL 02	1290		AGFEED INDUSTRIES INC	2.909	6,380.65		
JUL 02	600		AGFEED INDUSTRIES INC	2.907			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909	872.83		
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	1275		AGFEED INDUSTRIES INC	2.909	6,337.10		9.99
JUL 02	600		AGFEED INDUSTRIES INC	2.908			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			



Waterhouse

TRADING SUMMARY - 2010
T5008 / RL18

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S.I.N.
521 445 254

YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909	872.82		
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
JUL 02	100		AGFEED INDUSTRIES INC	2.909			
AUG 05	3625		AGFEED INDUSTRIES INC	2.730	9,906.24		9.99
AUG 05	1000		AGFEED INDUSTRIES INC	2.730	9,892.59		9.99
AUG 05	2620		AGFEED INDUSTRIES INC	2.730			
AUG 06	400		AGFEED INDUSTRIES INC	2.730	4,103.34		9.99
AUG 06	1100		AGFEED INDUSTRIES INC	2.728			
AUG 06	1200		AGFEED INDUSTRIES INC	2.690	4,877.98		9.99
AUG 06	610		AGFEED INDUSTRIES INC	2.688			
AUG 06	2120		AGFEED INDUSTRIES INC	2.739	5,817.73		9.99
AUG 06	100		AGFEED INDUSTRIES INC	2.700	3,519.51		9.99
AUG 06	100		AGFEED INDUSTRIES INC	2.699			
AUG 06	100		AGFEED INDUSTRIES INC	2.699			
AUG 06	600		AGFEED INDUSTRIES INC	2.699			
AUG 06	400		AGFEED INDUSTRIES INC	2.699			
AUG 06	1990		AGFEED INDUSTRIES INC	2.699	5,372.80		
AUG 10	200		AGFEED INDUSTRIES INC	2.779	2,233.51		9.99
AUG 10	100		AGFEED INDUSTRIES INC	2.779			
AUG 10	200		AGFEED INDUSTRIES INC	2.779			
AUG 10	200		AGFEED INDUSTRIES INC	2.779			
AUG 10	100		AGFEED INDUSTRIES INC	2.779			
AUG 10	400		AGFEED INDUSTRIES INC	2.780	3,613.80		
AUG 10	300		AGFEED INDUSTRIES INC	2.780			
AUG 10	300		AGFEED INDUSTRIES INC	2.779			
AUG 10	100		AGFEED INDUSTRIES INC	2.779			
AUG 10	200		AGFEED INDUSTRIES INC	2.780			
AUG 10	200		AGFEED INDUSTRIES INC	2.780	556.00		
AUG 11	3770		AGFEED INDUSTRIES INC	2.769	10,450.63		9.99
AUG 11	5630		AGFEED INDUSTRIES INC	2.769	15,604.53		9.99
AUG 11	2100		AGFEED INDUSTRIES INC	2.760	10,441.78		9.99
AUG 11	1680		AGFEED INDUSTRIES INC	2.759			
AUG 20	4510		AGFEED INDUSTRIES INC	2.590	22,010.89		9.99
AUG 20	4000		AGFEED INDUSTRIES INC	2.580			
AUG 20		30	AGFEED INDUSTRIES INC	2.580		67.40	9.99
AUG 20		8290	AGFEED INDUSTRIES INC	2.640		21,876.07	9.99
AUG 30	200		AGFEED INDUSTRIES INC	2.280	3,429.57		9.99
AUG 30	200		AGFEED INDUSTRIES INC	2.279			
AUG 30	600		AGFEED INDUSTRIES INC	2.279			
AUG 30	400		AGFEED INDUSTRIES INC	2.279			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	380		AGFEED INDUSTRIES INC	2.279	866.36		
AUG 30	200		AGFEED INDUSTRIES INC	2.279	4,340.84		9.99
AUG 30	100		AGFEED INDUSTRIES INC	2.278			
AUG 30	200		AGFEED INDUSTRIES INC	2.278			
AUG 30	1300		AGFEED INDUSTRIES INC	2.279			
AUG 30	100		AGFEED INDUSTRIES INC	2.273			
AUG 30	100		AGFEED INDUSTRIES INC	2.280	946.20		
AUG 30	100		AGFEED INDUSTRIES INC	2.280			



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521 445 254

YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
AUG 30	24		AGFEED INDUSTRIES INC	2.280			
AUG 30	91		AGFEED INDUSTRIES INC	2.280			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	9		AGFEED INDUSTRIES INC	2.280	912.00		
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	91		AGFEED INDUSTRIES INC	2.280			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	680		AGFEED INDUSTRIES INC	2.280	2,736.00		
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	100		AGFEED INDUSTRIES INC	2.280			
AUG 30	220		AGFEED INDUSTRIES INC	2.280			
SEP 03	4430		AGFEED INDUSTRIES INC	2.209	9,797.63		9.99
SEP 03	4610		AGFEED INDUSTRIES INC	2.249	10,382.03		9.99
SEP 29		315	AGFEED INDUSTRIES INC	2.470		768.07	9.99
OCT 26		250	AGFEED INDUSTRIES INC	2.960		730.04	9.99
DEC 14		350	AGFEED INDUSTRIES INC	2.450		847.56	9.99
TOTAL	91245	41245			253,309.80	128,434.25	801.63
JUL 14		30	CATALYST PHARMACEUTICAL	1.233		3,347.94	9.99
JUL 14		500	CATALYST PHARMACEUTICAL	1.230			
JUL 14		2000	CATALYST PHARMACEUTICAL	1.230			
JUL 14		100	CATALYST PHARMACEUTICAL	1.230			
JUL 14		100	CATALYST PHARMACEUTICAL	1.230			
JUL 14		200	CATALYST PHARMACEUTICAL	1.230		2,840.47	
JUL 14		1000	CATALYST PHARMACEUTICAL	1.230			
JUL 14		100	CATALYST PHARMACEUTICAL	1.220			
JUL 14		300	CATALYST PHARMACEUTICAL	1.230			
JUL 14		716	CATALYST PHARMACEUTICAL	1.220			
JUL 14		900	CATALYST PHARMACEUTICAL	1.200		8,944.64	
JUL 14		5000	CATALYST PHARMACEUTICAL	1.200			
JUL 14		1300	CATALYST PHARMACEUTICAL	1.200			
JUL 14		254	CATALYST PHARMACEUTICAL	1.200			
JUL 14		8650	CATALYST PHARMACEUTICAL	1.450		28,624.00	9.99
JUL 14		2000	CATALYST PHARMACEUTICAL	1.450			
JUL 14		9350	CATALYST PHARMACEUTICAL	1.410			
TOTAL		32500				43,757.05	19.98
JUN 02	31600		CEL-SCI CORP	0.510	24,847.04		367.20
JUN 02	16400		CEL-SCI CORP	0.509			
JUN 02	889		CEL-SCI CORP	0.529	24,927.98		368.39
JUN 02	46111		CEL-SCI CORP	0.522			
JUN 09		45000	CEL-SCI CORP	0.510		22,944.12	9.99
JUN 09		2100	CEL-SCI CORP	0.512		25,541.68	9.99
JUN 09		13035	CEL-SCI CORP	0.511			
JUN 09		34865	CEL-SCI CORP	0.511			
JUN 10	2300		CEL-SCI CORP	0.499	11,273.16		9.99



Waterhouse

TRADING SUMMARY - 2010
T5008 / RL13

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NORTH YORK ON M2J 4X9

YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JUN 10	20700		CEL-SCI CORP	0.488			
JUN 15	9600		CEL-SCI CORP	0.489	7,672.32		9.99
JUN 15	6100		CEL-SCI CORP	0.485			
JUN 16	3500		CEL-SCI CORP	0.489	4,125.64		9.99
JUN 16	5000		CEL-SCI CORP	0.480			
JUN 16	8500		CEL-SCI CORP	0.482	4,109.37		9.99
JUN 28	15900		CEL-SCI CORP	0.530	15,055.10		9.99
JUN 28	300		CEL-SCI CORP	0.516			
JUN 28	12100		CEL-SCI CORP	0.521			
JUN 28	300		CEL-SCI CORP	0.516			
JUN 30	18000		CEL-SCI CORP	0.504	9,096.39		9.99
JUN 30	16400		CEL-SCI CORP	0.507	8,340.04		9.99
JUL 01		9600	CEL-SCI CORP	0.528		6,680.52	9.99
JUL 01		3100	CEL-SCI CORP	0.521			
JUL 01		800	CEL-SCI CORP	0.530		5,290.39	9.99
JUL 01		3100	CEL-SCI CORP	0.530			
JUL 01		6100	CEL-SCI CORP	0.530			
JUL 02		15000	CEL-SCI CORP	0.483		7,242.98	9.99
JUL 02		15000	CEL-SCI CORP	0.481		7,204.88	9.99
JUL 14	300		CEL-SCI CORP	0.474	14,253.99		9.99
JUL 14	29700		CEL-SCI CORP	0.474			
JUL 14	30000		CEL-SCI CORP	0.475	14,259.99		9.99
JUL 14	4700		CEL-SCI CORP	0.480	14,346.21		9.99
JUL 14	1775		CEL-SCI CORP	0.480			
JUL 14	9400		CEL-SCI CORP	0.476			
JUL 14	14125		CEL-SCI CORP	0.477			
AUG 05		6300	CEL-SCI CORP	0.496		9,897.21	9.99
AUG 05		13700	CEL-SCI CORP	0.495			
AUG 05		14900	CEL-SCI CORP	0.495		9,890.88	9.99
AUG 05		5100	CEL-SCI CORP	0.495			
AUG 06		2600	CEL-SCI CORP	0.490		4,889.92	9.99
AUG 06		700	CEL-SCI CORP	0.490			
AUG 06		6700	CEL-SCI CORP	0.490			
AUG 06		7800	CEL-SCI CORP	0.500		4,077.75	9.99
AUG 06		75	CEL-SCI CORP	0.500			
AUG 06		100	CEL-SCI CORP	0.500			
AUG 06		100	CEL-SCI CORP	0.500			
AUG 06		100	CEL-SCI CORP	0.500			
AUG 06		100	CEL-SCI CORP	0.500		5,859.65	
AUG 06		10700	CEL-SCI CORP	0.495			
AUG 06		900	CEL-SCI CORP	0.495			
AUG 06		125	CEL-SCI CORP	0.495			
AUG 06		7900	CEL-SCI CORP	0.500		3,994.44	9.99
AUG 06		100	CEL-SCI CORP	0.500			
AUG 06		10000	CEL-SCI CORP	0.490		4,892.72	9.99
AUG 10		11600	CEL-SCI CORP	0.532		6,383.08	9.99
AUG 10		400	CEL-SCI CORP	0.530			
AUG 11		19995	CEL-SCI CORP	0.523		10,461.62	9.99
AUG 11		5	CEL-SCI CORP	0.521			
AUG 11		25150	CEL-SCI CORP	0.520		15,589.74	9.99



Waterhouse

TRADING SUMMARY - 2010

T5008 / RL18

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MR. XIAOHAI LI
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NORTH YORK ON M2J 4X9

S.I.N.
521 445 254

YOUR US ACCOUNT 811-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
AUG 11		4850	CEL-SCI CORP	0.520			
AUG 11		3950	CEL-SCI CORP	0.523		10,441.81	9.99
AUG 11		16050	CEL-SCI CORP	0.522			
AUG 12		300	CEL-SCI CORP	0.530		149.00	9.99
AUG 13		100	CEL-SCI CORP	0.520		769.99	9.99
AUG 13		1400	CEL-SCI CORP	0.520			
AUG 30		2732	CEL-SCI CORP	0.490		4,290.17	9.99
AUG 30		6044	CEL-SCI CORP	0.490			
AUG 30		600	CEL-SCI CORP	0.490		8,907.85	9.99
AUG 30		17600	CEL-SCI CORP	0.490			
SEP 03		300	CEL-SCI CORP	0.490		9,789.87	9.99
SEP 03		19700	CEL-SCI CORP	0.490			
SEP 03		21224	CEL-SCI CORP	0.490		10,398.08	9.99
TOTAL	303700	387700			152,307.23	195,588.35	1055.27
JUN 10		100	OCULUS INNOVATIVE SCI INC	1.990		11,078.82	9.99
JUN 10		500	OCULUS INNOVATIVE SCI INC	1.980			
JUN 10		2500	OCULUS INNOVATIVE SCI INC	1.980			
JUN 10		2500	OCULUS INNOVATIVE SCI INC	1.980			
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.880	949.99		9.99
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.880			
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.880			
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.880			
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.880			
JUN 10	300		OCULUS INNOVATIVE SCI INC	1.940	3,420.00		
JUN 10	200		OCULUS INNOVATIVE SCI INC	1.880			
JUN 10	800		OCULUS INNOVATIVE SCI INC	1.940			
JUN 10	100		OCULUS INNOVATIVE SCI INC	1.940			
JUN 10	400		OCULUS INNOVATIVE SCI INC	1.940			
JUN 10	1500		OCULUS INNOVATIVE SCI INC	1.989	3,566.85		
JUN 10	300		OCULUS INNOVATIVE SCI INC	1.940			
JUN 10		100	OCULUS INNOVATIVE SCI INC	1.990		6,099.90	9.99
JUN 10		1100	OCULUS INNOVATIVE SCI INC	1.970			
JUN 10		1000	OCULUS INNOVATIVE SCI INC	1.970			
JUN 10		800	OCULUS INNOVATIVE SCI INC	1.970			
JUN 10		100	OCULUS INNOVATIVE SCI INC	1.980			
JUN 10		900	OCULUS INNOVATIVE SCI INC	1.970		1,772.97	
JUN 11		900	OCULUS INNOVATIVE SCI INC	2.010		1,798.97	9.99
JUN 11		100	OCULUS INNOVATIVE SCI INC	1.970		2,335.97	9.99
JUN 11		400	OCULUS INNOVATIVE SCI INC	1.970			
JUN 11		100	OCULUS INNOVATIVE SCI INC	1.970			
JUN 11		500	OCULUS INNOVATIVE SCI INC	1.940			
JUN 11		100	OCULUS INNOVATIVE SCI INC	1.940			
JUN 11		500	OCULUS INNOVATIVE SCI INC	1.950		3,691.07	
JUN 11		1400	OCULUS INNOVATIVE SCI INC	1.940			
JUN 15		1800	OCULUS INNOVATIVE SCI INC	2.010		3,607.94	9.99
JUN 16		1200	OCULUS INNOVATIVE SCI INC	2.002		4,595.68	9.99
JUN 16		1100	OCULUS INNOVATIVE SCI INC	2.002			



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TRADING SUMMARY - 2010
T5008 / RL18

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MR. XIAOHAI LI
1555 FINCH AVE E APT 601
NORTH YORK ON M2J 4X9

YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
TOTAL	4100	17700			7,996.84	34,981.32	69.93
JUN 28		7300	REXAHN PEARMACEUTICALS	1.960		14,297.76	9.99
JUN 28	2355		REXAHN PEARMACEUTICALS	1.850	13,833.64		9.99
JUN 28	5135		REXAHN PEARMACEUTICALS	1.840			
JUN 28		5500	REXAHN PEARMACEUTICALS	1.880		14,093.79	9.99
JUN 28		2000	REXAHN PEARMACEUTICALS	1.880			
JUL 01		100	REXAHN PEARMACEUTICALS	1.570		931.99	9.99
JUL 01		100	REXAHN PEARMACEUTICALS	1.570			
JUL 01		200	REXAHN PEARMACEUTICALS	1.570			
JUL 01		100	REXAHN PEARMACEUTICALS	1.570			
JUL 01		100	REXAHN PEARMACEUTICALS	1.570			
JUL 01		200	REXAHN PEARMACEUTICALS	1.570		1,569.97	
JUL 01		100	REXAHN PEARMACEUTICALS	1.570			
JUL 01		300	REXAHN PEARMACEUTICALS	1.570			
JUL 01		200	REXAHN PEARMACEUTICALS	1.570			
JUL 01		200	REXAHN PEARMACEUTICALS	1.570			
JUL 01		300	REXAHN PEARMACEUTICALS	1.570		3,700.34	
JUL 01		2070	REXAHN PEARMACEUTICALS	1.560			
JUL 01	6150		REXAHN PEARMACEUTICALS	1.549	9,541.88		9.99
JUL 01		1180	REXAHN PEARMACEUTICALS	1.531		3,327.50	9.99
JUL 01		100	REXAHN PEARMACEUTICALS	1.530			
JUL 01		900	REXAHN PEARMACEUTICALS	1.531			
TOTAL	13650	20950			23,375.52	37,921.35	59.94



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TRADING SUMMARY - 2011
T5008 / RL18

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YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
FEB 09		700	AVIAT NETWORKS INC	6.390		12,130.77	9.99
FEB 09		500	AVIAT NETWORKS INC	6.390			
FEB 09		200	AVIAT NETWORKS INC	6.390			
FEB 09		400	AVIAT NETWORKS INC	6.390			
FEB 09		100	AVIAT NETWORKS INC	6.390			
FEB 09		700	AVIAT NETWORKS INC	6.395		8,949.70	
FEB 09		400	AVIAT NETWORKS INC	6.390			
FEB 09		100	AVIAT NETWORKS INC	6.393			
FEB 09		200	AVIAT NETWORKS INC	6.390			
FEB 09		200	AVIAT NETWORKS INC	6.393		19,163.08	9.99
FEB 09		200	AVIAT NETWORKS INC	6.391			
FEB 09		200	AVIAT NETWORKS INC	6.391			
FEB 09		300	AVIAT NETWORKS INC	6.391			
FEB 09		2100	AVIAT NETWORKS INC	6.391			
FEB 09	3000		AVIAT NETWORKS INC	6.410	19,239.99		9.99
FEB 09	700		AVIAT NETWORKS INC	6.349	20,961.69		9.99
FEB 09	1300		AVIAT NETWORKS INC	6.349			
FEB 09	700		AVIAT NETWORKS INC	6.349			
FEB 09	600		AVIAT NETWORKS INC	6.349			
TOTAL	6300	6300			40,201.68	40,243.55	39.96
JAN 10		9400	AGFEED INDUSTRIES INC	2.960		27,813.53	9.99
JAN 12	5910		AGFEED INDUSTRIES INC	2.830	28,833.09		9.99
JAN 12	4230		AGFEED INDUSTRIES INC	2.820			
JAN 12		50	AGFEED INDUSTRIES INC	2.820		131.03	9.99
JAN 18		5000	AGFEED INDUSTRIES INC	2.880		14,390.26	9.99
JAN 18		100	AGFEED INDUSTRIES INC	2.920		1,449.98	9.99
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920		6,131.89	
JAN 18		1500	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920		6,131.89	
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		1500	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		450	AGFEED INDUSTRIES INC	2.900		1,305.06	

T5008/RL-18. SECURITIES TRANSACTIONS ARE DISCLOSED TO THE CANADA REVENUE AGENCY AND REVENU QUEBEC (RESIDENT OF QUEBEC ONLY) ON A YEARLY BASIS. THESE TRANSACTIONS ARE TO BE REPORTED ON YOUR ANNUAL RETURN OF INCOME. PLEASE RETAIN FOR INCOME TAX PURPOSES, AS NO OTHER FORM WILL BE ISSUED. RQ-FS-04-18-001 RC-04-1068. AVERAGE US\$ RATE FOR 2011 CRA=0.98906920



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TRADING SUMMARY - 2011
T5008 / RL18

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YOUR US ACCOUNT 81-FJ31-F									
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DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
JAN 18		5000	AGFEED INDUSTRIES INC	2.910		14,540.76	9.99
JAN 20		400	AGFEED INDUSTRIES INC	3.070		15,339.84	9.99
JAN 20		4600	AGFEED INDUSTRIES INC	3.070			
JAN 20		4100	AGFEED INDUSTRIES INC	3.070		15,340.16	9.99
JAN 20		900	AGFEED INDUSTRIES INC	3.070			
JAN 24	2700		AGFEED INDUSTRIES INC	2.940	32,017.99		9.99
JAN 24	3800		AGFEED INDUSTRIES INC	2.930			
JAN 24	4400		AGFEED INDUSTRIES INC	2.940			
JAN 24	9058		AGFEED INDUSTRIES INC	2.890	44,407.55		9.99
JAN 24	5542		AGFEED INDUSTRIES INC	2.873			
JAN 24	800		AGFEED INDUSTRIES INC	2.870			
JAN 24	100		AGFEED INDUSTRIES INC	2.849	294.98		9.99
FEB 04		300	AGFEED INDUSTRIES INC	2.520		2,761.95	9.99
FEB 04		100	AGFEED INDUSTRIES INC	2.520			
FEB 04		200	AGFEED INDUSTRIES INC	2.520			
FEB 04		200	AGFEED INDUSTRIES INC	2.520			
FEB 04		300	AGFEED INDUSTRIES INC	2.520			
FEB 04		300	AGFEED INDUSTRIES INC	2.520		2,771.94	
FEB 04		200	AGFEED INDUSTRIES INC	2.520			
FEB 04		100	AGFEED INDUSTRIES INC	2.520			
FEB 04		300	AGFEED INDUSTRIES INC	2.520			
FEB 04		200	AGFEED INDUSTRIES INC	2.520			
FEB 04		57	AGFEED INDUSTRIES INC	2.520		22,810.60	
FEB 04		6095	AGFEED INDUSTRIES INC	2.520			
FEB 04		800	AGFEED INDUSTRIES INC	2.520			
FEB 04		2000	AGFEED INDUSTRIES INC	2.520			
FEB 04		100	AGFEED INDUSTRIES INC	2.520			
FEB 04		48	AGFEED INDUSTRIES INC	2.520		372.95	
FEB 04		100	AGFEED INDUSTRIES INC	2.520			
FEB 08	3500		AGFEED INDUSTRIES INC	2.519	8,826.49		9.99
FEB 15	2800		AGFEED INDUSTRIES INC	2.449	6,867.19		9.99
FEB 15	5800		AGFEED INDUSTRIES INC	2.450	14,219.99		9.99
FEB 18	200		AGFEED INDUSTRIES INC	2.410	16,999.81		9.99
FEB 18	4000		AGFEED INDUSTRIES INC	2.409			
FEB 18	2850		AGFEED INDUSTRIES INC	2.409			
FEB 18	9000		AGFEED INDUSTRIES INC	2.409	21,699.09		9.99
FEB 25	3400		AGFEED INDUSTRIES INC	2.370	18,923.99		9.99
FEB 25	4600		AGFEED INDUSTRIES INC	2.360			
FEB 25	6000		AGFEED INDUSTRIES INC	2.369	14,228.79		9.99
FEB 25	6000		AGFEED INDUSTRIES INC	2.370	14,229.99		9.99
FEB 25	4700		AGFEED INDUSTRIES INC	2.370	18,613.86		9.99
FEB 25	3150		AGFEED INDUSTRIES INC	2.369			
FEB 28	14400		AGFEED INDUSTRIES INC	2.360	33,993.99		9.99
FEB 28	100		AGFEED INDUSTRIES INC	2.369	246.89		9.99
MAR 22		200	AGFEED INDUSTRIES INC	1.821		1,085.57	7.00
MAR 22		200	AGFEED INDUSTRIES INC	1.821			
MAR 22		200	AGFEED INDUSTRIES INC	1.821			
MAR 30		205	AGFEED INDUSTRIES INC	1.961		5,873.45	7.00
MAR 30		2795	AGFEED INDUSTRIES INC	1.960			
MAR 30		56	AGFEED INDUSTRIES INC	1.961		2,652.06	7.00



Waterhouse

TRADING SUMMARY - 2011
T5008 / RL18

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MR. XIAOHAI LI
1555 FINCH AVE E APT 601
NORTH YORK ON M2J 4X9

YOUR US ACCOUNT 81-FJ81-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
FEB 09		700	AVIAT NETWORKS INC	6.390		12,130.77	9.99
FEB 09		500	AVIAT NETWORKS INC	6.390			
FEB 09		200	AVIAT NETWORKS INC	6.390			
FEB 09		400	AVIAT NETWORKS INC	6.390			
FEB 09		100	AVIAT NETWORKS INC	6.390			
FEB 09		700	AVIAT NETWORKS INC	6.395		8,949.70	
FEB 09		400	AVIAT NETWORKS INC	6.390			
FEB 09		100	AVIAT NETWORKS INC	6.393			
FEB 09		200	AVIAT NETWORKS INC	6.390			
FEB 09		200	AVIAT NETWORKS INC	6.393		19,163.08	9.99
FEB 09		200	AVIAT NETWORKS INC	6.391			
FEB 09		200	AVIAT NETWORKS INC	6.391			
FEB 09		300	AVIAT NETWORKS INC	6.391			
FEB 09		2100	AVIAT NETWORKS INC	6.391			
FEB 09	3000		AVIAT NETWORKS INC	6.410	19,239.99		9.99
FEB 09	700		AVIAT NETWORKS INC	6.349	20,961.69		9.99
FEB 09	1300		AVIAT NETWORKS INC	6.349			
FEB 09	700		AVIAT NETWORKS INC	6.349			
FEB 09	600		AVIAT NETWORKS INC	6.349			
TOTAL	6300	6300			40,201.68	40,243.55	39.96
JAN 10		9400	AGFEED INDUSTRIES INC	2.960		27,813.53	9.99
JAN 12	5910		AGFEED INDUSTRIES INC	2.830	28,833.09		9.99
JAN 12	4290		AGFEED INDUSTRIES INC	2.820			
JAN 12		50	AGFEED INDUSTRIES INC	2.820		131.03	9.99
JAN 18		5000	AGFEED INDUSTRIES INC	2.880		14,390.26	9.99
JAN 18		100	AGFEED INDUSTRIES INC	2.920		1,449.98	9.99
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920		6,131.89	
JAN 18		1500	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		200	AGFEED INDUSTRIES INC	2.920		6,131.89	
JAN 18		200	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		1500	AGFEED INDUSTRIES INC	2.920			
JAN 18		100	AGFEED INDUSTRIES INC	2.920			
JAN 18		450	AGFEED INDUSTRIES INC	2.900		1,305.06	

T5008/RL-18. SECURITIES TRANSACTIONS ARE DISCLOSED TO THE CANADA REVENUE AGENCY AND REVENU QUEBEC (RESIDENT OF QUEBEC ONLY) ON A YEARLY BASIS. THESE TRANSACTIONS ARE TO BE REPORTED ON YOUR ANNUAL RETURN OF INCOME. PLEASE RETAIN FOR INCOME TAX PURPOSES, AS NO OTHER FORM WILL BE ISSUED. RQ-FS-04-18-001
RC-04-1068. AVERAGE US\$ RATE FOR 2011 CRA=0.98906920



Waterhouse

TRADING SUMMARY - 2011
T5008 / RL18

Head Office
77 Bloor Street West
P.O. Box 5999, Station F
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S.I.N.
521 445 254

MR. XIAOHAI LI
1555 FINCH AVE E APT 601
NORTH YORK ON M2J 4X9

YOUR US ACCOUNT 81-FJ81-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
MAR 30		100	AGFEED INDUSTRIES INC	1.961			
MAR 30		800	AGFEED INDUSTRIES INC	1.961			
MAR 30		200	AGFEED INDUSTRIES INC	1.961			
MAR 30		200	AGFEED INDUSTRIES INC	1.961			
MAR 30		1544	AGFEED INDUSTRIES INC	1.961		3,027.72	
APR 12	4000		AGFEED INDUSTRIES INC	1.909	7,643.00		7.00
APR 13		1000	AGFEED INDUSTRIES INC	1.901		7,978.59	7.00
APR 13		100	AGFEED INDUSTRIES INC	1.900			
APR 13		3100	AGFEED INDUSTRIES INC	1.901			
APR 13		4000	AGFEED INDUSTRIES INC	1.910		7,633.25	7.00
APR 13	1500		AGFEED INDUSTRIES INC	1.909	8,024.80		7.00
APR 13	2700		AGFEED INDUSTRIES INC	1.909		722.42	7.00
APR 18		400	AGFEED INDUSTRIES INC	1.823			7.00
MAY 06	500		AGFEED INDUSTRIES INC	1.619	10,205.41		
MAY 06	5800		AGFEED INDUSTRIES INC	1.618			
MAY 10	6300		AGFEED INDUSTRIES INC	1.458	9,196.81		7.00
MAY 11	2800		AGFEED INDUSTRIES INC	1.348	3,783.08		7.00
MAY 20		1800	AGFEED INDUSTRIES INC	1.130		7,903.02	7.00
MAY 20		5200	AGFEED INDUSTRIES INC	1.130			
MAY 25		7000	AGFEED INDUSTRIES INC	1.180		8,253.54	7.00
MAY 25	2950		AGFEED INDUSTRIES INC	1.200	3,606.75		7.00
MAY 25	50		AGFEED INDUSTRIES INC	1.195			
MAY 25	4000		AGFEED INDUSTRIES INC	1.199	4,806.60		7.00
MAY 25			AGFEED INDUSTRIES INC	1.030	3,612.00		7.00
JUN 01	3500		AGFEED INDUSTRIES INC	1.030		3,598.28	7.00
JUN 01		3500	AGFEED INDUSTRIES INC	1.030		942.07	9.99
JUN 23		800	AGFEED INDUSTRIES INC	1.190		864.99	9.99
JUL 18		700	AGFEED INDUSTRIES INC	1.250		990.09	9.99
AUG 17		1000	AGFEED INDUSTRIES INC	1.000			9.99
NOV 10	9500		AGFEED INDUSTRIES INC	0.640	6,408.55		
NOV 10	100		AGFEED INDUSTRIES INC	0.637			
NOV 10	400		AGFEED INDUSTRIES INC	0.637			
NOV 14	10000		AGFEED INDUSTRIES INC	0.587	5,879.99		9.99
NOV 14	100		AGFEED INDUSTRIES INC	0.590	833.79		9.99
NOV 14	100		AGFEED INDUSTRIES INC	0.586			
NOV 14	1100		AGFEED INDUSTRIES INC	0.586			
NOV 14	100		AGFEED INDUSTRIES INC	0.587			
NOV 14	3		AGFEED INDUSTRIES INC	0.580			
NOV 14	997		AGFEED INDUSTRIES INC	0.600	5,157.44		
NOV 14	7600		AGFEED INDUSTRIES INC	0.599			
NOV 14	2200		AGFEED INDUSTRIES INC	0.593	3,860.76		9.99
NOV 14	500		AGFEED INDUSTRIES INC	0.590			
NOV 14	3600		AGFEED INDUSTRIES INC	0.592			
NOV 14	100		AGFEED INDUSTRIES INC	0.592			
NOV 14	100		AGFEED INDUSTRIES INC	0.589			
NOV 14			AGFEED INDUSTRIES INC	0.579	2,907.49		9.99
NOV 16	5000		AGFEED INDUSTRIES INC	0.595		1,771.51	9.99
NOV 16		2769	AGFEED INDUSTRIES INC	0.580			
NOV 16		231	AGFEED INDUSTRIES INC	0.519	1,568.49		9.99
NOV 21	3000		AGFEED INDUSTRIES INC	0.509	1,028.92		9.99
NOV 21	1900		AGFEED INDUSTRIES INC	0.505			
NOV 21	100		AGFEED INDUSTRIES INC				



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T5008 / RL18

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NORTH YORK ON M2J 4X9

S.I.N.
521 445 254

YOUR US ACCOUNT 81-FJ31-F

DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
NOV 29		1073	AGFEED INDUSTRIES INC	0.520		2,534.71	9.99
NOV 29		600	AGFEED INDUSTRIES INC	0.505			
NOV 29		719	AGFEED INDUSTRIES INC	0.510			
NOV 29		2608	AGFEED INDUSTRIES INC	0.505			
NOV 29		5000	AGFEED INDUSTRIES INC	0.570		2,824.95	24.99
DEC 01		100	AGFEED INDUSTRIES INC	0.563		1,509.62	9.99
DEC 01		100	AGFEED INDUSTRIES INC	0.562			
DEC 01		2100	AGFEED INDUSTRIES INC	0.562			
DEC 01		300	AGFEED INDUSTRIES INC	0.563			
DEC 01		100	AGFEED INDUSTRIES INC	0.561			
DEC 01		100	AGFEED INDUSTRIES INC	0.564		169.22	
DEC 01		100	AGFEED INDUSTRIES INC	0.564			
DEC 01		100	AGFEED INDUSTRIES INC	0.564			
DEC 05		1600	AGFEED INDUSTRIES INC	0.585		2,904.75	9.99
DEC 05		3400	AGFEED INDUSTRIES INC	0.582			
DEC 05		10000	AGFEED INDUSTRIES INC	0.590		5,889.89	9.99
DEC 05		780	AGFEED INDUSTRIES INC	0.610		1,858.78	9.99
DEC 05		100	AGFEED INDUSTRIES INC	0.600			
DEC 05		200	AGFEED INDUSTRIES INC	0.602			
DEC 05		100	AGFEED INDUSTRIES INC	0.605			
DEC 05		1920	AGFEED INDUSTRIES INC	0.600			
DEC 05		1900	AGFEED INDUSTRIES INC	0.610		1,159.35	
DEC 06		10000	AGFEED INDUSTRIES INC	0.630		6,289.88	9.99
TOTAL	187700	127100			352,927.57	209,729.55	543.59
NOV 02	200		ABITIBIBOWATER INC-NEW	17.009	3,411.95		9.99
NOV 02	100		ABITIBIBOWATER INC-NEW	17.009	1,710.97		9.99
NOV 03		100	ABITIBIBOWATER INC-NEW	17.530		5,238.96	9.99
NOV 03		200	ABITIBIBOWATER INC-NEW	17.480			
TOTAL	300	300			5,122.92	5,238.96	29.97
APR 11	2000		ADVANCED BATTERY TECHNO	2.328	4,664.40		7.00
APR 11	700		ADVANCED BATTERY TECHNO	2.318	1,629.74		7.00
APR 11		2700	ADVANCED BATTERY TECHNO	2.381		6,422.38	7.00
TOTAL	2700	2700			6,294.14	6,422.38	21.00
AUG 22	250		AEROPOSTALE INC	12.599	3,159.97		9.99
AUG 26		250	AEROPOSTALE INC	11.360		2,829.98	9.99
TOTAL	250	250			3,159.97	2,829.98	19.98
NOV 03	500		AMERICAN CAPITAL LTD	7.939	3,979.94		9.99
NOV 03	200		AMERICAN CAPITAL LTD	7.939	1,597.97		9.99
NOV 04		700	AMERICAN CAPITAL LTD	7.480		5,226.11	9.99



Waterhouse

TRADING SUMMARY - 2012

T5008 / RL18

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521 445 254

MR. XIAOHAI LI
1555 FINCH AVE E APT 601
NORTH YORK ON M2J 4X9

YOUR US ACCOUNT 81-FJ31-F

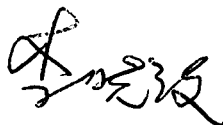
DATE	BUY	SELL	SECURITY DESCRIPTION	PRICE	COST	PROCEEDS	COMMISSION
MAR 20		14	YRC WORLDWIDE INC	8.200		107.79	7.00
SEP 07		102	YRC WORLDWIDE INC	5.530		535.05	29.00
TOTAL		116				642.84	36.00

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TO BMCGROUP :

PLEASE SUPPRESSE THIS PROOF OF CLAIM DOCUMENT TO
PROTECT MY CONFIDENTIAL INFORMATION.

THANK YOU

A handwritten signature in black ink, appearing to be 'Xiaohai Li' in a stylized, cursive script.

MR. XIAOHAI LI

OCT. 25, 2013

EXPRESS WORLDWIDE

DOX



Ph: 416-893-0518

FROM: XIAOHAO LI
29 BRIARGLEN COURT
SCARBOROUGH M1W 3Z8
CANADA

Origin:
YHM

TO: BMC GROUP INC.
18675 LAKE DRIVE EAST
Attn: AGFEED USA, CLAIMS PROC.

Ph: 416-893-0518

US-STP-STP

55317 CHANHASSEN
UNITED STATES OF AMERICA

Day Time

Shipment Ref: DOX
Pickup Date 2013-10-28 N/A / 1.00 lb
Place/Shipmt Weight: Please: 1/4
RECEIVED

Conten: DOCUMENT

OCT 29 2013

BMC GROUP



WAYBILL 50 5216 2555



(2L)USS55317+42000000



(J)JD014559110060000839

DHL 50 5216 2555 Ref:DOX

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