

UNITED STATES BANKRUPTCY COURT FOR
THE DISTRICT OF DELAWARE

REGULAR MAIL:
BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
PO BOX 3020
CHANHASSEN, MN 55317-3020

MESSENGER/OVERNIGHT DELIVERY
BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
18675 LAKE DRIVE EAST
CHANHASSEN, MN 55317

PROOF OF CLAIM /
REQUEST FOR
PAYMENT OF
ADMINISTRATIVE
EXPENSE

Name of Debtor: AGFEED USA, LLC

Case Number: 13-11761

Name of Creditor (the person or other entity to whom the debtor owes money or property):
DELBERT C. SMITH & JANET I. SMITH JT WRD

COURT USE ONLY

Name and address where notices should be sent:
DELBERT C. SMITH & JANET I. SMITH
1607 3 LAKES DRIVE
TROY, MICHIGAN 48065-1434

RECEIVED
NOV 15 2013
BMC GROUP

☐ Check this box if this claim amends a previously filed claim.

Court Claim Number: _____
(If known)

Filed on: _____

Telephone number: 248-879-6173 email: DSMITH4681@WOWWAY.COM

Name and address where payment should be sent (if different from above):

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach a copy of statement giving particulars.

Telephone number: _____ email: _____

NOT SUBJECT TO BAR DATE/3"

1. Amount of Claim as of Date Case Filed: \$ 293,600.87 - 95,987.71 (SALE PROCEEDS) = \$197,613.87 ACTUAL
195,596 SHARES PURCH'D SALE PROCEEDS = 102,096 SHARE BALANCE
43,500 SH. SOLD 48,534.61 CURRENT BASIS
(21,887.55) CURRENT LOSS

If all or part of the claim is secured, complete item 4.
If all or part of the claim is entitled to priority, complete item 5.
If all or part of the claim qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9), complete item 6.

☐ Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.

1a. Amount of Administrative Claim (see Definitions) solely with respect to AgFeed USA, LLC or any other Debtor (excluding Debtor AgFeed Industries, Inc.) arising from the period from July 15, 2013, through September 12, 2013: \$ _____
(See instruction #1a)

2. Basis for Claim: COMMON STOCK PURCHASES & SALES
(See instruction #2)

3. Last four digits of any number by which creditor identifies debtor:

8748

3a. Debtor may have scheduled account as:
TD AMERITRADE
ACCOUNT
(See instruction #3a)

3b. Uniform Claim Identifier (optional):

(See instruction #3b)

4. Secured Claim (See instruction #4)

Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information.

Nature of property or right of setoff: ☐ Real Estate ☐ Motor Vehicle ☐ Other
Describe:

Value of Property: \$ _____

Annual Interest Rate _____% ☐ Fixed or ☐ Variable
(when case was filed)

Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any:

Basis for perfection: _____

Amount of Secured Claim: \$ _____

Amount of Unsecured: \$ _____

5. Amount of Claim Entitled to Priority under 11 U.S.C. § 507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.

☐ Domestic support obligations under 11 U.S.C. § 507 (a)(1)(A) or (a)(1)(B).

☐ Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier – 11 U.S.C. § 507 (a)(4).

☐ Contributions to an employee benefit plan – 11 U.S.C. § 507 (a)(5).

Amount entitled to priority:

☐ Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. § 507 (a)(7).

☐ Taxes or penalties owed to governmental units – 11 U.S.C. § 507 (a)(8).

☐ Other – Specify applicable paragraph of 11 U.S.C. § 507 (a)()

\$ _____

*Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustm.

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9): _____
(See instruction #6)



7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim (See instruction #7)

8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #7, and the definition of "redacted".)

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

9. Signature: (See instruction #9)

Check the appropriate box.

☒ I am the creditor.

☐ I am the creditor's authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.)

☐ I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to best of my knowledge, information, and reasonable belief.

Print Name: DELBERT C. SMITH

Title: _____

Company: _____

Address and telephone number (if different from notice address above): _____

Delbert C. Smith 11/11/13
(Signature) (Date)
Janet J. Smith

Telephone number: _____

email: _____

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, exceptions to these general rules may apply.

Items to be completed in Proof of Claim form

Court, Name of Debtor, and Case Number:

Fill in the debtor's full name, and the bankruptcy case number. If the creditor received a notice of the case from the bankruptcy court, all of this information is at the top of the notice.

AgFeed USA, LLC	13-11761
AgFeed Industries, Inc.	13-11762
Genetics Land, LLC	13-11776
Genetics Operating, LLC	13-11769
Heritage Farms, LLC	13-11767
Heritage Land, LLC	13-11768
M2P2 AF JV, LLC	13-11774
M2P2 Facilities, LLC	13-11770
M2P2 General Operations, LLC	13-11772
MGM, LLC	13-11771
Midwest Finishing, LLC	13-11775
New Colony Farms, LLC	13-11766
New Colony Land Company, LLC	13-11773
New York Finishing, LLC	13-11764
Purk Technologies, LC	13-11765
TS Finishing, LLC	13-11763

If your claim is against multiple Debtors, complete a separate form for each Debtor.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP 2002(g)).

1. Amount of Claim as of Date Case Filed:

State the total amount owed to the creditor on the date of the bankruptcy filing. Follow the instructions concerning whether to complete items 4, 5, and 6. Check the box if interest or other charges are included in the claim.

1a. Amount of Administrative Claim arising after Date Case Filed:

State the total amount owed pursuant to section 503(b), *excluding* any amounts owed under section 503(b)(9), on account of claims arising from the period

3b. Uniform Claim Identifier:

If you use a uniform claim identifier, you may report it here. A uniform claim identifier is an optical 24-character identifier that certain large creditors use to facilitate electronic payment in chapter 13 cases.

4. Secured Claim:

Check whether the claim is fully or partially secured. Skip this section if the Claim is entirely unsecured. (See Definitions.) If the claim is secured, check the box for the nature and value of property that secures the claim, attach copies of lien documentation, and state, as of the date of the bankruptcy filing, the annual interest rate (and whether it is fixed or variable), and the amount past due on the claim.

5. Amount of Claim Entitled to Priority Under 11 U.S.C. § 507(a):

If any portion of the claim falls into any category shown, check the appropriate box(es) and state the amount entitled to priority. (See Definitions.) A claim may be partly priority and partly non-priority. For example, in some of the categories, the law limits the amount entitled to priority.

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9):

State the value of any goods received by the debtor within 20 days before the date of commencement in which the goods have been sold to the debtor in the ordinary course of the debtor's business.

7. Credits:

An authorized signature on this proof of claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

8. Documents:

Attach redacted copies of any documents that show the debt exists and a lien secures the debt. You must also attach copies of documents that evidence perfection of any security interest and documents required by FRBP 3001(c) for claims based on an open-end or revolving consumer credit agreement or secured by a security interest in the debtor's principal residence. You may also attach a summary in addition to the documents themselves. FRBP 3001(c) and (d). If the claim is based on delivering health care goods or services, limit disclosing confidential health care information. Do not send original documents, as attachments may be destroyed after scanning.

9. Date and Signature:

The individual completing this proof of claim must sign and date it. FRBP 9011. If the claim is filed electronically, FRBP 5005(a)(2) authorizes courts to establish local rules

Statement for Account # 873-457774

09/01/13 - 09/30/13

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	\$ 0.00	\$ 4,125.00
Non Taxable Interest	0.00	2,486.25
Ordinary Dividends	79.17	235.83
Interest Income Credit Balance	0.00	0.05
Non Taxable Dividends	5,053.53	40,818.14
Return Of Capital	0.00	31.26
Foreign Dividend Tax Withheld	(135.02)	(1,590.89)
Cash Liquidation	0.00	2,705.40
Qualified Dividends	5,628.95	35,834.22
IDA Interest	4.37	63.16
Non Taxable Accrued Interest	0.00	526.35

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
ABB LTD	ABB	1,000	\$ 23.59	\$ 23,590.00	11/10/08	\$ 15,709.00	\$ 15.71	\$ 7,881.00	\$ 704.00	3.0%
ADR										
ABRAXAS PETE CORP	AXAS	10,000	2.571	25,710.00	08/10/12	20,024.30	2.00	5,685.70	-	-
CM										
AGFEED INDUSTRIES INC	FEEDQ	102,096	0.261	26,647.06	09/01/11	48,534.61	0.48	(21,887.55)	-	-
COM										
AMERICAN EAGLE	AEO	2,000	13.99	27,980.00	01/11/10	33,705.90	16.85	(5,725.90)	1,000.00	3.6%
OUTFITTERS										
COM										
AMERICAN ELEC PWR	AEP	800	43.35	34,680.00	02/07/12	31,799.00	39.75	2,881.00	1,568.00	4.5%
INC										
COM										
AMERICAN SUPERCONDUCTOR	AMSC	8,840	2.34	20,685.60	08/01/12	26,256.07	2.97	(5,570.47)	-	-
CORP										
COM										
AMGEN INC	AMGN	61	111.925	6,827.43	12/06/99	2,872.09	47.08	3,955.34	114.68	1.7%
COM										

Statement for Account # 873-457774
08/01/13 - 08/31/13

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/07/13	08/12/13	Cash	Buy - Securities Purchased	MODERMOTT INTL INC COM	MDR	1,000	6.90	(6,907.00)	(6,892.25)
				Commission 7.00					
08/12/13	08/12/13	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	6,892.25	0.00
08/14/13	08/14/13	Cash	Div/Int - Expense	SIEMENS A.G. ADR	SI	-	0.00	(18.00)	(18.00)
				ADR SERVICE FEE Payable: 08/14/2013					
08/15/13	08/15/13	Cash	Div/Int - Income	GENERAL ELEC CAP CORP SR UNSECURED SERIES NOT1 5.5% 08/15/2015 Payable: 08/15/2013 Taxable Int Credited 1375.00	36966R2D7	-	0.00	1,375.00	1,357.00
08/15/13	08/15/13	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,357.00)	0.00
08/15/13	08/15/13	Cash	Div/Int - Income	COVIDIEN PLC COM	COV	-	0.00	65.00	65.00
				Payable: 08/15/2013 QUALIFIED DIVIDENDS 65.00					
08/13/13	08/16/13	Cash	Buy - Securities Purchased	AMR CORP COM	AAMRQ	1,000	3.07	(3,077.00)	(3,012.00)
				Commission 7.00					
08/13/13	08/16/13	Cash	Sell - Securities Sold	AGFEED INDUSTRIES INC COM	FEEDQ	1,000	0.145	137.99	(2,874.01)
				Commission 7.00 Regulatory Fee 0.01					
08/13/13	08/16/13	Cash	Sell - Securities Sold	AGFEED INDUSTRIES INC COM	FEEDQ	10,000	0.144	1,439.97	(1,434.04)
				Regulatory Fee 0.03					
08/13/13	08/16/13	Cash	Sell - Securities Sold	CHINA GREEN AGRICULTURE INC COM	CGA	3,950	3.34	13,185.77	11,751.73
				Commission 7.00 Regulatory Fee 0.23					
08/13/13	08/16/13	Cash	Buy - Securities Purchased	DENDREON CORP COM	DNDN	1,000	3.1599	(3,166.90)	8,584.83
				Commission 7.00					

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

(OMB No: 1545-0715)

LINE #2 STOCKS, BONDS, ETC. REPORTED TO IRS - GROSS PROCEEDS LESS COMMISSIONS AND OPTIONS PREMIUMS

DATE (LINE # 1A)	DESCRIPTION (LINE # 7)	SYMBOL	CUSIP (LINE # 1B)	INFORMATION	EXCHANGED (LINE # 8)	CLASS (LINE # 6)	AMOUNT (LINE # 2)	NO LOSS IF CHECKED
12/30/10	AGFEED INDUSTRIES INC COM	FEED	00846L101	SELL 12/24/10	19,500	C	50,694.14	
12/30/10	AGFEED INDUSTRIES INC COM	FEED	00846L101	SELL 12/24/10	8,000	C	20,794.84	
03/01/10	ATLANTIC CNTY NJ UTIL 7.125% 03/01/2016		048509AN3	PARTIAL CALL 9/13/17	5	O	5,000.00	
04/30/10	BP PLC SPONS ADR	BP	055622104	SELL 5/11/10	500	✓ O	25,884.56	
10/07/10	BUILD A BEAR WORKSHOP INC COM	BBW	120076104	SELL 11/6/10	500	✓ C	3,044.94	
10/07/10	CALLAWAY GOLF CO COM	ELY	131193104	SELL 11/04/10	500	✓ C	3,594.93	
09/07/10	CRACKER BARREL OLD COUNTRY STR COM	CBRL	22410J108	SELL 9/10/10	500	✓ C	24,154.59	
09/21/10	DYNAMIC MATERIALS CORP COM	BOOM	267888105	SELL 5/10/10	500	✓ C	7,374.87	
12/30/10	PIMCO CAL MUNICIPAL INC FD II CLOSED END FUND	PCK	72200M108	SELL 12/06/10	11,204	✓ O	96,907.96	
	PLCM	73172K104		SELL 12/06/10	1,640	✓ C	44,274.25	
	780486ET9			FULL CALL 11/10/10	25	O	25,000.00	
	QUALITY GROUP INC W EXP	RUTHR	783332117	SELL 12/06/10	1,000	O	152.99	
	JAVA	866810203		CASH MERGER 10/13/10	500	✓ C	4,750.00	
	NOT SELL	871130977		TENDER 11/10/10	193	✓ C	12,545.00	

50,694.14
-281,097.14
231,403.28
201,546.69
20,856.59
FEDERAL
ATAX WITHHELD
(LINE #4)
0.00
(LINE #12)
0.00
43,587.74

*

2011 Consolidated Forms 1099

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

(OMB No: 1545-0715)

LINE #2 STOCKS, BONDS, ETC., REPORTED TO IRS - GROSS PROCEEDS LESS COMMISSIONS AND OPTIONS PREMIUMS (Each transaction consists of two informational lines)
The information presented on this form was based on information available. However, the taxpayer is ultimately responsible for the accuracy of their tax returns.

SHORT-TERM TRANSACTIONS FOR WHICH BASIS WAS REPORTED TO THE IRS - Report on Form 8949, Part I, with Box A checked

SALE DATE	ACQUISITION DATE	DESCRIPTION (LINE 9)	SYMBOL	QUANTITY	AMOUNT (LINE 2)	COST BASIS (LINE 3)	WITHHELD (LINE 4)	TAX	WASH SALE LOSS	NO LOSS IF CHECKED (LINE 15)
(LINE 1A)	(LINE 1B)	INFORMATION	CUSIP		(LINE 2)	(LINE 3)	(LINE 4)	(LINE 4)	(LINE 5)	(LINE 15)
12/01/2011	01/31/2011	AGFEED INDUSTRIES INC COM	FEED	5,800	3,804.93	14,031.00	✓	0.00		
		SELL	00846L101							
11/29/2011	VARIOUS	AMERICAN SUPERCONDUCTOR CORP COM	AMSC	2,300	9,192.82	48,855.10	✓	0.00		
		SELL	030111108							
11/29/2011	VARIOUS	AMERICAN SUPERCONDUCTOR CORP COM	AMSC	2,200	8,792.83	16,806.40	✓	0.00		
		SELL	030111108							
11/29/2011	VARIOUS	AMERICAN SUPERCONDUCTOR CORP COM	AMSC	4,000	16,032.89	26,313.50	✓	0.00		
		SELL	030111108							
04/25/2011	02/02/2011	BORDERS GROUP INC BANKRUPTCY EFF 1/13/2012	BGPIQ	10,000	3,329.60	3,704.00	✓	0.00		
		SELL	099709107							
12/30/2011	VARIOUS	DELTA PETROLEUM CORP COM	DPTRQ	1,100	90.43	1,631.30	✓	0.00		
		SELL	247907306							
06/22/2011	06/01/2011	ENSCO PLC ADR COM CASH IN LIEU	ESV	0	38.46	37.78	✓	0.00		
02/08/2011	02/01/2011	OREXIGEN THERAPEUTICS INC COM	OREX	1,000	3,694.92	2,485.00	✓	0.00		
		SELL	686164104							

2011 Consolidated Forms 1099

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions, continued

(OMB No: 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS WAS NOT REPORTED TO THE IRS - Report on Form 8949, Part II, with Box B checked.

SALE DATE	ACQUISITION DATE	DESCRIPTION (LINE 9)	SYMBOL	QUANTITY	AMOUNT (LINE 2)	COST BASIS (LINE 3)	TAX WITHHELD (LINE 4)	WASH SALE LOSS (LINE 5)	NO LOSS IF CHECKED (LINE 15)
(LINE 1A)	(LINE 1B)	INFORMATION	CUSIP						
11/09/2011	6/8/10	AGFEED INDUSTRIES INC COM	00846L101	5,000	3,192.43	15,661.00	0.00		
		SELL							
12/01/2011	8/25/10	AGFEED INDUSTRIES INC COM	00846L101	5,000	3,090.44	11,555.00	0.00		
		SELL							
12/01/2011	9/29/10	AGFEED INDUSTRIES INC COM	00846L101	6,500	4,087.92	15,305.00	0.00		
		SELL							
12/14/2011	12/6/09	AMGEN INC CONTRA- DO NOT SELL (BID)	00846L101	439	26,340.00	20,669.85	0.00		
		TENDER							
04/25/2011	12/1/08	BORDERS GROUP INC BANKRUPTCY EFF 1/13/2012	031162969	5,000	1,664.80	4824.00	0.00		
		SELL							
07/12/2011	1/20/09	BUCYRUS INTERNATIONAL INC CASH MERGER \$	098709107	1,000	92,000.00	17,384.00	0.00		
		CASH MERGER							
08/08/2011	3/26/09	CHINA YINGXIA INTERNATIONAL COM	118759109	10,000	67.99	317.00	0.00		
		SELL							
05/09/2011	10/30/09	CITIGROUP INC COM	172967424	1,500	67,373.70	44,402.00	0.00		
		SELL							
12/15/2011	5/19/10	CROSS BORDER RESOURCES INC COM	227443108	694	1,040.91	5,962.95	0.00		
		SELL							
12/30/2011	11/20/11	DELTA PETROLEUM CORP COM	247907306	750	61.66	12,422.80	0.00		
		SELL							

TD AMERITRADE CLEARING INC

Account: 8734577/4

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

(continued)

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information
MORGAN STANLEY CL B / CUSIP: 616933203 / Symbol: EUGBX	31.327	483.06	N/A	...	...	Sale
12/24/12						
TEMPLETON GLOBAL OPPORTUNITI CL A / CUSIP: 88020E100 / Symbol: TEGOX	22.365	416.44	N/A	...	...	Sale
12/24/12						
Totals:		899.50		0.00	0.00	

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information
ABRAXAS PETE CORP CM / CUSIP: 003830106 / Symbol: AXAS	10,000.000	21,092.52	VARIOUS	38,920.00	-17,827.48	Sale
12/24/12						
AGFEED INDUSTRIES INC COM / CUSIP: 00846L101 / Symbol: FEED	15,594.000	4,109.27	VARIOUS	39,333.89	-35,224.62	Total of 2 lots
08/13/12						
08/14/12	6,806.000	1,863.60	VARIOUS	16,705.51	-14,841.91	Total of 2 lots
08/24/12	10,500.000	2,932.93	VARIOUS	19,872.60	-16,939.67	Sale
12/27/12	4,000.000	992.97	08/03/11	4,485.60	-3,492.63	Sale
Security Total:		9,898.77		80,397.60	-70,498.83	
AMERICAN SUPERCONDUCTOR CORP COM / CUSIP: 030111108 / Symbol: AMSC	9,000.000	24,923.34	VARIOUS	41,249.50	-16,326.16	Sale
12/24/12						
CHINA ARMCO METALS INC COM / CUSIP: 16936C108 / Symbol: CNAM	1,000.000	529.99	02/08/11	3,415.00	-2,885.01	Sale
12/24/12						
CROCS INCORPORATED COM / CUSIP: 227046109 / Symbol: CROX	1,000.000	14,027.45	10/19/11	16,194.00	-2,166.55	Sale
12/29/12						
FINISAR CORPORATION COM / CUSIP: 31767A507 / Symbol: FNSR						

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this information is not reported to the IRS.

**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

Mr. Delbert Smith
1607 3 Lakes Dr.
Troy, MI 48065



U.S. POSTAGE
PAID
ROCHESTER HILLS, MI
48307
NOV 12 13
AMOUNT



UNITED STATES
POSTAL SERVICE

1000

55317

\$0.66
00018037305

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
P.O. BOX 3020
CHANHASSEN, MI 55317-3020

RECEIVED

NOV 15 2013

BMC GROUP

55317302020



