

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE		REGULAR MAIL: BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING PO BOX 3020 CHANHASSEN, MN 55317-3020 MESSENGER/OVERNIGHT DELIVERY BMC GROUP, INC. ATTN: AGFEED USA, LLC CLAIMS PROCESSING 18675 LAKE DRIVE EAST CHANHASSEN, MN 55317		PROOF OF CLAIM / REQUEST FOR PAYMENT OF ADMINISTRATIVE EXPENSE	
Name of Debtor: <u>Ag Feed Industries, Inc.</u>		Case Number: <u>13-11762</u>		COURT USE ONLY	
Name of Creditor (the person or other entity to whom the debtor owes money or property): <u>Jerrold Clarke</u>					
Name and address where notices should be sent: <u>Jerrold Clarke</u> <u>16752 Wanda Circle</u> <u>Huntington Beach, CA 92647</u> Telephone number: <u>714-319-7956</u> email: <u>jerrye1914@verizon.net</u>				☐ Check this box if this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above): RECEIVED NOV 21 2013 BMC GROUP				☐ Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach a copy of statement giving particulars.	
Telephone number: _____ email: _____					
1. Amount of Claim as of Date Case Filed: \$ <u>7,569</u> If all or part of the claim is secured, complete item 4. If all or part of the claim is entitled to priority, complete item 5. If all or part of the claim qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9), complete item 6. ☐ Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.					
1a. Amount of Administrative Claim (see Definitions) solely with respect to AgFeed USA, LLC or any other Debtor (excluding Debtor AgFeed Industries, Inc.) arising from the period from July 15, 2013, through September 12, 2013: \$ _____ (See instruction #1a)					
2. Basis for Claim: <u>He 10 400 shares of stock</u> (See instruction #2)					
3. Last four digits of any number by which creditor identifies debtor: <u>7168</u>		3a. Debtor may have scheduled account as: (See instruction #3a)		3b. Uniform Claim Identifier (optional): (See instruction #3b)	
4. Secured Claim (See instruction #4) Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information. Nature of property or right of setoff: ☐ Real Estate ☐ Motor Vehicle ☐ Other Describe: _____ Value of Property: \$ _____ Annual Interest Rate _____ % ☐ Fixed or ☐ Variable (when case was filed)				Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount of Unsecured: \$ _____	
5. Amount of Claim Entitled to Priority under 11 U.S.C. § 507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.					
☐ Domestic support obligations under 11 U.S.C. § 507 (a)(1)(A) or (a)(1)(B).		☐ Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier – 11 U.S.C. § 507 (a)(4).		☐ Contributions to an employee benefit plan – 11 U.S.C. § 507 (a)(5).	
☐ Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. § 507 (a)(7).		☐ Taxes or penalties owed to governmental units – 11 U.S.C. § 507 (a)(8).		☐ Other – Specify applicable paragraph of 11 U.S.C. § 507 (a)()	
				Amount entitled to priority: \$ _____	
*Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment...					
6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9): _____ (See instruction #6)					



7. **Credits.** The amount of all payments on this claim has been credited for the purpose of making this proof of claim (See instruction #7)

8. **Documents:** Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #7, and the definition of "redacted".)

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

9. **Signature:** (See instruction #9)

Check the appropriate box.

☒ I am the creditor.

☐ I am the creditor's authorized agent.

☐ I am the trustee, or the debtor,
or their authorized agent.
(See Bankruptcy Rule 3004.)

☐ I am a guarantor, surety, indorser, or other
codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to best of my knowledge, information, and reasonable belief.

Print Name: Jerold Clarke

Title: _____

Company: _____

Address and telephone number (if different from notice address above):

[Signature]

(Signature)

11-14-13

(Date)

Telephone number: _____

email: _____

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, exceptions to these general rules may apply.

Items to be completed in Proof of Claim form

Court, Name of Debtor, and Case Number:

Fill in the debtor's full name, and the bankruptcy case number. If the creditor received a notice of the case from the bankruptcy court, all of this information is at the top of the notice.

AgFeed USA, LLC	13-11761
AgFeed Industries, Inc.	13-11762
Genetics Land, LLC	13-11776
Genetics Operating, LLC	13-11769
Heritage Farms, LLC	13-11767
Heritage Land, LLC	13-11768
M2P2 AF JV, LLC	13-11774
M2P2 Facilities, LLC	13-11770
M2P2 General Operations, LLC	13-11772
MGM, LLC	13-11771
Midwest Finishing, LLC	13-11775
New Colony Farms, LLC	13-11766
New Colony Land Company, LLC	13-11773
New York Finishing, LLC	13-11764
Pork Technologies, LC	13-11765
TS Finishing, LLC	13-11763

If your claim is against multiple Debtors, complete a separate form for each Debtor.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP 2002(g)).

1. Amount of Claim as of Date Case Filed:

State the total amount owed to the creditor on the date of the bankruptcy filing. Follow the instructions concerning whether to complete items 4, 5, and 6. Check the box if interest or other charges are included in the claim.

1a. Amount of Administrative Claim arising after Date Case Filed:

State the total amount owed pursuant to section 503(b), excluding any amounts owed under section 503(b)(9), on account of claims arising from the period

3b. Uniform Claim Identifier:

If you use a uniform claim identifier, you may report it here. A uniform claim identifier is an optical 24-character identifier that certain large creditors use to facilitate electronic payment in chapter 13 cases.

4. Secured Claim:

Check whether the claim is fully or partially secured. Skip this section if the Claim is entirely unsecured. (See Definitions.) If the claim is secured, check the box for the nature and value of property that secures the claim, attach copies of lien documentation, and state, as of the date of the bankruptcy filing, the annual interest rate (and whether it is fixed or variable), and the amount past due on the claim.

5. Amount of Claim Entitled to Priority Under 11 U.S.C. § 507(a):

If any portion of the claim falls into any category shown, check the appropriate box(es) and state the amount entitled to priority. (See Definitions.) A claim may be partly priority and partly non-priority. For example, in some of the categories, the law limits the amount entitled to priority.

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9):

State the value of any goods received by the debtor within 20 days before the date of commencement in which the goods have been sold to the debtor in the ordinary course of the debtor's business.

7. Credits:

An authorized signature on this proof of claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

8. Documents:

Attach redacted copies of any documents that show the debt exists and a lien secures the debt. You must also attach copies of documents that evidence perfection of any security interest and documents required by FRBP 3001(c) for claims based on an open-end or revolving consumer credit agreement or secured by a security interest in the debtor's principal residence. You may also attach a summary in addition to the documents themselves. FRBP 3001(c) and (d). If the claim is based on delivering health care goods or services, limit disclosing confidential health care information. Do not send original documents, as attachments may be destroyed after scanning.

9. Date and Signature:

The individual completing this proof of claim must sign and date it. FRBP 9011. If the claim is filed electronically, FRBP 5005(a)(2) authorizes courts to establish local rules

from July 15, 2013, through the September 12, 2013 (the "Closing Date"), solely with respect to AgFeed USA, LLC and any other Debtor (excluding Debtor AgFeed Industries, Inc.).

2. Basis for Claim:

State the type of debt or how it was incurred. Examples include goods sold, money loaned, services provided, personal injury/wrongful death, car loan, mortgage note, and credit card. If the claim is based on delivering health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information. You may be required to provide additional disclosure if any interested party objects to the claim.

3. Last Four Digits of Any Number by Which Creditor Identifies Debtor:

State only the last four digits of the debtor's account or other number used by the creditor to identify the debtor.

3a. Debtor May Have Scheduled Account As:

Report a change in the creditor's name, a transferred claim, or any other information that clarifies a difference between this proof of claim and the claim as scheduled by the debtor.

specifying what constitutes a signature. If you sign this form, you declare under penalty of perjury that the information provided is true and correct to the best of your knowledge, information, and reasonable belief. Your signature is also certification that the claim meets the requirements of FRBP 9011(b). Whether the claim is filed electronically or in person, if your name is on the signature line, you are responsible for the declaration. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. If the claim is filed by an authorized agent, provide both the name of the individual filing the claim and the name of the agent. If the authorized agent is a servicer, identify the corporate servicer as the company. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is a person, corporation, or other entity to whom debtor owes a debt that was incurred before the date of the bankruptcy filing. See 11 U.S.C. § 101(10).

Claim

A claim is the creditor's right to receive payment for a debt owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. § 101(5). A claim may be secured or unsecured.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Debtors' claims agent, BMC Group, Inc., at the applicable following address:

REGULAR MAIL:

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
PO BOX 3020
CHANHASSEN, MN 55317-3020

MESSENGER/OVERNIGHT DELIVERY

BMC GROUP, INC.
ATTN: AGFEED USA, LLC CLAIMS PROCESSING
18675 LAKE DRIVE EAST
CHANHASSEN, MN 55317

Secured Claim Under 11 U.S.C. § 506(a)

A secured claim is one backed by a lien on property of the debtor. The claim is secured so long as the creditor has the right to be paid from the property prior to other creditors. The amount of the secured claim cannot exceed the value of the property. Any amount owed to the creditor in excess of the value of the property is an unsecured claim.

Examples of liens on property include a mortgage on real estate or a security's interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court judgment is a lien. A claim also may be secured if the creditor owes the debtor money (has a right to setoff).

Unsecured Claim

An unsecured claim is one that does not meet the requirements of a secured claim. A claim may be partly unsecured if the amount of the claim exceeds the value of the property on which the creditor has a lien.

Claim Entitled to Priority Under 11 U.S.C. § 507(a)

Priority claims are certain categories of unsecured claims that are paid from the available money or property in a bankruptcy case before other unsecured claims.

Administrative Claim

An administrative claim is a claim of the type described in sections 503 and 507 of title 11 of the United States Code. Among other things, these sections provide that certain types of claims are entitled to administrative expense priority, including, without limitation: (i) the action, necessary costs and expenses of preserving the estate, including wages, salaries, or commissions for services rendered after the commencement of the bankruptcy case; (ii) certain taxes and penalties related thereto; (iii) compensation and reimbursement of certain officers; (iv) the actual, necessary expenses incurred by (a) certain creditors, (b) a creditor, an indenture trustee, an equity security holder, or a committee representing any such entities, in making a substantial contribution to a debtor's chapter 11 case, (c) a custodian, and (v) compensation for services rendered by an indenture trustee. Claims alleged to be entitled to an administrative expense pursuant to 11 U.S.C. § 503(b)(9) should be listed in item 6 of the form, but not in item 1a.

Administrative Claim Under 11 U.S.C. § 503(b)(9)

Claims that are based upon facts or circumstances arising or occurring after the date of the Bankruptcy filing and that qualify as an administrative expense under section 503(b)(9) (excluding 503(b)(9) of the Bankruptcy Code).

Redacted

A document has been redacted when the person filing it has masked, edited out, or otherwise deleted, certain information. A creditor must show only the last four digits of any social-security, individual's tax-identification, or financial-account number, only the initials of a minor's name, and only the year of any person's date of birth. If the claim is based on the delivery of health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information.

Evidence of Perfection

Evidence of perfection may include a mortgage, lien, certificate of title, financing statement, or other document showing that the lien has been filed or recorded.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim or you may access the court's PACER system (www.pacer.psc.uscourts.gov) for a small fee to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

Pledged

Account Number: 207-99318

YOUR CMA ASSETS

October 01, 2013 - October 31, 2013

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
AGFEED INDUSTRIES, INC.	FEEDQ	05/02/08	400	18.9093	7,563.75	0.2700	108.00	(7,455.75)		
CAMPBELL RES INC COM NEW	CBLRF	N/A	20	N/A	N/A	0.0010	.02	N/A		
DIRECT MKTS HLDGS CORP	MKTSQ	05/03/10	1,000	4.5500	4,550.00	0.0008	.80	(4,549.20)		
EXCALIBUR RESOURCES LTD SHS	EXCFF	N/A	25	N/A	N/A	0.1959	4.90	N/A		
FACEBOOK INC	FB	07/25/13	100	32.9775	3,297.75	50.2050	5,020.50	1,722.75		
CLASS A COMMON STOCK		09/16/13	113	43.7484	4,943.57	50.2050	5,673.17	729.60		
		09/19/13	70	45.8600	3,210.20	50.2050	3,514.35	304.15		
		10/18/13	110	54.1000	5,951.00	50.2050	5,522.55	(428.45)		
Subtotal			393		17,402.52		19,730.57	2,328.05		
JCI LTD SHS		N/A	1,999	N/A	N/A	N/A	N/A	N/A		
.01 ZAR PAR ORDINARY										
PANDORA MEDIA INC	P	07/25/13	150	18.6060	2,790.90	25.1300	3,769.50	978.60		
		09/16/13	208	23.9560	4,982.85	25.1300	5,227.04	244.19		
		09/19/13	100	27.0300	2,703.00	25.1300	2,513.00	(190.00)		
		10/01/13	100	25.2280	2,522.80	25.1300	2,513.00	(9.80)		
Subtotal			558		12,999.55		14,022.54	1,022.99		
TEK DIGITEL CORP	TEKI	N/A	1,000	N/A	N/A	0.0015	1.50	N/A		
ZARARA ENERGY		N/A	62	N/A	N/A	N/A	N/A	N/A		
ZAR PAR ORDINARY										
TOTAL					42,515.82		33,868.33	(8,653.91)		

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
FACEBOOK INC	FB	Buy (C19)	Hold	Hold
PANDORA MEDIA INC	P	Buy (C19)	No Coverage	Sell

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

October 01, 2013 - October 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
BLACKROCK S&P 500 STOCK FUND INSTL CL SYMBOL: BSPIX Initial Purchase: 12/11/12 Equity 100%	158	29,803.67	211.1400	33,360.12	3,556.45	29,046	4,314	551 1.64
.6949 Fractional Share .0001 Fractional Share		140.74 N/A	211.1400 211.1400	146.72 .02	5.98 N/A			3 1.64 1.64
FIDELITY COMMN WEALTH TR NASDAQ COMPOSITE INDEX TRACKING STK ETF SYMBOL: ONEQ Initial Purchase: 12/11/12 Equity 100%	50	5,922.00	154.4500	7,722.50	1,800.50	5,922	1,800	84 1.08
ISHARES MSCI EMERGING MKTS SYMBOL: EEM Initial Purchase: 07/22/10 Equity 100%	386	15,134.02	42.4550	16,387.64	1,253.62	15,134	1,253	297 1.81
Subtotal (Equities)				57,617.00				
TOTAL		51,000.43		57,617.00	6,616.55		7,367	935 1.62

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

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YOUR CMA ASSETS

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LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	100,989.00	99,065.88	(1,929.56)	27.50	1,100	1.11

Notes

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR CMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Quantity	Description	Reinvestment	Income	Income Year To Date
Date	Transaction Type					
10/31	Subtotal (Tax-Exempt Interest)					165.00
	Bank Interest		BANK DEPOSIT INTEREST		.03	
10/02	Subtotal (Taxable Interest)				.03	.89
	* Dividend		BLACKROCK S&P 500 STOCK FUND INSTL CL		136.86	
10/02	Reinvestment		PAY DATE 10/01/2013 BLACKROCK S&P 500 STOCK FUND INSTL CL	(136.86)		
10/02	Divd Reinv		BLACKROCK S&P 500 STOCK FUND INSTL CL			
			REINV AMOUNT \$136.86			
			REINV PRICE \$201.89000			
			QUANTITY BOT .6780			
			AS OF 09/30			
	Subtotal (Taxable Dividends)				136.86	714.18
	NET TOTAL			(136.86)	136.89	880.07

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YOUR CMA TRANSACTIONS

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SECURITY TRANSACTIONS

TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
10/02	BLACKROCK S&P 500 STOCK FUND INSTL CL FRAC SHR QUANTITY .284 FUND SUBJECT TO RED FEE: CUS NO 066923558	Purchase	12	203.5200	2,500.04		
10/04	PANDORA MEDIA INC CUS NO 698354107	Purchase	100	25.2280	2,522.80		
10/23	FACEBOOK INC CLASS A COMMON STOCK CUS NO 30303M102	Purchase	110	54.1000	5,951.00		
	<i>Subtotal (Purchases)</i>				10,973.84		
10/04	LUMBER LIQUIDATORS HOLDINGS INC SHS CUS NO 55003T107	Sale	-50	108.5900		5,429.41	
10/23	SODASTREAM INTERNATIONAL LTD CUS NO M9068E105	Sale	-100	61.0422		6,104.11	
	<i>Subtotal (Sales)</i>					11,533.52	
	TOTAL				10,973.84	11,533.52	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement Gains/(Losses) *	Year to Date
<i>Subtotal (Long-Term)</i>							(150.39)
✧ LUMBER LIQUIDATORS	50.0000	02/08/13	10/01/13	5,429.41	3,525.27	1,904.14	
SODASTREAM INTERNATIONAL	100.0000	04/11/13	10/18/13	6,104.11	5,407.88	696.23	
<i>Subtotal (Short-Term)</i>						2,600.37	8,868.18
TOTAL				11,533.52	8,933.15	2,600.37	8,717.79

* - Excludes transactions for which we have insufficient data

✧ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

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YOUR CMA TRANSACTIONS

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SECURITIES YOU TRANSFERRED IN/OUT		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
10/02	BLACKROCK S&P 500 STOCK	Journal Entry	1	203.42	
	FUND INSTL CL				
	FULL SHARE ACCUM				
	SHARE VALUE \$203.42				
NET TOTAL				203.42	425.88

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/Cusip	Transaction Type	Quantity	Price	Amount
10/31	11/01	BLACKROCK S&P 500 STOCK	BSPX	Sale	23	211.1400	5,000.01
10/31	11/05	FACEBOOK INC	FB	Purchase	100	49.8599	(4,985.99)
NET TOTAL							14.02

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
10/01	Direct Deposit		BENEFIT PAYMENTS		4,014.48
10/08	Pre-Authdebit		JPMorgan Chase	200.00	
10/09	Pre-Authdebit		JPMorgan Chase	200.00	
10/11	Pre-Authdebit		JPMorgan Chase	1,750.00	
10/21	Pre-Authdebit		JPMorgan Chase	200.00	
10/25	Pre-Authdebit		JPMorgan Chase	200.00	
10/30	Pre-Authdebit		JPMorgan Chase	200.00	
	Subtotal (Electronic Transfers)			2,750.00	4,014.48
10/01	Journal Entry		TR FROM 20799317		978.00
10/03	Journal Entry		TR TO 20711745	2,000.00	
			N/O GERALD L CLARKE AND		
			QRLY FEE \$284.83		
			FOR PERIOD .	284.83	
10/08	Journal Entry		09/30/2013 - 12/31/2013		

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YOUR CMA TRANSACTIONS

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CASH/OTHER TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Debit	Credit
10/31	CertIF fee		BASED ON PREVIOUS QTR AVG MLPA ASSETS OF \$91,146.13 QIHOO 360 TECHNOLOGIES CO LT DEPOSITORY BANK SVCE FEE	1.77	
Subtotal (Other Debits/Credits)				2,286.60	978.00
NET TOTAL				44.12	

CHECKS WRITTEN/BILL PAYMENT

Date Written	Date Cleared	Check Number	Description	Debit	Credit
N/A	10/04	268#	INDYMAC	2,271.83	
09/30	10/01	269	JERROLD CLARKE	250.00	
10/01	10/02	270	JERROLD CLARKE	250.00	
10/04	10/07	271	JERROLD CLARKE	500.00	
NET TOTAL				3,271.83	

Check converted to ACH debit by payee

To report Lost or Stolen Visa Cards or Checks, please call (800) CMA-LOST.

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
10/01	ML BANK DEPOSIT PROGRAM		3,764.00	10/11	ML BANK DEPOSIT PROGRAM	1,750.00	
10/02	ML BANK DEPOSIT PROGRAM	1,772.00		10/21	ML BANK DEPOSIT PROGRAM	200.00	
10/03	ML BANK DEPOSIT PROGRAM	2,000.00		10/24	ML BANK DEPOSIT PROGRAM		153.00
10/07	ML BANK DEPOSIT PROGRAM		135.00	10/25	ML BANK DEPOSIT PROGRAM	200.00	
10/08	ML BANK DEPOSIT PROGRAM	485.00		10/30	ML BANK DEPOSIT PROGRAM	200.00	
10/09	ML BANK DEPOSIT PROGRAM	200.00					

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Pledged

Account Number: 207-99318

YOUR CMA MONEY ACCOUNT TRANSACTIONS (continued)

October 01, 2013 - October 31, 2013

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
NET TOTAL						2,755.00	

IMPORTANT INFORMATION

Finance Charge Computation - The Finance Charge for each billing period is calculated by first determining the Finance Charge for each day in the billing period and then totaling the Finance Charge for all days in the billing period. We calculate the Finance Charge for each day in the billing period by multiplying the daily balance in your account for that day by the daily periodic rate for the same day and divide by 360. To get the daily balance, we take the beginning balance of your account each day, add any new advances and subtract any payments or credits. This gives us the daily balance.

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Online at: www.mymerrill.com

Account Number: 207-99317

24-Hour Assistance: (800) MERRILL

GERALD L CLARKE AND
JERROLD CLARKE JTWROS
PLEDGED TO ML LENDER
16752 WANDA CIR
HUNTINGTN BCH CA 92647-4358

Net Portfolio Value: \$96,198.36

Your Financial Advisor:
JERROLD CLARKE
4695 MAC ARTHUR CT SUITE 1600
NEWPORT BEACH CA 92660
jerrold_clarke@ml.com
1-949-955-6052

Pledged

This account is enrolled in the Master Financial Service

October 01, 2013 - October 31, 2013

ASSETS

Cash/Money Accounts	0.18	September 30
Fixed Income	34,156.45	978.18
Equities	-	34,080.85
Mutual Funds	61,529.85	-
Options	-	61,206.79
Other	-	-
Subtotal (Long Portfolio)	95,686.48	96,265.82
Estimated Accrued Interest	511.88	380.03
TOTAL ASSETS	\$96,198.36	\$96,645.85

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$96,198.36	\$96,645.85

This account is pledged as collateral for Loan Management Account # 207-11745

CASH FLOW

Opening Cash/Money Accounts	\$978.18	Year to Date
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(978.00)	(8,693.72)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	(978.00)	(8,693.72)
Net Cash Flow	(\$978.00)	(\$8,693.72)
Dividends/Interest Income	42.95	2,035.29
Dividend Reinvestments	(42.95)	(397.72)
Security Purchases/Debits	-	(5,000.01)
Security Sales/Credits	-	10,000.00
Closing Cash/Money Accounts	\$0.18	
Securities You Transferred In/Out	-	52.71

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Pledged

Account Number: 207-99317

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

October 01, 2013 - October 31, 2013

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA SUBACCOUNT BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	978	31	.00	0.00	0
TOTAL ML Bank Deposit Program	978			0.00	0

YOUR CMA SUBACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	0.18	0.18		.18					
MUNICIPAL BONDS									
Description	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Current Annual Income	Current Yield%	
Δ PALATINE ILL A AMBAC PRF13 TAXABLE MAR04 04.750%DEC01 16 MOODY'S: AA1 S&P: *** CUSIP: 696089QG9 CALL DT:12/01/13 CALL PR:100 ORIGINAL UNIT/TOTAL COST: 100.7600/5,038.00	5,000	5,014.69	100.3330	5,016.65	1.96	98.96	238	4.73	
Δ CHICAGO ILL PK DIST ALT REV SOURCE D RF OID NPFG JAN06 04.375%JAN01 24 MOODY'S: A1 S&P: AA+ CUSIP: 167615FF5 PAR CALL DATE: 01/01/16 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 100.8300/5,041.50	5,000	5,012.42	101.4090	5,070.45	58.03	72.92	219	4.31	

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Pledged

Account Number: 207-99317

YOUR CMA SUBACCOUNT ASSETS

October 01, 2013 - October 31, 2013

MUNICIPAL BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
WILL-KENDALL IL CMTY CSD#202 LT SER B OID AGC MAY08 04.500%JAN01 28 MOODY'S: *** S&P: AA- CUSIP: 968648XK6 PAR CALL DATE: 07/01/18 PAR CALL PRICE: 100.00	04/25/08	15,000	14,887.50	100.9590	15,143.85	256.35	225.00	675	4.45
Δ TIDEHAVEN TEX INDPT SCH DIST SCH PSF GTD OID JUL12 03.000%FEB15 31 MOODY'S: AAA S&P: AAA CUSIP: 886359AU2 PAR CALL DATE: 02/15/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 101.6300/5,081.50	08/03/12	5,000	5,072.28	83.6660	4,183.30	(888.98)	31.67	150	3.58
Δ CHICAGO ILL PROJ & NATL RE SER A RF NPGF MAY07 05.000%JAN01 32 MOODY'S: A3 S&P: A+ CUSIP: 167485D65 PAR CALL DATE: 01/01/17 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103.3900/5,169.50	08/28/07	5,000	5,066.48	94.8440	4,742.20	(324.28)	83.33	250	5.27
TOTAL		35,000	35,053.37		34,156.45	(896.92)	511.88	1,532	4.49

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Estimated Current Yield%
DREYFUS SHORT INTERMED MUNI BOND FD CL A SYMBOL: DMBAX Initial Purchase: 03/25/10 Fixed Income 100% .4260 Fractional Share	4,668	61,470.41	13.1800	61,524.24	53.83	59,566	1,957	574	.93
Subtotal (Fixed Income)		5.58	13.1800	5.61	.03			1	.93

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Pledged

Account Number: 207-99317

24-Hour Assistance: (800) MERRILL

YOUR CMA SUBACCOUNT ASSETS

October 01, 2013 - October 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
TOTAL		61,475.99		61,529.85	53.86		1,957	.93

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO

	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	96,529.54	95,686.48	(843.06)	511.88	2,107	2.20

Notes

Δ Debt Instruments purchased at a premium show amortization
 *** Rating currently unavailable or not rated/unrated as provided by Rating Agency or recognized industry wide third party vendor source.
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR CMA SUBACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Reinvestment	Income	Year To Date
Date	Transaction Type	Quantity	Description			
	Subtotal (Tax-Exempt Interest)					1,518.76
	Subtotal (Taxable Interest)					118.81
10/01	Dividend		DREYFUS SHORT INTERMED MUNI BOND FD CL A	42.95		

Pledged

Account Number: 207-99317

YOUR CMA SUBACCOUNT TRANSACTIONS

October 01, 2013 - October 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				Reinvestment	Income	Income
Date	Transaction Type	Quantity	Description			Year To Date
10/01	Reinvestment		PAY DATE 09/30/2013 DREYFUS SHORT INTERMED	(42.95)		
10/01	Divd Reinv	3	MUNI BOND FD CL A DREYFUS SHORT INTERMED			
			MUNI BOND FD CL A REINV AMOUNT \$42.95 REINV PRICE \$13.12000 QUANTITY BOT 3.2740 AS OF 09/30		42.95	397.72
Subtotal (Tax-Exempt Dividends)				(42.95)	42.95	2,035.29
NET TOTAL						

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	Year to Date
Subtotal (Long-Term)								36.00
TOTAL								36.00

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
10/01	Journal Entry		TR TO 20799318 N/O GERALD L CLARKE AND	978.00	
Subtotal (Other Debits/Credits)				978.00	
NET TOTAL				978.00	

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Pledged

Account Number: 207-99317

24-Hour Assistance: (800) MERRILL

YOUR CMA SUBACCOUNT MONEY ACCOUNT TRANSACTIONS

October 01, 2013 - October 31, 2013

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
10/01	ML BANK DEPOSIT PROGRAM	978.00					
NET TOTAL		978.00					

IMPORTANT INFORMATION

Finance Charge Computation - The Finance Charge for each billing period is calculated by first determining the Finance Charge for each day in the billing period and then totaling the Finance Charge for all days in the billing period. We calculate the Finance Charge for each day in the billing period by multiplying the daily balance in your account for that day by the daily periodic rate for the same day and divide by 360. To get the daily balance, we take the beginning balance of your account each day, add any new advances and subtract any payments or credits. This gives us the daily balance.

FUNDAMENTAL EQUITY OPINION KEY AND GUIDE TO YOUR BofA MERRILL LYNCH RESEARCH RATINGS

BofA MERRILL LYNCH RESEARCH

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Equity Opinions include a Volatility Risk Rating, an Investment Rating and an Income Rating.

BofAML Research Volatility Risk Ratings

Indicators of potential price fluctuation are:

- A** – Low
- B** – Medium
- C** – High

BofAML Research Income Ratings

Indicators of potential cash dividends are:

- 7** – Same/higher (dividend considered to be secure)
- 8** – Same/lower (dividend not considered to be secure)
- 9** – Pays no cash dividend

BofAML Research Investment Ratings

Reflect the analyst's assessment of a stock's absolute total return potential and the stock's attractiveness for investment relative to other stocks within a Coverage Cluster (defined below).

There are three investment ratings:

- 1** – Buy stocks are expected to have a total return of at least 10% and are the most attractive stocks in the Coverage Cluster
- 2** – Neutral stocks are expected to remain flat or increase in value and are less attractive than Buy rated stocks
- 3** – Underperform stocks are the least attractive stocks in a Coverage Cluster

Analysts assign investment ratings considering, among other things, the 0-12 month total return expectation for a stock and the firm's guidelines for ratings dispersions (shown in the table below). The current price objective for a stock should be referenced to better understand the total return expectation at any given time. The price objective reflects the analyst's view of the potential price appreciation (depreciation).

BofAML Research Investment Rating	Total return expectation (within 12-month period of date of initial rating)	Ratings dispersion guidelines for Coverage Cluster+	
		< or = 10%	< or = 70%
Buy	> or = 10%	> or = 30%	> or = 20%
Neutral	> or = 0%		
Underperform	N/A		

+Ratings dispersions may vary from time to time where BofAML Research believes that it better reflects the investment prospects of stocks in a Coverage Cluster.

A Coverage Cluster is comprised of stocks covered by a single analyst or two or more analysts sharing a common industry, sector, region or other classification(s). A stock's Coverage Cluster is included in the most recent BofAML Comment referencing the stock.

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Additional Information
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This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information is available upon written request.
In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.
You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA. You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

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Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

Protection for your Account

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Fixed Income Securities

Values on your statement generally are based on estimates obtained from various sources. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

Prices and Valuations

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g., commodity pools, private equity funds, private debt funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. This value represents their estimate of the value of the investor's interest in the net assets of the program, as of a date no more than 18 months from the date of this statement. Therefore, the values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

Cost Data/Realized Capital Gains & Losses

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and

your Consolidated Tax Reporting Statement (Form 1099).

Insurance Policies and Annuity Contracts

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC protection and excess-SIPC protection apply.

Estimated Annual Income and Current Yield

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

Symbols and Abbreviations

□	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
*	Dividends reported to the IRS
.	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

Primary Account: 207-99318

GERALD L CLARKE AND
JERROLD CLARKE JTWR0S
PLEGDED TO ML LENDER
16752 WANDA CIR
HUNTINGTN BCH CA 92647-4358

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(800) 637-7455

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Call Your Financial Advisor

Your Financial Advisor:
JERROLD CLARKE
4695 MAC ARTHUR CT SUITE 1600
NEWPORT BEACH CA 92660
jerrold_clarke@ml.com
1-949-955-6052

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YOUR MERRILL LYNCH REPORT

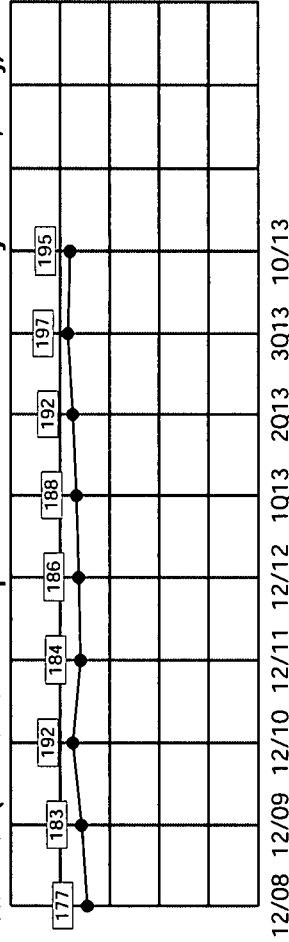
October 01, 2013 - October 31, 2013

PORTFOLIO SUMMARY

	October 31	September 30	Month Change
Net Portfolio Value	\$195,290.87	\$196,930.34	▼ (\$1,639.47)
Your assets	\$195,291.74	\$196,930.34	▼ (\$1,638.60)
Your liabilities ★	(\$0.87)		
Your Net Cash Flow (Inflows/Outflows)	(\$4,293.95)	\$714.48	
Securities You Transferred In/Out	\$203.42	\$216.02	
Subtotal Net Contributions	(\$4,090.53)	\$930.50	
Your Dividends/Interest Income	\$179.84	\$146.67	
Your Market Change	\$2,271.22	\$8,141.22	
Subtotal Investment Earnings	\$2,451.06	\$8,287.89	

★ Includes cash/margin debit balances and short market values. See Your Balance Sheet and account statements for more details.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in thousands, 2008-2013



Primary Account: 207-99318

24-Hour Assistance: (800) MERRILL

YOUR ACCOUNTS

October 01, 2013 - October 31, 2013

Account No.	Account Type/Managing Firm	October 31	September 30	Page
INVESTMENTS & CASH MANAGEMENT				
207-99318	MCMA/MLPA*	99,092.51	100,284.49	6•
207-99317	CMA Sub*	96,198.36	96,645.85	15•
	<i>Subtotal</i>	195,290.87	196,930.34	

* Accounts denoted with an asterisk are pledged to an LMA account. Not all pledged collateral accounts may be included in this statement link.

RETIREMENT

It's never too early to start planning for higher education. Ask your Financial Advisor about college investing options.

CREDIT & LENDING

Consider a Loan Management Account® for unexpected expenses. Call your Financial Advisor to learn more.

ESTATE PLANNING SERVICES

Have you met your charitable goals for 2013? Talk to your Financial Advisor about maximizing your tax deductions.

SOLUTIONS FOR BUSINESS

Help manage liquidity for small business needs with a Working Capital Management Account®. Ask your Financial Advisor.

• Your account statement is enrolled in electronic delivery viewable at www.mymerrill.com

All brokerage accounts are held at Merrill Lynch, Pierce, Fenner & Smith Incorporated, Member SIPC. Bank deposits are held at Merrill Lynch affiliated banks or other depository institutions and are covered by FDIC insurance up to applicable limits. They are not protected by SIPC, see the section titled "Protection for Your Account" on the second to last page of your statement for more information.

These summary reports are provided for informational purposes only and contain information from accounts linked for delivery in a single package. The underlying accounts may have different owners and use of "you" or "your" in these reports refer to all owners. The enclosed separate account statements are the official record for each account.

Primary Account: 207-99318

YOUR BALANCE SHEET (for your ML accounts)

October 01, 2013 - October 31, 2013

ASSETS

	October 31	September 30
Cash/Money Accounts	2,529.18	6,262.55
Fixed Income	39,208.00	39,112.70
Equities	33,868.33	37,405.45
Mutual Funds	119,146.85	113,756.32
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	194,752.36	196,537.02
Estimated Accrued Interest	539.38	393.32
TOTAL ASSETS	\$195,291.74	\$196,930.34

LIABILITIES

Margin Loan/Debit Balance	(0.87)	-
Short Market Value	-	-
<i>Subtotal</i>	(0.87)	-
NET PORTFOLIO VALUE	\$195,290.87	\$196,930.34

OTHER LIABILITIES (not included in Net Portfolio Value)

Loan Management Account ⁽¹⁾	-	-
Mortgages	-	-
Home Equity Loans	-	-
Business Loans	-	-
<i>Subtotal</i>	-	-
TOTAL LIABILITIES	(\$0.87)	-

⁽¹⁾ Secured by assets in a Merrill Lynch account

CASH FLOW

	This Report	Year to Date
Opening Cash/Money Accounts	\$6,262.55	
CREDITS		
Funds Received	-	-
Electronic Transfers	4,014.48	40,165.42
Other Credits	978.00	8,693.72
<i>Subtotal</i>	4,992.48	48,859.14
DEBITS		
Electronic Transfers	(2,750.00)	(30,275.62)
Margin Interest Charged	-	-
Other Debits	(3,264.60)	(13,421.47)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	(3,271.83)	(11,226.83)
<i>Subtotal</i>	(9,286.43)	(54,923.92)
Net Cash Flow	(\$4,293.95)	(\$6,064.78)
Dividends/Interest Income	179.84	2,915.36
Dividend Reinvestments	(179.81)	(750.95)
Security Purchases/Debits	(10,973.84)	(125,863.47)
Security Sales/Credits	11,533.52	117,633.19
Closing Cash/Money Accounts	\$2,528.31	
Securities You Transferred In/Out	203.42	478.59

Primary Account: 207-99318

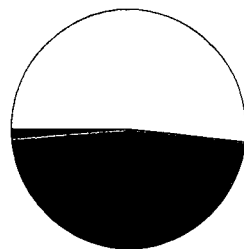
24-Hour Assistance: (800) MERRILL

YOUR PORTFOLIO REVIEW

October 01, 2013 - October 31, 2013

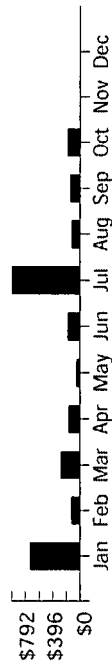
ASSET ALLOCATION *

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



Current Value	Allocation
100,737.85	51.72%
91,485.33	46.98%
2,529.18	1.30%
\$194,752.36	100%

CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	0.03	1,683.76
Taxable Interest	42.95	119.70
Tax-Exempt Dividends	136.86	397.72
Taxable Dividends	\$179.84	714.18
Total		\$2,915.36

Your Estimated Annual Income **\$3,207.25**

BOND MATURITY SCHEDULE

Does not include Fixed Income Mutual Funds

Maturity Years	% of Total Bond Assets	Par Value	Estimated Market Value
<1	13%	5,000	5,016.65
10-15	64%	25,000	25,265.85
15-20	23%	10,000	8,925.50

Total **100%** **40,000** **\$39,208.00**

TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
DREYFUS SHORT INTERMED	61,529.85	31.59%
BLACKROCK S&P 500 STOCK	33,506.86	17.20%
FACEBOOK INC	19,730.57	10.13%
ISHARES MSCI EMERGING	16,387.64	8.41%
WILL-KENDALL IL CMTY	15,143.85	7.78%

Primary Account: 207-99318

October 01, 2013 - October 31, 2013

YOUR MONTHLY INCOME & GAIN/(LOSS) REVIEW

INCOME SUMMARY

Account No.	This Report				Year to Date			
	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends
<i>Non-Retirement</i>								
207-99318	-	-	-	137	165	1	-	714
207-99317	-	-	43	-	1,519	119	398	-
TOTAL	-	-	\$43	\$137	\$1,684	\$120	\$398	\$714
								\$2,915

GAIN/(LOSS) SUMMARY

Account No.	Realized Gains/(Losses)				Long Term Capital Gain Distributions			
	This Report Short Term	YTD Short Term	This Report Long Term	YTD Long Term	Year To Date	Short Term	Long Term	Unrealized Gains/(Losses)
<i>Non-Retirement</i>								
207-99318	2,600.37	8,868.18	-	(150.39)	-	8,713.97	(10,643.53)	
207-99317	-	-	-	36.00	-	0.03	(843.09)	
TOTAL	\$2,600.37	\$8,868.18	-	(\$114.39)	-	\$8,714.00	(\$11,486.62)	

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Online at: www.mymerrill.com

Account Number: 207-99318

24-Hour Assistance: (800) MERRILL

GERALD L CLARKE AND
JERROLD CLARKE JTWROS
PLEDGED TO ML LENDER
16752 WANDA CIR
HUNTINGTN BCH CA 92647-4358

Net Portfolio Value: \$99,092.51

Your Financial Advisor:

JERROLD CLARKE
4695 MAC ARTHUR CT SUITE 1600
NEWPORT BEACH CA 92660
jerrold_clarke@ml.com
1-949-955-6052

Pledged

This account is enrolled in the Merrill Lynch Personal AdvisorSM Program

October 01, 2013 - October 31, 2013

ASSETS

	October 31	September 30
Cash/Money Accounts	2,529.00	5,284.37
Fixed Income	5,051.55	5,031.85
Equities	33,868.33	37,405.45
Mutual Funds	57,617.00	52,549.53
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	99,065.88	100,271.20
Estimated Accrued Interest	27.50	13.29
TOTAL ASSETS	\$99,093.38	\$100,284.49

LIABILITIES

Debit Balance	(0.87)	-
Short Market Value	-	-
TOTAL LIABILITIES	(0.87)	-
NET PORTFOLIO VALUE	\$99,092.51	\$100,284.49

This account is pledged as collateral for Loan Management Account # 207-11745

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$5,284.37	
CREDITS		
Funds Received	-	-
Electronic Transfers	4,014.48	40,165.42
Other Credits	978.00	8,693.72
Subtotal	4,992.48	48,859.14
DEBITS		
Electronic Transfers	(2,750.00)	(30,275.62)
Margin Interest Charged	-	-
Other Debits	(2,286.60)	(4,727.75)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	(3,271.83)	(11,226.83)
Subtotal	(8,308.43)	(46,230.20)
Net Cash Flow	(\$3,315.95)	\$2,628.94
Dividends/Interest Income	136.89	880.07
Dividend Reinvestments	(136.86)	(353.23)
Security Purchases/Debits	(10,973.84)	(120,863.46)
Security Sales/Credits	11,533.52	107,633.19
Closing Cash/Money Accounts	\$2,528.13	
Securities You Transferred In/Out	203.42	425.88

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Pledged

Account Number: 207-99318

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

October 01, 2013 - October 31, 2013

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	5,284	3,861	.01	0.03	2,529
TOTAL ML Bank Deposit Program	5,284			0.03	2,529

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
ML BANK DEPOSIT PROGRAM	2,529.00	2,529.00	1.0000	2,529.00		.01			
MUNICIPAL BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Current Annual Income	Estimated Current Yield%
PLANO TEX & IMPT LT RF OID OCT11 03.300%SEP01 25 MOODY'S: AAA S&P: AAA CUSIP: 727177MC8 PAR CALL DATE: 09/01/21 PAR CALL PRICE: 100.00	10/28/11	5,000	4,943.75	101.0310	5,051.55	107.80	27.50	165	3.26
TOTAL		5,000	4,943.75		5,051.55	107.80	27.50	165	3.27

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

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7 of 23

Jerrold Clarke

16752 Wanda Cir.
Huntington Bch, CA 92647-4358

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BMC GROUP



BMC Group, Inc.

Attn: AGFEED USA, LLC Claims Processing

P.O. Box 3020

Chanhassen, MN 55317-3020