

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE		REGULAR MAIL: BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING PO Box 3020 CHANHASSEN, MN 55317-3020 MESSENGER/OVERNIGHT DELIVERY BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING 18675 LAKE DRIVE EAST CHANHASSEN, MN 55317		PROOF OF CLAIM / REQUEST FOR PAYMENT OF ADMINISTRATIVE EXPENSE
Name of Debtor: AgFeed Industries Inc.		Case Number: 13-11762		
Name of Creditor (the person or other entity to whom the debtor owes money or property): MR.XIAOHAI LI		COURT USE ONLY		
Name and address where notices should be sent: MR.XIAOHAI LI 29 BRIARGLEN COURT , SCARBOROUGH ONTARIO CANADA M1W 3Z8 Telephone number: 416-893-0518 email: XIAOHAILI@HOTMAIL.COM		☐ Check this box if this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____		
Name and address where payment should be sent (if different from above): SAME AS ABOVE Telephone number: _____ email: _____		RECEIVED OCT 29 2013 BMC GROUP		
1. Amount of Claim as of Date Case Filed: \$242500.27 If all or part of the claim is secured, complete item 4. If all or part of the claim is entitled to priority, complete item 5. If all or part of the claim qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9), complete item 6. ☐ Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.				
1a. Amount of Administrative Claim (see Definitions) solely with respect to AgFeed USA, LLC or any other Debtor (excluding Debtor AgFeed Industries, Inc.) arising from the period from July 15, 2013, through September 12, 2013: \$ _____ (See instruction #1a)				
2. Basis for Claim: Amount of stock loss owed to me for violations of federal securities law (See instruction #2)				
3. Last four digits of any number by which creditor identifies debtor: _____	3a. Debtor may have scheduled account as: _____ (See instruction #3a)	3b. Uniform Claim Identifier (optional): _____ (See instruction #3b)		
4. Secured Claim (See instruction #4) Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information. Nature of property or right of setoff: ☐ Real Estate ☐ Motor Vehicle ☐ Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ % ☐ Fixed or ☐ Variable (when case was filed)		Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount of Unsecured: \$ _____		
5. Amount of Claim Entitled to Priority under 11 U.S.C. § 507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.				
☐ Domestic support obligations under 11 U.S.C. § 507 (a)(1)(A) or (a)(1)(B). ☐ Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. § 507 (a)(7).		☐ Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier – 11 U.S.C. § 507 (a)(4). ☐ Taxes or penalties owed to governmental units – 11 U.S.C. § 507 (a)(8). ☒ Other – Specify applicable paragraph of 11 U.S.C. § 507 (a)()		
		Amount entitled to priority: \$ _____		
*Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adj.				
6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9): _____ (See instruction #6)				



7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim (See instruction #7)

8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #7, and the definition of "redacted".)

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

9. Signature: (See instruction #9)

Check the appropriate box.

☒ I am the creditor.

☐ I am the creditor's authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.)

☐ I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to best of my knowledge, information, and reasonable belief.

Print Name: MR.XIAOHAI LI

Title: _____

Company: _____

Address and telephone number (if different from notice address above):

SAME AS ABOVE

(Signature)

(Date)

Telephone number: _____

email: _____

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, exceptions to these general rules may apply.

Items to be completed in Proof of Claim form

Court, Name of Debtor, and Case Number:

Fill in the debtor's full name, and the bankruptcy case number. If the creditor received a notice of the case from the bankruptcy court, all of this information is at the top of the notice.

AgFeed USA, LLC	13-11761
AgFeed Industries, Inc.	13-11762
Genetics Land, LLC	13-11776
Genetics Operating, LLC	13-11769
Heritage Farms, LLC	13-11767
Heritage Land, LLC	13-11768
M2P2 AF JV, LLC	13-11774
M2P2 Facilities, LLC	13-11770
M2P2 General Operations, LLC	13-11772
MGM, LLC	13-11771
Midwest Finishing, LLC	13-11775
New Colony Farms, LLC	13-11766
New Colony Land Company, LLC	13-11773
New York Finishing, LLC	13-11764
Pork Technologies, LC	13-11765
TS Finishing, LLC	13-11763

If your claim is against multiple Debtors, complete a separate form for each Debtor.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP 2002(g)).

1. Amount of Claim as of Date Case Filed:

State the total amount owed to the creditor on the date of the bankruptcy filing. Follow the instructions concerning whether to complete items 4, 5, and 6. Check the box if interest or other charges are included in the claim.

1a. Amount of Administrative Claim arising after Date Case Filed:

State the total amount owed pursuant to section 503(b), excluding any amounts owed under section 503(b)(9), on account of claims arising from the period

3b. Uniform Claim Identifier:

If you use a uniform claim identifier, you may report it here. A uniform claim identifier is an optical 24-character identifier that certain large creditors use to facilitate electronic payment in chapter 13 cases.

4. Secured Claim:

Check whether the claim is fully or partially secured. Skip this section if the Claim is entirely unsecured. (See Definitions.) If the claim is secured, check the box for the nature and value of property that secures the claim, attach copies of lien documentation, and state, as of the date of the bankruptcy filing, the annual interest rate (and whether it is fixed or variable), and the amount past due on the claim.

5. Amount of Claim Entitled to Priority Under 11 U.S.C. § 507(a):

If any portion of the claim falls into any category shown, check the appropriate box(es) and state the amount entitled to priority. (See Definitions.) A claim may be partly priority and partly non-priority. For example, in some of the categories, the law limits the amount entitled to priority.

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9):

State the value of any goods received by the debtor within 20 days before the date of commencement in which the goods have been sold to the debtor in the ordinary course of the debtor's business.

7. Credits:

An authorized signature on this proof of claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

8. Documents:

Attach redacted copies of any documents that show the debt exists and a lien secures the debt. You must also attach copies of documents that evidence perfection of any security interest and documents required by FRBP 3001(c) for claims based on an open-end or revolving consumer credit agreement or secured by a security interest in the debtor's principal residence. You may also attach a summary in addition to the documents themselves. FRBP 3001(c) and (d). If the claim is based on delivering health care goods or services, limit disclosing confidential health care information. Do not send original documents, as attachments may be destroyed after scanning.

9. Date and Signature:

The individual completing this proof of claim must sign and date it. FRBP 9011. If the claim is filed electronically, FRBP 5005(a)(2) authorizes courts to establish local rules

from July 15, 2013, through the September 12, 2013 (the "Closing Date"), solely with respect to AgFeed USA, LLC and any other Debtor (excluding Debtor AgFeed Industries, Inc.).

2. Basis for Claim:

State the type of debt or how it was incurred. Examples include goods sold, money loaned, services provided, personal injury/wrongful death, car loan, mortgage note, and credit card. If the claim is based on delivering health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information. You may be required to provide additional disclosure if any interested party objects to the claim.

3. Last Four Digits of Any Number by Which Creditor Identifies Debtor:

State only the last four digits of the debtor's account or other number used by the creditor to identify the debtor.

3a. Debtor May Have Scheduled Account As:

Report a change in the creditor's name, a transferred claim, or any other information that clarifies a difference between this proof of claim and the claim as scheduled by the debtor.

specifying what constitutes a signature. If you sign this form, you declare under penalty of perjury that the information provided is true and correct to the best of your knowledge, information, and reasonable belief. Your signature is also certification that the claim meets the requirements of FRBP 9011(b). Whether the claim is filed electronically or in person, if your name is on the signature line, you are responsible for the declaration. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. If the claim is filed by an authorized agent, provide both the name of the individual filing the claim and the name of the agent. If the authorized agent is a servicer, identify the corporate servicer as the company. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is a person, corporation, or other entity to whom debtor owes a debt that was incurred before the date of the bankruptcy filing. See 11 U.S.C. § 101(10).

Claim

A claim is the creditor's right to receive payment for a debt owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. § 101(5). A claim may be secured or unsecured.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Debtors' claims agent, BMC Group, Inc., at the applicable following address:

REGULAR MAIL:

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
PO BOX 3020
CHANHASSEN, MN 55317-3020

MESSANGER/OVERNIGHT DELIVERY

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
18675 LAKE DRIVE EAST
CHANHASSEN, MN 55317

Secured Claim Under 11 U.S.C. § 506(a)

A secured claim is one backed by a lien on property of the debtor. The claim is secured so long as the creditor has the right to be paid from the property prior to other creditors. The amount of the secured claim cannot exceed the value of the property. Any amount owed to the creditor in excess of the value of the property is an unsecured claim.

Examples of liens on property include a mortgage on real estate or a security's interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court judgment is a lien. A claim also may be secured if the creditor owes the debtor money (has a right to setoff).

Unsecured Claim

An unsecured claim is one that does not meet the requirements of a secured claim. A claim may be partly unsecured if the amount of the claim exceeds the value of the property on which the creditor has a lien.

Claim Entitled to Priority Under 11 U.S.C. § 507(a)

Priority claims are certain categories of unsecured claims that are paid from the available money or property in a bankruptcy case before other unsecured claims.

Administrative Claim

An administrative claim is a claim of the type described in sections 503 and 507 of title 11 of the United States Code. Among other things, these sections provide that certain types of claims are entitled to administrative expense priority, including, without limitation: (i) the action, necessary costs and expenses of preserving the estate, including wages, salaries, or commissions for services rendered after the commencement of the bankruptcy case; (ii) certain taxes and penalties related thereto; (iii) compensation and reimbursement of certain officers; (iv) the actual, necessary expenses incurred by (a) certain creditors, (b) a creditor, an indenture trustee, an equity security holder, or a committee representing any such entities, in making a substantial contribution to a debtor's chapter 11 case, (c) a custodian, and (v) compensation for services rendered by an indenture trustee. Claims alleged to be entitled to an administrative expense pursuant to 11 U.S.C. § 503(b)(9) should be listed in item 6 of the form, but not in item 1a.

Administrative Claim Under 11 U.S.C. § 503(b)(9)

Claims that are based upon facts or circumstances arising or occurring after the date of the Bankruptcy filing and that qualify as an administrative expense under section 503(b)(9) (excluding 503(b)(9) of the Bankruptcy Code).

Redacted

A document has been redacted when the person filing it has masked, edited out, or otherwise deleted, certain information. A creditor must show only the last four digits of any social-security, individual's tax-identification, or financial-account number, only the initials of a minor's name, and only the year of any person's date of birth. If the claim is based on the delivery of health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information.

Evidence of Perfection

Evidence of perfection may include a mortgage, lien, certificate of title, financing statement, or other document showing that the lien has been filed or recorded.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim or you may access the court's PACER system (www.pacer.psc.uscourts.gov) for a small fee to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.



InvestDirect

Holdings

Balances As Of: 2013-10-25	Account: 6YAX01 - US Cash	Currency: USD
Cash	Stocks	Total Equity
3.15 USD	46,702.40 USD	46,705.55 USD

Holdings As Of: 2013-10-25

Symbol/Description	Quantity	Currency	Price	Market Value	Weight (%)	Avg. Unit Cost	Book Value	Unrealized Gain/Loss (\$)/%
Cash		USD		3.15	0 %		3.15	
☒ Stocks		USD		46,702.40	100 %		289,202.47	-242,500.07 (-84 %)
AGFEED INDUSTRIES INC	171,700	USD	0.27	46,702.40	100 %	1.68	289,202.47	-242,500.07 (-84 %)
Total Portfolio in CAD (USD = 1.0451 CAD)				48,811.97			302,248.79	-253,436.82 (-84 %)
Total Portfolio in USD				46,705.55			289,205.62	-242,500.07 (-84 %)

Balances & Holdings shown reflect previous day's closing BID price. Equities, when paired with an option, reflect previous day's CLOSE price. Options reflect previous day's closing ASK price. All margin amounts reflect the margin as at previous day's close of business.

Non-Canadian dollar denominated holdings are displayed in the currency of the account in which they are held. The amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

The data presented is for informational purposes only. Although we endeavour to keep this information accurate, it does not replace the official confirmations of transactions and statements of accounts that we send you, which are the only documents upon which you may rely for your account information.

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InvestDirect

History

Date From Date To

2010-01-01 2013-10-24

6YAX01 - US Cash

Transaction Date	Element Date	Quantity	Description	Transaction Type	Price	Comm/Fees	Net Amount
20130514	20130514	0.000	US/CND 6YAX01A @ 0.997	FX			-535.00
20130429	20130502	2,000.000	AGFEED INDUSTRIES INC	SELL	0.1300	9.88	250.11
20130426	20130501	2,300.000	AGFEED INDUSTRIES INC	SELL	0.1300	9.88	289.11
20130327	20130327	0.000	US/CND 6YAX01A @ 1.0355	FX			345.91
20130326	20130319	0.000	US/CND 6YAX01A/B @ 1.045	FX			104.88
20130326	20130326	0.000	US/CND 6YAX01A @ 1.0365	FX			216.16
20130325	20130325	0.000	US/CND 6YAX01A @ 1.039	FX			117.88
20130322	20130327	2,085.000	AGFEED INDUSTRIES INC	BUY	0.1612	9.88	-345.91
20130322	20130322	0.000	US/CND 6YAX01A @ 1.0425	FX			580.30
20130321	20130326	1,146.000	AGFEED INDUSTRIES INC	BUY	0.1800	9.88	-216.16
20130320	20130325	600.000	AGFEED INDUSTRIES INC	BUY	0.1800	9.88	-117.88
20130320	20130320	0.000	US/CND 6YAX01A @ 1.0455	FX			1,002.70
20130319	20130322	3,169.000	AGFEED INDUSTRIES INC	BUY	0.1800	9.88	-580.30
20130318	20130318	0.000	US/CND 6YAX01A @ 1.0405	FX			617.88
20130315	20130320	5,485.000	AGFEED INDUSTRIES INC	BUY	0.1810	9.88	-1,002.70
20130314	20130319	500.000	AGFEED INDUSTRIES INC	BUY	0.1900	9.88	-104.88
20130313	20130318	3,200.000	AGFEED INDUSTRIES INC	BUY	0.1900	9.88	-617.88
20130212	20130212	0.000	CND/US 6YAX01A @ 1.022	FX			62.62
20130125	20130125	0.000	CND/US 6YAX01A @ 1.027	FX			1,164.79
20130118	20130118	0.000	US/CND 6YAX01A @ 0.971	FX			-1,228.48
20130118	20130118	0.000	US/CND 6YAX01A @ 0.973	FX			-1,228.48
20130117	20130117	0.000	US/CND 6YAX01A @ 0.9665	FX			-2,169.87
20130114	20130117	1,400.000	AGFEED	SELL	0.2800	9.88	1,322.00

20130114	20130117	7,785.000	AGFEED INDUSTRIES INC	SELL	0.2800	9.88	2,169.87
20130111	20130111	0.000	US/CND 6YAX01A@ 0.965	FX			-2,260.00
20130108	20130111	2,200.000	AGFEED INDUSTRIES INC	SELL	0.2800	9.88	606.10
20130107	20130110	6,400.000	AGFEED INDUSTRIES INC	SELL	0.2600	9.88	1,654.08
20121218	20121218	0.000	US/CND 6YAX01A@ 0.9665	FX			-2,070.00
20121211	20121214	1,200.000	AGFEED INDUSTRIES INC	SELL	0.2900	9.88	338.11
20121211	20121214	2,500.000	AGFEED INDUSTRIES INC	SELL	0.2900	9.88	715.10
20121211	20121214	1,000.000	AGFEED INDUSTRIES INC	SELL	0.2900	9.88	280.11
20121211	20121214	2,500.000	AGFEED INDUSTRIES INC	SELL	0.2996	9.88	739.10
20121123	20121123	0.000	US/CND 6YAX01A@ 0.9735	FX			-1,250.00
20121121	20121120	27.000	CORE LABORATORIES N.V.	NRT			-1.13
20121121	20121120	27.000	CORE LABORATORIES N.V.	DIV			7.56
20121119	20121123	1,600.000	AGFEED INDUSTRIES INC	SELL	0.3400	9.88	534.10
20121119	20121123	2,100.000	AGFEED INDUSTRIES INC	SELL	0.3400	9.88	704.10
20121116	20121116	0.000	US/CND 6YAX01A@ 0.9825	FX			-2,140.00
20121113	20121116	210.000	WESTERN UNION COMPANY	SELL	12.4440	9.88	2,603.30
20121113	20121116	1,500.000	AGFEED INDUSTRIES INC	BUY	0.3700	9.88	-564.88
20121031	20121105	210.000	WESTERN UNION COMPANY	BUY	12.8260	9.88	-2,703.34
20121031	20121105	220.000	CONSTANT CONTACT INC	SELL	12.4500	9.88	2,729.05
20121026	20121031	220.000	CONSTANT CONTACT INC	BUY	12.6550	9.88	-2,793.98
20121025	20121030	70.000	CLIFFS NATURAL RES INC	SELL	40.8100	9.88	2,846.75
20121023	20121026	70.000	CLIFFS NATURAL RES INC	BUY	43.7400	9.88	-3,071.68
20121023	20121026	105.000	UNITED STATIONERS INC	SELL	29.2002	9.88	3,056.07
20121022	20121025	105.000	UNITED STATIONERS INC	BUY	27.6398	9.88	-2,912.06
20121022	20121025	34.000	CATERPILLAR INC	SELL	82.7500	9.88	2,803.55
20121019	20121024	75.000	MANPOWERGROUP	SELL	39.2500	9.88	2,933.80
20121019	20121024	34.000	CATERPILLAR INC	BUY	83.8600	9.88	-2,861.12
20121018	20121023	75.000	MANPOWERGROUP	BUY	36.1100	9.88	-2,718.13
20121018	20121023	27.000	CORE LABORATORIES N.V.	SELL	105.4600	9.88	2,837.47
			MISSION WEST				
20121016	20121019	250.000	PPTYS	SELL	8.6702	9.88	2,157.62
			CORF				

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Untitled Page

LABORATORIES N.V.

20121012	20121017	70.000	MISSION WEST PPTYS	SELL	8.7000	9.88	599.10
20121010	20121015	300.000	ALCOA INC	SELL	8.6740	9.88	2,592.26
20121010	20121015	320.000	MISSION WEST PPTYS	BUY	8.3999	9.88	-2,697.86
20121009	20121012	340.000	MISSION WEST PPTYS	SELL	8.4810	9.88	2,873.59
20121009	20121012	300.000	ALCOA INC	BUY	9.2183	9.88	-2,775.36
20120928	20121003	300.000	MARVELL TECH GRP LTD	SELL	9.2350	9.88	2,760.55
20120928	20121003	340.000	MISSION WEST PPTYS	BUY	8.7321	9.88	-2,978.78
20120924	20120927	300.000	MARVELL TECH GRP LTD	BUY	9.5450	9.88	-2,873.38
20120924	20120927	250.000	MOLYCORP INC	BUY	11.9660	9.88	-3,001.38
20120924	20120927	300.000	INTELIQUENT INC	SELL	9.5638	9.88	2,859.19
20120924	20120927	250.000	MOLYCORP INC	SELL	11.8340	9.88	2,948.55
20120921	20120926	230.000	TANGOE INC	SELL	13.5156	9.88	3,098.64
20120921	20120926	300.000	INTELIQUENT INC	BUY	9.5750	9.88	-2,882.38
20120919	20120924	450.000	BIOFUEL ENERGY INC-NEW	BUY	7.5140	9.88	-3,391.18
20120919	20120924	450.000	BIOFUEL ENERGY INC-NEW	SELL	7.6102	9.88	3,414.63
20120919	20120924	230.000	TANGOE INC	SELL	13.5109	9.88	3,097.55
20120919	20120924	230.000	TANGOE INC	BUY	13.4340	9.88	-3,099.70
20120918	20120921	230.000	TANGOE INC	BUY	13.4660	9.88	-3,107.06
20120917	20120920	250.000	TANGOE INC	BUY	13.5540	9.88	-3,398.38
20120917	20120920	250.000	TANGOE INC	SELL	13.7500	9.88	3,427.54
20120917	20120917	0.000	US/CND 6YAX01A @ 0.9685	FX			-1,000.00
20120912	20120917	2,000.000	AGFEED INDUSTRIES INC	BUY	0.6500	9.88	-1,309.88
20120911	20120914	350.000	JIVE SOFTWARE INC	SELL	13.9600	9.88	4,876.01
20120910	20120913	150.000	JIVE SOFTWARE INC	BUY	13.7650	9.88	-2,074.63
20120910	20120913	200.000	JIVE SOFTWARE INC	BUY	14.1250	9.88	-2,834.88
20120907	20120912	320.000	TANGOE INC	SELL	14.6800	9.88	4,687.61
20120907	20120912	100.000	TANGOE INC	BUY	14.7400	9.88	-1,483.88
20120907	20120912	120.000	TANGOE INC	BUY	14.4580	9.88	-1,744.84
20120907	20120912	100.000	TANGOE INC	BUY	14.6900	9.88	-1,478.88
20120906	20120911	250.000	TANGOE INC	BUY	16.0160	9.88	-4,013.88
20120906	20120911	500.000	ZAGG INC	BUY	8.2890	9.88	-4,154.38
20120906	20120911	500.000	ZAGG INC	SELL	8.3330	9.88	4,156.52
20120906	20120911	600.000	ROUNDY'S INC	SELL	7.1104	9.88	4,256.28
20120906	20120911	100.000	TANGOE INC	BUY	14.4590	9.88	-1,455.78
20120906	20120911	350.000	TANGOE INC	SELL	15.3600	9.88	5,365.99
20120905	20120910	500.000	MARVELL TECH GRP LTD	SELL	10.1540	9.88	5,067.00
20120905	20120910	600.000	ROUNDY'S INC	BUY	6.9980	9.88	-4,208.68
20120831	20120906	900.000	NII HOLDINGS INC CL-B	SELL	6.2233	9.88	5,590.99
20120831	20120906	500.000	MARVELL TECH GRP LTD	BUY	10.1460	9.88	-5,082.88

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20120827	20120830	100.000	NII HOLDINGS INC CL-B	BUY	6.0650	9.88	-616.38
20120824	20120829	800.000	NII HOLDINGS INC CL-B	BUY	6.1869	9.88	-4,959.38
20120824	20120829	500.000	GREEN DOT CORP CL-A	SELL	10.5344	9.88	5,257.20
20120823	20120828	500.000	GREEN DOT CORP CL-A	BUY	10.4744	9.88	-5,247.08
20120822	20120827	750.000	ZAGG INC	BUY	7.0392	9.88	-5,289.31
20120822	20120827	490.000	MA-COM TECHNOLOGY SOLNS	SELL	11.0100	9.88	5,384.89
20120822	20120827	750.000	ZAGG INC	SELL	7.2809	9.88	5,450.69
20120821	20120824	490.000	MA-COM TECHNOLOGY SOLNS	BUY	11.0400	9.88	-5,419.48
20120817	20120822	800.000	NII HOLDINGS INC CL-B	SELL	6.6113	9.88	5,279.00
20120817	20120822	500.000	MARVELL TECH GRP LTD	SELL	10.5450	9.88	5,262.50
20120817	20120822	500.000	MARVELL TECH GRP LTD	BUY	10.3850	9.88	-5,202.38
20120816	20120821	470.000	MOLYCORP INC	SELL	11.1950	9.88	5,251.65
20120816	20120821	470.000	MOLYCORP INC	BUY	11.3471	9.88	-5,343.03
20120816	20120821	800.000	NII HOLDINGS INC CL-B	BUY	6.4250	9.88	-5,149.88
20120814	20120817	600.000	ASSISTED LIVING CON-A NEW	SELL	7.2860	9.88	4,361.59
20120814	20120814	0.000	US/CND 6YAX01A @ 0.986	FX			-1,000.00
20120810	20120815	300.000	ASSISTED LIVING CON-A NEW	BUY	7.3398	9.88	-2,211.82
20120810	20120815	600.000	BOINGO WIRELESS INC	SELL	6.6612	9.88	3,986.75
20120810	20120815	300.000	ASSISTED LIVING CON-A NEW	BUY	7.3580	9.88	-2,217.28
20120809	20120814	600.000	BOINGO WIRELESS INC	BUY	6.6190	9.88	-3,981.28
20120809	20120814	800.000	ASSISTED LIVING CON-A NEW	SELL	7.8825	9.88	6,295.97
20120808	20120813	800.000	ASSISTED LIVING CON-A NEW	BUY	7.3363	9.88	-5,878.88
20120808	20120813	500.000	ACCRETIVE HEALTH INC	SELL	11.9830	9.88	5,981.48
20120731	20120731	0.000	US/CND 6YAX01A @ 1.0075	FX			4,972.88
20120726	20120731	500.000	ACCRETIVE HEALTH INC	BUY	9.9260	9.88	-4,972.88
20120531	20120531	0.000	US/CND 6YAX01A @ 1.0365	FX			530.60
20120530	20120530	0.000	US/CND 6YAX01A @ 1.0345	FX			666.52
20120525	20120531	2,264.000	AGFEED INDUSTRIES INC	BUY	0.2300	9.88	-530.60
20120524	20120530	2,736.000	AGFEED INDUSTRIES INC	BUY	0.2400	9.88	-666.52
20120522	20120522	0.000	US/CND 6YAX01A @ 1.0225	FX			1,274.88

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20120518	20120518	0.000	US/CND 6YAX01A @ 1.024	FX				1,134.88
20120518	20120518	0.000	US/CND 6YAX01A @ 1.024	FX				1,439.88
20120517	20120522	5,500.000	AGFEED INDUSTRIES INC	BUY	0.2300	9.88		-1,274.88
20120516	20120521	4,500.000	AGFEED INDUSTRIES INC	BUY	0.2500	9.88		-1,134.88
20120516	20120516	0.000	US/CND 6YAX01A @ 1.0145	FX				1,134.88
20120515	20120518	5,500.000	AGFEED INDUSTRIES INC	BUY	0.2600	9.88		-1,439.88
20120515	20120515	0.000	US/CND 6YAX01A @ 1.0085	FX				1,302.60
20120514	20120514	0.000	US/CND 6YAX01A @ 1.0095	FX				4,827.52
20120511	20120516	4,500.000	AGFEED INDUSTRIES INC	BUY	0.2500	9.88		-1,134.88
20120511	20120511	0.000	US/CND 6YAX01A @ 1.0015	FX				2,243.76
20120510	20120515	4,972.000	AGFEED INDUSTRIES INC	BUY	0.2600	9.88		-1,302.60
20120510	20120510	0.000	US/CND 6YAX01A @ 1.0075	FX				37.88
20120509	20120514	5,500.000	AGFEED INDUSTRIES INC	BUY	0.2633	9.88		-1,457.88
20120509	20120514	3,000.000	AGFEED INDUSTRIES INC	BUY	0.2600	9.88		-789.88
20120509	20120514	4,500.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-1,269.88
20120509	20120514	5,000.000	AGFEED INDUSTRIES INC	BUY	0.2600	9.88		-1,309.88
20120508	20120511	3,500.000	AGFEED INDUSTRIES INC	BUY	0.2606	9.88		-921.88
20120508	20120511	4,900.000	AGFEED INDUSTRIES INC	BUY	0.2678	9.88		-1,321.88
20120507	20120510	100.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-37.88
20120507	20120507	0.000	US/CND 6YAX01A @ 1.001	FX				5,015.49
20120504	20120509	1,689.000	AGFEED INDUSTRIES INC	BUY	0.2788	9.88		-480.80
20120503	20120508	1,500.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-429.88
20120503	20120508	1,000.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-289.88
20120503	20120508	311.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-96.96
20120503	20120508	20,000.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-5,609.88
20120503	20120503	0.000	US/CND 6YAX01A @ 0.9915	FX				1,888.96
20120503	20120503	0.000	US/CND 6YAX01A @ 0.992	FX				1,900.00
20120502	20120502	0.000	US/CND 6YAX01A @ 0.9945	FX				905.47
20120430	20120503	6,711.000	AGFEED INDUSTRIES INC	BUY	0.2800	9.88		-1,888.96

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20120427	20120502	3,317.000	AGFEED INDUSTRIES INC	BUY	0.2700	9.88	-905.47
20111201	20111201	97,000.000	AGFEED INDUSTRIES INC	TFR-IN			0.00
20110318	20110316	0.000	INTEREST TO MAR 16	INT			-8.09
20110224	20110224	0.000	US/CND 6YAX01A@ 0.978	FX			-119.32
20110223	20110223	0.000	042-058422-250 6YAX01B	CR			35,700.00
20110222	20110222	0.000	ITEM RETURNED NSF	TSF			-35,700.00
20110222	20110222	0.000	BANK CHARGE	FEE			-30.00
20110222	20110222	0.000	ITEM RETURNED NSF				0.00
20110222	20110222	0.000	US/CND 6YAX01A@ 0.9825	FX			-300.00
20110222	20110222	0.000	042-058422-250 6YAX01B	EPS			-71,400.00
20110218	20110218	0.000	042-058422-250 6YAX01B	CR			35,700.00
20110216	20110222	650.000	FAMILY DOLLAR STORES INC	BUY	54.5374	29	-35,478.34
20110216	20110222	650.000	FAMILY DOLLAR STORES INC	SELL	54.5010	29	35,395.98
20110216	20110222	2,200.000	VALUECLICK INC	SELL	16.3734	66	35,954.80
20110216	20110222	2,200.000	VALUECLICK INC	BUY	16.2831	66	-35,888.86
20110216	20110222	4,880.000	DIREXION DLY FINL BEAR 3X	SELL	7.4606	146.4	36,260.63
20110107	20110112	900.000	PROSHARES ULTRA R/EST-NEW	SELL	49.7222	29	44,720.19
20110107	20110112	4,820.000	DIREXION DLY FINL BEAR 3X	SELL	9.2501	144.6	44,440.12
20110107	20110112	900.000	PROSHARES ULTRA R/EST-NEW	BUY	49.5078	29	-44,586.05
20110107	20110112	4,880.000	DIREXION DLY FINL BEAR 3X	BUY	9.1178	146.4	-44,641.26
20110103	20110106	4,820.000	DIREXION DLY FINL BEAR 3X	BUY	8.8700	144.6	-42,898.00
20110103	20110106	1,380.000	PROSHARES ULTRAS MSCI-NEW	SELL	30.8377	41.4	42,513.90
20101231	20110105	1,380.000	PROSHARES ULTRAS MSCI-NEW	BUY	31.8599	41.4	-44,008.07
20101230	20110104	4,700.000	DIREXION DLY FINL BEAR 3X	SELL	9.4800	141	44,414.24
20101230	20110104	4,680.000	DIREXION DLY FINL BEAR 3X	SELL	9.5400	140.4	44,506.04
20101230	20110104	4,700.000	DIREXION DLY FINL BEAR 3X	BUY	9.4500	141	-44,556.00
20101230	20101230	0.000	042-058422-250 6YAX01B	CR			44,038.33
20101229	20110103	4,680.000	DIREXION DLY FINL BEAR 3X	BUY	9.3799	140.4	-44,038.33
20100524	20100524	-32,500.000	CATALYST PHARMACEUTICAL	TFROUT			0.00
20100524	20100524	-7,300.000	REXAHN PHARMACEUTICALS 6YAX01B	TFROUT			0.00

20100506	20100511	3,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0500	6.98	6,142.91
20100504	20100504	0.000	US/CND 6YAX01A@ 1.0185	FX			-800.00
20100427	20100430	2,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.1774	6.98	4,347.72
20100427	20100430	3,000.000	CATALYST PHARMACEUTICAL	BUY	1.4581	6.98	-4,381.28
20100423	20100428	5,700.000	CATALYST PHARMACEUTICAL	BUY	1.5285	6.98	-8,719.24
20100423	20100428	3,000.000	CATALYST PHARMACEUTICAL	BUY	1.5481	6.98	-4,651.28
20100423	20100428	3,900.000	OCULUS INNOVATIVE SCI INC	SELL	2.2200	6.98	8,650.87
20100423	20100428	2,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.2200	6.98	4,432.94
20100420	20100423	7,000.000	JONES SODA CO	SELL	1.2113	6.98	8,471.96
20100420	20100423	5,000.000	CATALYST PHARMACEUTICAL	SELL	1.7300	6.98	8,642.87
20100420	20100423	6,000.000	JONES SODA CO	SELL	1.2143	6.98	7,278.44
20100420	20100423	2,500.000	CATALYST PHARMACEUTICAL	BUY	1.6232	6.98	-4,065.08
20100420	20100423	6,000.000	RADIANT PHARMA CORP	SELL	1.1900	6.98	7,132.89
20100420	20100423	7,300.000	CATALYST PHARMACEUTICAL	BUY	1.6284	6.98	-11,893.98
20100420	20100423	2,300.000	CATALYST PHARMACEUTICAL	BUY	1.6188	6.98	-3,730.32
20100420	20100423	2,700.000	CATALYST PHARMACEUTICAL	BUY	1.6257	6.98	-4,396.37
20100420	20100423	6,000.000	RADIANT PHARMA CORP	BUY	1.1698	6.98	-7,025.48
20100419	20100422	8,000.000	JONES SODA CO	BUY	1.2400	6.98	-9,926.98
20100419	20100422	8,000.000	WIDEPOINT CORP	SELL	1.0945	6.98	8,749.24
20100419	20100422	1,000.000	JONES SODA CO	BUY	1.1792	6.98	-1,186.22
20100419	20100422	2,000.000	JONES SODA CO	BUY	1.1740	6.98	-2,354.98
20100419	20100422	4,000.000	JONES SODA CO	BUY	1.2100	6.98	-4,846.98
20100419	20100422	2,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.3200	6.98	4,632.94
20100419	20100422	8,000.000	WIDEPOINT CORP	BUY	1.1200	6.98	-8,966.92
20100419	20100422	5,000.000	CATALYST PHARMACEUTICAL	BUY	1.6667	6.98	-8,340.54
20100419	20100422	2,000.000	JONES SODA CO	SELL	1.1747	6.98	2,342.40
20100416	20100421	6,000.000	REXAHN PHARMACEUTICALS	SELL	2.9300	6.98	17,572.72
20100416	20100421	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.3253	6.98	-11,633.23
20100416	20100421	700.000	REXAHN PHARMACEUTICALS	SELL	3.0100	6.98	2,099.98
20100416	20100421	8,000.000	REXAHN PHARMACEUTICALS	BUY	2.9676	6.98	-23,747.96
20100416	20100421	3,000.000	REXAHN PHARMACEUTICALS	BUY	2.9284	6.98	-8,792.23
20100416	20100421	3,000.000	REXAHN PHARMACEUTICALS	BUY	2.8889	6.98	-8,673.66
20100415	20100420	2,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.2162	6.98	-4,439.32

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20100520	20100520	0.000	TRANSFER OUT FEE					-125.00
20100520	20100520	0.000	6YAX01B GST	GST				-6.25
20100520	20100520	0.000	CASH TRANSFER	TFROUT				-33.61
20100520	20100520	0.000	TO GIST					0.00
20100520	20100520	-49,400.000	AGFEED INDUSTRIES INC	TFROUT				0.00
20100520	20100520	0.000	TRANSFER GIST					0.00
20100520	20100520	-84,000.000	CEL-SCI CORP	TFROUT				0.00
20100520	20100520	0.000	TRANSFER GIST					0.00
20100520	20100520	-13,600.000	OCULUS INNOVATIVE SCI INC	TFROUT				0.00
20100520	20100520	0.000	TRANSFER GIST					0.00
20100510	20100513	1,400.000	OCULUS INNOVATIVE SCI INC	SELL	2.0200	6.98	2,820.97	
20100510	20100513	3,000.000	AGFEED INDUSTRIES INC	BUY	3.8732	6.98	-11,626.70	
20100510	20100513	5,900.000	OCULUS INNOVATIVE SCI INC	SELL	2.0200	6.98	11,910.81	
20100510	20100513	750.000	AGFEED INDUSTRIES INC	BUY	3.8781	6.98	-2,915.56	
20100507	20100512	2,700.000	AGFEED INDUSTRIES INC	BUY	3.6790	6.98	-9,940.31	
20100507	20100512	350.000	AGFEED INDUSTRIES INC	BUY	3.6780	6.98	-1,294.28	
20100507	20100512	1,800.000	AGFEED INDUSTRIES INC	BUY	3.6899	6.98	-6,648.80	
20100507	20100512	3,400.000	OCULUS INNOVATIVE SCI INC	SELL	2.0001	6.98	6,793.30	
20100507	20100512	5,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0000	6.98	9,992.85	
20100507	20100512	5,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0001	6.98	9,993.10	
20100507	20100512	2,700.000	AGFEED INDUSTRIES INC	BUY	3.6799	6.98	-9,942.71	
20100507	20100512	2,800.000	AGFEED INDUSTRIES INC	BUY	3.6799	6.98	-10,310.70	
20100507	20100512	5,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0008	6.98	9,997.05	
20100507	20100512	2,800.000	AGFEED INDUSTRIES INC	BUY	3.6791	6.98	-10,308.40	
20100507	20100512	700.000	OCULUS INNOVATIVE SCI INC	SELL	2.0000	6.98	1,392.99	
20100507	20100512	5,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0001	6.98	9,993.35	
20100506	20100511	7,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.0500	6.98	14,342.77	
20100506	20100511	1,800.000	AGFEED INDUSTRIES INC	BUY	3.6096	6.98	-6,504.26	
20100506	20100511	300.000	AGFEED INDUSTRIES INC	BUY	3.6883	6.98	-1,113.48	
20100506	20100511	5,100.000	OCULUS INNOVATIVE SCI INC	SELL	2.0461	6.98	10,427.80	
20100506	20100511	2,600.000	AGFEED INDUSTRIES INC	BUY	3.5282	6.98	-9,180.40	
20100506	20100511	3,900.000	AGFEED INDUSTRIES INC	BUY	3.5596	6.98	-13,889.42	

20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	2.1200	6.98	-6,366.98
20100414	20100419	4,500.000	ADEONA PHARMA INC	SELL	2.1316	6.98	9,584.85
20100414	20100419	6,000.000	ADEONA PHARMA INC	BUY	1.8900	6.98	-11,346.98
20100414	20100419	4,000.000	ADEONA PHARMA INC	BUY	2.1100	6.98	-8,446.98
20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	2.1100	6.98	-6,336.98
20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	2.4262	6.98	-7,285.61
20100413	20100416	10,000.000	CATALYST PHARMACEUTICAL	SELL	2.1452	6.98	21,444.67
20100413	20100416	300.000	MDRNA INC	SELL	1.3300	6.98	392.01
20100413	20100416	5,000.000	CATALYST PHARMACEUTICAL	BUY	2.1700	6.98	-10,856.98
20100413	20100416	10,000.000	CEL-SCI CORP	SELL	0.7006	6.98	6,998.83
20100413	20100416	7,000.000	CATALYST PHARMACEUTICAL	BUY	2.1305	6.98	-14,920.28
20100413	20100416	7,000.000	MDRNA INC	SELL	1.3300	6.98	9,302.86
20100413	20100416	10,000.000	CEL-SCI CORP	SELL	0.6951	6.98	6,944.29
20100413	20100416	8,000.000	CATALYST PHARMACEUTICAL	BUY	2.1345	6.98	-17,082.98
20100413	20100416	500.000	CEL-SCI CORP	SELL	0.7200	6.98	353.01
20100413	20100416	8,000.000	CATALYST PHARMACEUTICAL	BUY	2.1175	6.98	-16,947.11
20100413	20100416	10,000.000	CEL-SCI CORP	SELL	0.6900	6.98	6,892.94
20100413	20100416	5,000.000	CATALYST PHARMACEUTICAL	BUY	2.0781	6.98	-10,397.67
20100413	20100416	10,000.000	CEL-SCI CORP	SELL	0.6840	6.98	6,832.55
20100413	20100416	9,500.000	CEL-SCI CORP	SELL	0.6865	6.98	6,514.75
20100413	20100416	15,000.000	CATALYST PHARMACEUTICAL	BUY	2.0480	6.98	-30,726.98
20100413	20100416	13,000.000	CATALYST PHARMACEUTICAL	SELL	2.3473	6.98	30,507.50
20100413	20100416	7,000.000	CATALYST PHARMACEUTICAL	BUY	2.1181	6.98	-14,833.68
20100413	20100416	2,631.000	OCULUS INNOVATIVE SCI INC	BUY	2.3168	6.98	-6,102.49
20100413	20100416	10,000.000	CATALYST PHARMACEUTICAL	SELL	2.1322	6.98	21,314.65
20100412	20100415	2,700.000	OCULUS INNOVATIVE SCI INC	SELL	2.3700	6.98	6,391.91
20100412	20100415	6,500.000	CEL-SCI CORP	BUY	0.6356	6.98	-4,138.18
20100412	20100415	8,000.000	RADIENT PHARMA CORP	BUY	2.0850	6.98	-16,686.98
20100412	20100415	7,500.000	CEL-SCI CORP	BUY	0.6333	6.98	-4,757.03
20100412	20100415	5,000.000	RADIENT PHARMA CORP	SELL	2.1701	6.98	10,843.33
20100412	20100415	10,000.000	RADIENT PHARMA CORP	BUY	2.3700	6.98	-23,706.98
20100412	20100415	15,000.000	RADIENT PHARMA CORP	SELL	2.3300	6.98	34,942.42
20100412	20100415	6,000.000	CEL-SCI CORP	BUY	0.6334	6.98	-3,807.38
20100412	20100415	6,000.000	OCULUS	SELL	2.4200	6.98	1,500.00

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20100415	20100420	8,000.000	TECHNOLOGIES CORP	SELL	1.5411	6.98	12,321.89
20100415	20100420	8,000.000	CATALYST PHARMACEUTICAL	SELL	2.0106	6.98	16,077.68
20100415	20100420	5,000.000	DYNAVAX TECHNOLOGIES CORP	BUY	1.7487	6.98	-8,750.33
20100415	20100420	8,000.000	AETERNA ZENTARIS INC	BUY	1.6060	6.98	-12,855.09
20100415	20100420	15,000.000	AETERNA ZENTARIS INC	SELL	1.6700	6.98	25,042.59
20100415	20100420	15,000.000	AETERNA ZENTARIS INC	SELL	1.6461	6.98	24,684.60
20100415	20100420	3,500.000	AETERNA ZENTARIS INC	BUY	1.6000	6.98	-5,606.98
20100415	20100420	7,000.000	DYNAVAX TECHNOLOGIES CORP	SELL	1.5369	6.98	10,751.39
20100415	20100420	3,500.000	AETERNA ZENTARIS INC	BUY	1.6286	6.98	-5,707.23
20100415	20100420	6,000.000	AETERNA ZENTARIS INC	BUY	1.6589	6.98	-9,960.43
20100415	20100420	5,000.000	DYNAVAX TECHNOLOGIES CORP	BUY	1.6100	6.98	-8,056.98
20100415	20100420	5,000.000	DYNAVAX TECHNOLOGIES CORP	BUY	1.7094	6.98	-8,553.75
20100415	20100420	8,000.000	CATALYST PHARMACEUTICAL	SELL	1.9800	6.98	15,832.75
20100415	20100420	9,000.000	AETERNA ZENTARIS INC	BUY	1.6590	6.98	-14,938.21
20100414	20100419	3,300.000	ADEONA PHARMA INC	SELL	1.8700	6.98	6,163.91
20100414	20100419	3,000.000	ADEONA PHARMA INC	SELL	2.1800	6.98	6,532.90
20100414	20100419	4,700.000	MDRNA INC	SELL	1.3400	6.98	6,290.91
20100414	20100419	2,000.000	ADEONA PHARMA INC	BUY	2.2781	6.98	-4,563.18
20100414	20100419	4,000.000	ADEONA PHARMA INC	BUY	2.1100	6.98	-8,446.98
20100414	20100419	6,000.000	ADEONA PHARMA INC	SELL	2.1600	6.98	12,952.80
20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	2.1100	6.98	-6,336.98
20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	1.9200	6.98	-5,766.98
20100414	20100419	3,000.000	ADEONA PHARMA INC	SELL	2.1500	6.98	6,442.91
20100414	20100419	5,000.000	ADEONA PHARMA INC	BUY	2.4776	6.98	-12,395.01
20100414	20100419	10,000.000	ADEONA PHARMA INC	SELL	1.9800	6.98	19,792.68
20100414	20100419	5,400.000	ADEONA PHARMA INC	SELL	1.8135	6.98	9,785.65
20100414	20100419	3,800.000	ADEONA PHARMA INC	SELL	2.1400	6.98	8,124.88
20100414	20100419	3,000.000	ADEONA PHARMA INC	BUY	1.8900	6.98	-5,676.98

20100412	20100415	30,000.000	CEL-SCI CORP	BUY	0.6334	6.98	-19,008.98
20100412	20100415	5,000.000	RADIANT PHARMA CORP	BUY	2.1255	6.98	-10,634.48
20100412	20100415	12,500.000	MDRNA INC	SELL	1.3600	6.98	16,992.73
20100412	20100415	5,000.000	RADIANT PHARMA CORP	BUY	2.0800	6.98	-10,406.98
20100412	20100415	5,000.000	RADIANT PHARMA CORP	BUY	2.1590	6.98	-10,801.98
20100412	20100415	13,000.000	RADIANT PHARMA CORP	SELL	2.1308	6.98	27,692.55
20100409	20100414	800.000	AMBAC FINL GRP 5.875%DEBS	BUY	6.6025	6.98	-5,288.98
20100409	20100414	1,000.000	AMBAC FINL GRP 5.875%DEBS	BUY	6.7600	6.98	-6,766.98
20100409	20100414	800.000	AMBAC FINL GRP 5.875%DEBS	BUY	6.6300	6.98	-5,310.98
20100409	20100414	1,500.000	AMBAC FINL GRP 5.875%DEBS	BUY	6.8150	6.98	-10,229.48
20100409	20100414	5,100.000	AMBAC FINL GRP 5.875%DEBS	SELL	6.8088	6.98	34,717.45
20100409	20100414	1,000.000	AMBAC FINL GRP 5.875%DEBS	BUY	6.8800	6.98	-6,886.98
20100408	20100413	4,800.000	HOT TOPIC INC	SELL	7.8416	6.98	37,631.98
20100408	20100413	2,000.000	HOT TOPIC INC	BUY	7.9479	6.98	-15,902.75
20100408	20100413	2,700.000	OCULUS INNOVATIVE SCI INC	SELL	2.3800	6.98	6,418.91
20100408	20100413	300.000	HOT TOPIC INC	BUY	7.8381	6.98	-2,358.41
20100408	20100413	2,700.000	OCULUS INNOVATIVE SCI INC	BUY	2.3338	6.98	-6,308.14
20100408	20100413	2,800.000	HOT TOPIC INC	SELL	7.8700	6.98	22,028.64
20100408	20100413	2,800.000	HOT TOPIC INC	BUY	7.8400	6.98	-21,958.98
20100408	20100413	1,000.000	HOT TOPIC INC	BUY	7.8981	6.98	-7,905.08
20100408	20100413	1,500.000	HOT TOPIC INC	BUY	7.8781	6.98	-11,824.13
20100408	20100408	0.000	US/CND 6YAX01A@ 0.998	FX			-500.00
20100407	20100412	10,000.000	PURE BIOSCIENCE	BUY	3.4130	6.98	-34,136.98
20100407	20100412	10,000.000	PURE BIOSCIENCE	SELL	3.5305	6.98	35,297.42
20100407	20100412	11,000.000	PURE BIOSCIENCE	SELL	3.0905	6.98	33,987.44
20100407	20100412	3,000.000	PURE BIOSCIENCE	SELL	3.0800	6.98	9,232.86
20100407	20100412	10,000.000	PURE BIOSCIENCE	SELL	3.5986	6.98	35,978.41
20100407	20100412	10,000.000	PURE BIOSCIENCE	BUY	3.3881	6.98	-33,887.98
20100407	20100412	1,000.000	PURE BIOSCIENCE	SELL	3.0700	6.98	3,062.96
20100407	20100412	2,000.000	PURE BIOSCIENCE	SELL	3.0800	6.98	6,152.91
20100407	20100412	1,000.000	PURE BIOSCIENCE	SELL	3.0500	6.98	3,042.96
20100407	20100412	5,000.000	PURE BIOSCIENCE	BUY	3.0600	6.98	-15,306.98
20100407	20100412	1,000.000	PURE BIOSCIENCE	BUY	3.0443	6.98	-3,051.26
20100407	20100412	10,000.000	PURE BIOSCIENCE	BUY	3.3994	6.98	-34,000.98
20100407	20100412	1,000.000	PURE BIOSCIENCE	BUY	3.0200	6.98	-3,026.98
20100407	20100412	1,000.000	PURE BIOSCIENCE	BUY	3.0092	6.98	-3,016.22
20100407	20100407	0.000	042-058422-250 6YAX01B	CR			450.00
20100406	20100409	1,000.000	CHINA INFO SECURITY TECH	BUY	6.7391	6.98	-6,746.03
20100406	20100409	2,000.000	CHINA INFO SECURITY TECH	BUY	6.5684	6.98	-13,143.78

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20100406	20100409	3,000.000	CHINA INFO SECURITY TECH	BUY	6.9081	6.98	-20,731.28
20100406	20100409	2,000.000	CHINA INFO SECURITY TECH	BUY	6.6275	6.98	-13,261.99
20100406	20100409	4,000.000	CHINA INFO SECURITY TECH	SELL	6.7600	6.98	27,032.56
20100406	20100409	2,300.000	OCULUS INNOVATIVE SCI INC	SELL	2.3400	6.98	5,374.92
20100406	20100409	4,000.000	CHINA INFO SECURITY TECH	SELL	6.9000	6.98	27,592.55
20100405	20100408	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.3110	6.98	9,236.86
20100405	20100408	5,000.000	CEL-SCI CORP	BUY	0.6340	6.98	-3,177.12
20100405	20100408	5,000.000	CEL-SCI CORP	BUY	0.6336	6.98	-3,174.92
20100405	20100408	4,000.000	CEL-SCI CORP	BUY	0.6341	6.98	-2,543.41
20100405	20100408	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.3028	6.98	9,203.86
20100405	20100408	30,000.000	CEL-SCI CORP	SELL	0.6400	6.98	19,192.69
20100405	20100408	8,000.000	CEL-SCI CORP	BUY	0.6303	6.98	-5,049.43
20100405	20100408	8,000.000	CEL-SCI CORP	SELL	0.6303	6.98	5,035.33
20100405	20100405	0.000	042-058422-250 6YAX01B	EPS			-450.00
20100329	20100401	1,500.000	MDRNA INC	BUY	1.3100	6.98	-1,971.98
20100329	20100401	3,000.000	MDRNA INC	BUY	1.3284	6.98	-3,992.04
20100329	20100401	10,000.000	MDRNA INC	BUY	1.3605	6.98	-13,611.98
20100329	20100401	1,800.000	OCULUS INNOVATIVE SCI INC	SELL	2.2517	6.98	4,046.09
20100329	20100401	10,000.000	MDRNA INC	BUY	1.3482	6.98	-13,488.73
20100326	20100331	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.2392	6.98	-11,202.99
20100326	20100331	1,800.000	OCULUS INNOVATIVE SCI INC	BUY	2.2300	6.98	-4,020.98
20100326	20100331	5,000.000	ARCA BIOPHARMA INC	BUY	4.4581	6.98	-22,297.48
20100326	20100331	5,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.2700	6.98	11,342.87
20100326	20100331	2,000.000	ARCA BIOPHARMA INC	BUY	4.2381	6.98	-8,483.18
20100326	20100331	7,000.000	ARCA BIOPHARMA INC	SELL	4.5127	6.98	31,581.26
20100325	20100330	4,000.000	NIVS INTELLIMEDIA TECHNOL	BUY	3.5477	6.98	-14,197.60
20100325	20100330	2,700.000	OCULUS INNOVATIVE SCI INC	SELL	2.3252	6.98	6,270.90
20100325	20100330	3,000.000	NIVS INTELLIMEDIA TECHNOL	SELL	3.7037	6.98	11,103.87
20100325	20100330	2,000.000	NIVS INTELLIMEDIA TECHNOL	BUY	3.6673	6.98	-7,341.56
20100325	20100330	3,000.000	NIVS INTELLIMEDIA TECHNOL	SELL	3.7457	6.98	11,229.87
20100325	20100330	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.3300	6.98	9,312.90
20100323	20100326	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.2600	6.98	9,032.90
20100323	20100326	3,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.3600	6.98	7,072.93
20100319	20100316	0.000	INTEREST TO MAR	INT			-4.43

		INNOVATIVE SCI INC				
20100310	20100315	6,100.000	OCULUS INNOVATIVE SCI INC	BUY	2.7700	6.98 -16,903.98
20100310	20100315	4,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7475	6.98 -10,996.98
20100310	20100315	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7135	6.98 -13,574.32
20100310	20100315	5,000.000	WTS-CHINA GERUI 19MAR11	BUY	2.8999	6.98 -14,506.48
20100310	20100315	8,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7900	6.98 -22,326.98
20100310	20100315	3,000.000	AGFEED INDUSTRIES INC	BUY	4.9553	6.98 -14,872.98
20100310	20100315	10,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.9700	6.98 29,692.64
20100310	20100315	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.6624	6.98 -13,318.98
20100310	20100315	21,000.000	CEL-SCI CORP	SELL	0.6983	6.98 14,656.09
20100310	20100315	10,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.8732	6.98 28,724.72
20100310	20100315	3,000.000	XYRATEX LTD	SELL	17.3888	6.98 52,158.87
20100310	20100315	5,500.000	OCULUS INNOVATIVE SCI INC	BUY	2.5747	6.98 -14,167.98
20100310	20100315	10,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7400	6.98 -27,406.98
20100310	20100315	15,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.8397	6.98 -42,602.98
20100309	20100312	3,900.000	AGFEED INDUSTRIES INC	BUY	5.2700	6.98 -20,559.98
20100309	20100312	9,000.000	PROSHRS ULTRASH MSCI EMRG	SELL	10.6107	6.98 95,487.98
20100309	20100312	8,000.000	MBIA INC	SELL	5.3900	6.98 43,112.47
20100309	20100312	8,000.000	MBIA INC	SELL	5.2008	6.98 41,598.99
20100309	20100312	8,500.000	MBIA INC	SELL	5.3500	6.98 45,467.44
20100309	20100312	15,000.000	CEL-SCI CORP	BUY	0.6544	6.98 -9,823.07
20100309	20100312	15,000.000	CEL-SCI CORP	BUY	0.6585	13.96 -9,891.30
20100309	20100312	9,000.000	PROSHRS ULTRASH MSCI EMRG	BUY	10.5832	6.98 -95,256.07
20100309	20100312	2,000.000	AGFEED INDUSTRIES INC	BUY	5.2545	6.98 -10,515.89
20100309	20100312	3,000.000	ZANETT INC-NEW	SELL	3.1000	6.98 9,292.90
20100309	20100312	4,000.000	AGFEED INDUSTRIES INC	BUY	5.3000	6.98 -21,206.98
20100308	20100311	5,000.000	MBIA INC	BUY	5.3100	6.98 -26,556.98
20100308	20100311	5,000.000	MBIA INC	SELL	5.3700	6.98 26,842.67
20100308	20100311	5,000.000	MBIA INC	SELL	5.3700	6.98 26,842.67
20100308	20100311	3,500.000	CEL-SCI CORP	BUY	0.6400	6.98 -2,246.98
20100308	20100311	7,000.000	ZANETT INC-NEW	BUY	2.5000	6.98 -17,506.98
20100308	20100311	5,000.000	PROSHRS ULTRASH MSCI EMRG	SELL	10.6300	6.98 53,142.34
20100308	20100311	3,000.000	ZANETT INC-NEW	BUY	2.5400	6.98 -7,626.98
20100308	20100311	5,000.000	CEL-SCI CORP	BUY	0.6338	6.98 -3,175.98
20100308	20100311	7,500.000	MBIA INC	SELL	5.2808	6.98 39,598.38
20100308	20100311	7,500.000	MBIA INC	BUY	5.3500	6.98 -40,131.98

20100318	20100304	0.000	PRSTG COMM REBATE 03/04	REBATE			2.00
20100318	20100304	0.000	PRSTG COMM REBATE 03/04	REBATE			2.00
20100318	20100304	0.000	PRSTG COMM REBATE 03/04	REBATE			2.00
20100318	20100304	0.000	PRSTG COMM REBATE 03/04	REBATE			2.00
20100318	20100304	0.000	PRSTG COMM REBATE 03/04	REBATE			2.00
20100317	20100322	1,000.000	WTS-CHINA GERUI 19MAR11	SELL	2.5920	6.98	2,585.02
20100317	20100322	1,000.000	WTS-CHINA GERUI 19MAR11	SELL	2.5901	6.98	2,583.08
20100317	20100322	1,000.000	WTS-CHINA GERUI 19MAR11	SELL	2.5901	6.98	2,583.07
20100317	20100322	3,500.000	OCULUS INNOVATIVE SCI INC	BUY	2.2500	6.98	-7,881.98
20100317	20100322	1,000.000	WTS-CHINA GERUI 19MAR11	SELL	2.6000	6.98	2,592.99
20100317	20100322	1,000.000	WTS-CHINA GERUI 19MAR11	SELL	2.5900	6.98	2,583.02
20100317	20100322	2,200.000	OCULUS INNOVATIVE SCI INC	BUY	2.2400	6.98	-4,934.98
20100315	20100318	4,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.5371	6.98	10,141.09
20100315	20100318	3,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.4724	6.98	-7,424.28
20100315	20100318	6,000.000	OCULUS INNOVATIVE SCI INC	SELL	2.5723	6.98	15,426.82
20100315	20100318	4,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.5000	6.98	-10,006.98
20100315	20100318	2,900.000	OCULUS INNOVATIVE SCI INC	SELL	2.4528	6.98	7,105.92
20100315	20100318	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.5078	6.98	-12,546.08
20100311	20100316	15,000.000	CEL-SCI CORP	SELL	0.7103	6.98	10,646.73
20100311	20100316	15,000.000	CEL-SCI CORP	SELL	0.7000	6.98	10,492.88
20100310	20100315	20,000.000	CEL-SCI CORP	SELL	0.6981	6.98	13,955.77
20100310	20100315	5,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.6995	6.98	-13,504.51
20100310	20100315	1,400.000	OCULUS INNOVATIVE SCI INC	BUY	2.7631	6.98	-3,875.32
20100310	20100315	5,000.000	AGFEED INDUSTRIES INC	BUY	4.9400	6.98	-24,706.98
20100310	20100315	10,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.8153	6.98	-28,159.98
20100310	20100315	5,000.000	XYRATEX LTD	SELL	17.2535	20.94	86,245.49
20100310	20100315	10,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.7500	6.98	-27,506.98
20100310	20100315	2,900.000	XYRATEX LTD	SELL	17.2000	6.98	49,872.38
20100310	20100315	3,000.000	OCULUS INNOVATIVE SCI INC	BUY	2.8782	6.98	-8,641.47
20100310	20100315	6,000.000	AGFEED INDUSTRIES INC	BUY	4.9673	6.98	-29,810.98
20100310	20100315	4,900.000	OCULUS INNOVATIVE SCI INC	BUY	2.7641	6.98	-13,550.98

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20100308	20100311	5,000.000	CEL-SCI CORP	BUY	0.6322	6.98	-3,167.94
20100308	20100311	3,000.000	XYRATEX LTD	BUY	17.4927	6.98	-52,484.98
20100308	20100311	18,000.000	ZANETT INC-NEW	BUY	2.3674	6.98	-42,619.82
20100308	20100311	3,500.000	XYRATEX LTD	BUY	17.4480	6.98	-61,074.98
20100308	20100311	5,000.000	CEL-SCI CORP	BUY	0.6341	6.98	-3,177.48
20100308	20100311	5,000.000	MBIA INC	SELL	5.3700	6.98	26,842.67
20100308	20100311	5,000.000	CEL-SCI CORP	BUY	0.6341	6.98	-3,177.63
20100308	20100311	3,400.000	XYRATEX LTD	BUY	17.6500	6.98	-60,016.98
20100308	20100311	5,000.000	ZANETT INC-NEW	BUY	2.3659	6.98	-11,836.48
20100308	20100311	3,500.000	XYRATEX LTD	SELL	17.9200	6.98	62,712.22
20100308	20100311	2,000.000	XYRATEX LTD	BUY	17.1180	6.98	-34,242.98
20100308	20100311	23,000.000	ZANETT INC-NEW	SELL	2.5318	13.96	58,215.99
			PROSHRS				
20100308	20100311	5,000.000	ULTRASH MSCI	BUY	10.5889	6.98	-52,951.69
			EMRG				
20100308	20100311	7,500.000	MBIA INC	SELL	5.3500	6.98	40,117.51
20100308	20100311	2,500.000	XYRATEX LTD	BUY	17.1964	6.98	-42,997.98
20100308	20100311	10,000.000	ZANETT INC-NEW	BUY	2.5327	6.98	-25,334.38
20100308	20100311	17,000.000	ZANETT INC-NEW	SELL	2.8248	13.96	48,007.52
20100308	20100311	8,000.000	MBIA INC	SELL	5.3107	6.98	42,478.37
20100305	20100310	10,000.000	ALLIED IRISH BKS	BUY	3.9000	6.98	-39,006.98
			SPON-ADR				
20100305	20100310	1,500.000	CEL-SCI CORP	BUY	0.6286	6.98	-949.88
			PROSHRS				
20100305	20100310	3,600.000	ULTRASH MSCI	BUY	10.7981	6.98	-38,880.14
			EMRG				
20100305	20100310	5,000.000	GENERAL MOLY INC	BUY	3.6380	6.98	-18,197.05
20100305	20100310	7,500.000	MBIA INC	SELL	5.3600	6.98	40,192.50
			PROSHRS				
20100305	20100310	2,500.000	ULTRASH MSCI	BUY	10.7986	6.98	-27,003.37
			EMRG				
20100305	20100310	5,000.000	GENERAL MOLY INC	SELL	3.7819	6.98	18,902.27
20100305	20100310	4,500.000	GENERAL MOLY INC	BUY	3.6289	6.98	-16,337.04
20100305	20100310	7,000.000	GENERAL MOLY INC	BUY	3.6787	6.98	-25,758.14
20100305	20100310	5,000.000	GENERAL MOLY INC	SELL	3.7300	6.98	18,642.67
20100305	20100310	8,000.000	MBIA INC	SELL	5.3200	6.98	42,552.47
20100305	20100310	6,900.000	ALLIED IRISH BKS	BUY	3.8354	6.98	-26,471.00
			SPON-ADR				
			PROSHRS				
20100305	20100310	6,100.000	ULTRASH MSCI	SELL	10.8307	6.98	66,059.67
			EMRG				
20100305	20100310	8,000.000	MBIA INC	BUY	5.2900	6.98	-42,326.98
20100305	20100310	6,500.000	GENERAL MOLY INC	SELL	3.7819	6.98	24,575.05
20100305	20100310	8,900.000	ALLIED IRISH BKS	SELL	3.9009	6.98	34,710.55
			SPON-ADR				
20100305	20100310	8,000.000	ALLIED IRISH BKS	SELL	3.9112	6.98	31,282.20
			SPON-ADR				
20100305	20100310	7,500.000	MBIA INC	BUY	5.3390	6.98	-40,049.38
20100304	20100309	1,500.000	CEL-SCI CORP	BUY	0.6270	8.98	-949.54
20100304	20100309	10,800.000	NOVELL INC	SELL	6.0400	8.98	65,222.19
20100304	20100309	13,860.000	MBIA INC	SELL	4.8100	8.98	66,656.77
20100304	20100309	9,000.000	MBIA INC	BUY	4.7093	8.98	-42,392.51
20100304	20100309	4,860.000	MBIA INC	BUY	4.7033	8.98	-22,866.88
20100303	20100308	3,650.000	MBIA INC	SELL	4.8810	8.98	17,806.48
20100303	20100308	6,000.000	MBIA INC	SELL	4.8709	8.98	29,216.15

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20100303	20100308	4,900.000	NOVELL INC	BUY	6.0181	8.98	-29,497.67
20100303	20100308	3,600.000	NOVELL INC	BUY	6.0481	8.98	-21,782.14
20100303	20100308	4,000.000	MBIA INC	SELL	4.8713	8.98	19,476.09
20100303	20100308	2,300.000	NOVELL INC	BUY	6.1281	8.98	-14,103.61
20100223	20100226	2,530.000	MBIA INC	BUY	4.8492	8.98	-12,277.33
20100223	20100226	4,500.000	ZALE CORP	SELL	2.4553	8.98	11,039.78
20100223	20100226	4,500.000	ZALE CORP	SELL	2.4612	8.98	11,066.19
20100223	20100226	4,500.000	ZALE CORP	BUY	2.3989	8.98	-10,803.85
20100223	20100226	4,500.000	MBIA INC	SELL	5.0868	8.98	22,881.48
20100223	20100226	4,500.000	ZALE CORP	BUY	2.4089	8.98	-10,848.85
20100223	20100226	2,520.000	MBIA INC	BUY	4.8489	8.98	-12,228.09
20100218	20100223	5,700.000	MBIA INC	SELL	5.1700	8.98	29,459.64
20100218	20100223	5,700.000	MBIA INC	BUY	5.1083	8.98	-29,126.29
20100218	20100223	7,500.000	MBIA INC	BUY	5.1266	8.98	-38,458.45
20100218	20100223	300.000	MBIA INC	BUY	4.9281	8.98	-1,487.41
20100218	20100223	7,500.000	MBIA INC	SELL	5.1600	8.98	38,690.52
20100217	20100222	7,000.000	MBIA INC	BUY	5.1200	8.98	-35,848.98
20100217	20100222	6,000.000	MBIA INC	SELL	5.2000	8.98	31,190.62
20100217	20100222	5,000.000	MBIA INC	SELL	5.1916	8.98	25,948.48
20100217	20100222	6,000.000	MBIA INC	BUY	5.1400	8.98	-30,848.98
20100217	20100222	5,000.000	MBIA INC	BUY	5.1500	8.98	-25,758.98
20100217	20100222	7,000.000	MBIA INC	SELL	5.2000	8.98	36,390.55
20100216	20100216	0.000	US/CND 6YAX01A @ 1.039	FX			-180.00
20100212	20100218	800.000	MBIA INC	BUY	4.7081	8.98	-3,775.46
20100211	20100217	3,000.000	CEL-SCI CORP	BUY	0.5153	8.98	-1,554.88
20100211	20100217	3,000.000	CEL-SCI CORP	BUY	0.5163	8.98	-1,557.88
20100211	20100217	3,500.000	CEL-SCI CORP	SELL	0.5145	8.98	1,791.77
20100211	20100217	1,000.000	MBIA INC	SELL	5.0215	8.98	5,012.47
20100210	20100216	5,000.000	CEL-SCI CORP	BUY	0.5893	8.98	-2,955.48
20100210	20100216	2,000.000	CEL-SCI CORP	BUY	0.5896	8.98	-1,188.13
20100210	20100216	2,000.000	CEL-SCI CORP	BUY	0.5887	8.98	-1,186.38
20100210	20100216	2,000.000	CEL-SCI CORP	BUY	0.5888	8.98	-1,186.58
20100210	20100216	4,500.000	MBIA INC	BUY	5.0200	8.98	-22,598.98
20100210	20100216	4,500.000	MBIA INC	SELL	5.0839	8.98	22,868.16
20100210	20100216	1,650.000	FIDELITY NATL FINANCIAL-A	BUY	13.3687	8.98	-22,067.30
20100210	20100216	3,000.000	CEL-SCI CORP	BUY	0.5857	8.98	-1,766.08
20100210	20100216	1,650.000	FIDELITY NATL FINANCIAL-A	SELL	13.3912	8.98	22,086.23
20100210	20100216	2,000.000	MBIA INC	SELL	5.0318	8.98	10,054.54
20100210	20100216	2,300.000	CEL-SCI CORP	BUY	0.5900	8.98	-1,365.98
20100208	20100211	4,600.000	MBIA INC	BUY	5.0886	8.98	-23,416.71
20100208	20100211	4,500.000	MBIA INC	SELL	5.1500	8.98	23,165.72
20100208	20100211	300.000	MBIA INC	BUY	5.0581	8.98	-1,526.41
20100208	20100211	4,800.000	MBIA INC	SELL	5.0815	8.98	24,381.93
20100208	20100211	1,800.000	FIDELITY NATL FINANCIAL-A	BUY	13.3292	8.98	-24,001.51
20100208	20100211	9,000.000	MBIA INC	BUY	5.0686	8.98	-45,626.82
20100208	20100211	1,700.000	FIDELITY NATL FINANCIAL-A	BUY	13.3683	8.98	-22,735.13
20100208	20100211	3,500.000	FIDELITY NATL FINANCIAL-A	SELL	13.3912	8.98	46,859.60

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20100208	20100211	4,500.000	MBIA INC	SELL	5.1615	8.98	23,217.37
20100208	20100211	100.000	MBIA INC	BUY	5.0281	8.98	-511.79
20100205	20100210	10,000.000	MBIA INC	BUY	4.7400	8.98	-47,408.98
20100205	20100210	6,050.000	FIDELITY NATL FINANCIAL-A	SELL	13.3618	8.98	80,828.98
20100205	20100210	17,000.000	MBIA INC	BUY	4.7690	17.96	-81,090.66
20100205	20100210	14,000.000	MBIA INC	BUY	4.7096	8.98	-65,943.98
20100205	20100210	6,500.000	MBIA INC	BUY	4.7900	8.98	-31,143.98
20100205	20100210	17,000.000	MBIA INC	BUY	4.7211	8.98	-80,267.98
20100205	20100210	6,000.000	FIDELITY NATL FINANCIAL-A	SELL	13.3008	8.98	79,794.75
20100205	20100210	5,000.000	FIDELITY NATL FINANCIAL-A	SELL	13.3008	8.98	66,494.16
20100205	20100210	300.000	MBIA INC	BUY	4.7681	8.98	-1,439.41
20100205	20100210	6,000.000	FIDELITY NATL FINANCIAL-A	SELL	13.3411	8.98	80,036.46
20100203	20100208	9,800.000	MBIA INC	SELL	5.2700	9.98	51,635.36
20100203	20100208	8,000.000	MBIA INC	SELL	5.1519	9.98	41,204.69
20100203	20100208	7,000.000	MBIA INC	SELL	5.2014	9.98	36,399.05
20100203	20100208	1,500.000	FIDELITY NATL FINANCIAL-A	BUY	12.8990	9.98	-19,358.46
20100203	20100208	2,700.000	FIDELITY NATL FINANCIAL-A	BUY	13.1089	9.98	-35,403.92
20100203	20100208	1,000.000	FIDELITY NATL FINANCIAL-A	BUY	12.9081	9.98	-12,918.08
20100203	20100208	3,100.000	FIDELITY NATL FINANCIAL-A	BUY	13.1993	9.98	-40,927.70
20100203	20100208	7,000.000	MBIA INC	SELL	5.1800	9.98	36,249.55
20100203	20100208	8,000.000	MBIA INC	SELL	5.1729	9.98	41,372.32
20100203	20100208	5,700.000	FIDELITY NATL FINANCIAL-A	BUY	12.9931	9.98	-74,070.40
20100203	20100208	2,800.000	FIDELITY NATL FINANCIAL-A	BUY	13.1989	9.98	-36,966.94
20100203	20100208	3,150.000	FIDELITY NATL FINANCIAL-A	BUY	13.3681	9.98	-42,119.50
20100203	20100208	10,200.000	MBIA INC	SELL	5.2900	9.98	53,947.33
20100202	20100205	3,100.000	FIDELITY NATL FINANCIAL-A	BUY	13.0390	9.98	-40,430.73
20100202	20100205	7,800.000	MBIA INC	SELL	5.2900	9.98	41,251.49
20100121	20100121	0.000	US/CND 6YAX01A@ 1.044	FX			-800.00
20100115	20100121	200.000	MBIA INC	SELL	5.3619	9.98	1,062.38
20100111	20100114	4,000.000	ENERGY XXI LTD (BERMUDA)	BUY	3.3500	9.98	-13,409.98
20100111	20100114	10,000.000	CEL-SCI CORP	BUY	1.0300	9.98	-10,309.98
20100111	20100114	7,300.000	CEL-SCI CORP	BUY	1.0285	9.98	-7,518.34
20100111	20100114	5,000.000	PACIFIC ETHANOL INC	BUY	1.9481	9.98	-9,750.48
20100111	20100114	5,000.000	GENERAL MOLY INC	BUY	3.1174	9.98	-15,596.96
20100111	20100114	4,400.000	CEL-SCI CORP	BUY	0.9989	9.98	-4,405.22
20100111	20100114	14,000.000	CEL-SCI CORP	BUY	1.0300	9.98	-14,429.98
20100111	20100114	4,000.000	CEL-SCI CORP	BUY	1.0283	9.98	-4,123.33
20100111	20100114	15,600.000	CEL-SCI CORP	BUY	1.0200	9.98	-15,921.98
20100111	20100114	2,800.000	BEAZER HOMES USA USD0.01	SELL	5.1519	9.98	14,414.96
20100111	20100114	2,000.000	CEL-SCI CORP	BUY	1.0100	9.98	-2,000.00

20100111	20100114	5,000.000	GENERAL MOLY INC	SELL	3.1105	9.98	15,542.04
20100111	20100114	5,000.000	PACIFIC ETHANOL INC	SELL	2.1400	9.98	10,689.74
20100111	20100114	3,400.000	BEAZER HOMES USA USD0.01	SELL	5.1500	9.98	17,499.56
20100111	20100114	4,000.000	ENERGY XXI LTD (BERMUDA)	SELL	3.4200	9.98	13,669.66
20100108	20100113	6,200.000	BEAZER HOMES USA USD0.01	SELL	5.1900	9.98	32,167.19
20100107	20100112	3,000.000	WAVE SYSTEMS CRP CL-A	SELL	1.7800	9.98	5,329.88
20100107	20100112	1,000.000	BEAZER HOMES USA USD0.01	BUY	5.1689	9.98	-5,178.84
20100107	20100112	2,500.000	MERIX CORP	SELL	2.4083	9.98	6,010.54
20100107	20100112	7,500.000	BEAZER HOMES USA USD0.01	BUY	5.1978	9.98	-38,993.79
20100107	20100112	2,700.000	BEAZER HOMES USA USD0.01	BUY	5.1382	9.98	-13,883.04
20100107	20100112	1,200.000	BEAZER HOMES USA USD0.01	BUY	5.0981	9.98	-6,127.70
20100106	20100111	3,500.000	WAVE SYSTEMS CRP CL-A	SELL	1.7800	9.98	6,219.88
20100106	20100111	7,000.000	WAVE SYSTEMS CRP CL-A	SELL	1.7619	9.98	12,322.97
20100106	20100111	3,000.000	WAVE SYSTEMS CRP CL-A	SELL	1.7900	9.98	5,359.88
20100106	20100111	450.000	FIDELITY NATL FINANCIAL-A	SELL	13.1619	9.98	5,912.72
20100106	20100111	3,000.000	WAVE SYSTEMS CRP CL-A	SELL	1.7903	9.98	5,360.78
20100106	20100111	3,000.000	MELCO CROWN ENTAINMNT ADR	SELL	4.1400	9.98	12,409.70
20100106	20100111	3,000.000	WAVE SYSTEMS CRP CL-A	SELL	1.7900	9.98	5,359.88
20100105	20100108	5,500.000	WAVE SYSTEMS CRP CL-A	BUY	1.7887	9.98	-9,847.74
20100105	20100108	3,000.000	WAVE SYSTEMS CRP CL-A	BUY	1.7992	9.98	-5,407.72
20100105	20100108	6,000.000	WAVE SYSTEMS CRP CL-A	BUY	1.7596	9.98	-10,567.58
20100105	20100108	2,500.000	MERIX CORP	BUY	2.6998	9.98	-6,759.58
20100105	20100108	450.000	FIDELITY NATL FINANCIAL-A	BUY	13.1281	9.98	-5,917.63
20100105	20100108	3,000.000	MELCO CROWN ENTAINMNT ADR	BUY	4.1093	9.98	-12,337.88
20100105	20100108	3,000.000	WAVE SYSTEMS CRP CL-A	BUY	1.8096	9.98	-5,438.78
20100105	20100108	5,000.000	WAVE SYSTEMS CRP CL-A	BUY	1.7780	9.98	-8,899.98
20100104	20100107	8,000.000	MBIA INC	SELL	4.2030	9.98	33,613.15
20100104	20100107	7,500.000	MBIA INC	SELL	4.2300	9.98	31,714.20

All transaction prices and commissions are displayed in the currency of the holding. Net Settlement amounts are displayed in the currency of the account in which they are held.

For Non-Canadian dollar denominated holdings, the amount is calculated by multiplying the previous day's closing price for the holding in its native currency by previous day's conversion rate taken from sources deemed to be reliable. If the previous day's closing price is not available, then the most recent closing price available for the holding will be used.

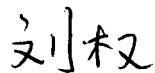
TO BMCGROUP :

PLEASE SUPPRESSE THIS PROOF OF CLAIM DOCUMENT TO
PROTECT MY CONFIDENTIAL INFORMATION.

THANK YOU



MR.XIAOHAI LI



MS.QUAN LIU

OCT. 25, 2013

EXPRESS WORLDWIDE

DOX



FROM: XIAOHAI LI
29 BRIARGLLEN COURT
SCARBOROUGH M1W 3Z8
CANADA

Origin:
YHM

TO: BMC GROUP INC.
18675 LAKE DRIVE EAST
Attn: AGFEED USA, CLAIMS PROC
Ph: 416-893-0518

55317 CHANNASSEN
UNITED STATES OF AMERICA

US-STP-STP

Day Time

Shipment Ref:
DOX

Pickup Date 2013-10-28 N/A
Piece/Shipmt Weight: 1.00 lb
Pieces: 1

Contents: DOCUMENT

OCT 29 2013

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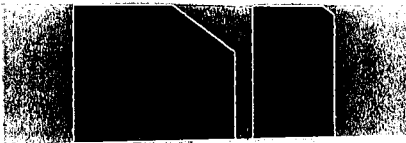


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