

**UNITED STATES BANKRUPTCY COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

**PROOF OF CLAIM**



In re

Case Number

**FILED**  
**SOUTHERN DISTRICT OF NEW YORK**  
**ALLEGIANCE TELECOM, INC**  
**03-13057 (RRD)**

NOTE This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A "request" for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.

Check box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Check box if you have never received any notices from the bankruptcy court in this case.

Check box if this address differs from the address on the envelope sent to you by the court.

1562

**Name of Creditor and Address**

AG EDWARDS AND SONS, INC  
2801 CLARK ST  
ST LOUIS MO 63103

Creditor Telephone Number ( )

CREDITOR TAX ID # 87-032-5392

ACCOUNT OR OTHER NUMBER BY WHICH CREDITOR IDENTIFIES DEBTOR

Check here  replaces or  amends a previously filed claim dated \_\_\_\_\_

**1 BASIS FOR CLAIM**

Goods sold  Personal injury/wrongful death  Retiree benefits as defined in 11 U.S.C. § 1114(a)

Services performed  Taxes  Wages salaries and compensation (Fill out below)

Money loaned  Other (describe briefly below)

Your social security number 528-52-4545

Unpaid compensation for services performed from \_\_\_\_\_ to \_\_\_\_\_ (date) (date)

**2 DATE DEBT WAS INCURRED** 9/8/00 8/23/00 **3 IF COURT JUDGMENT, DATE OBTAINED**

**4 TOTAL AMOUNT OF CLAIM AT TIME CASE FILED** \$ 42,948.77 (unsecured) \$ \_\_\_\_\_ (secured) \$ \_\_\_\_\_ (unsecured priority) \$ \_\_\_\_\_ (total)

If all or part of your claim is secured or entitled to priority, also complete Item 5 or 6 below

Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of all interest or additional charges.

**5 SECURED CLAIM**

Check this box if your claim is secured by collateral (including a right of setoff)

Brief description of collateral

Real Estate

Motor Vehicle

Other \_\_\_\_\_

Value of collateral \$ \_\_\_\_\_

Amount of arrearage and other charges at time case filed included in secured claim above if any \$ \_\_\_\_\_

**6 UNSECURED PRIORITY CLAIM**

Check this box if you have an unsecured priority claim

Specify the priority of the claim

Wages salaries or commissions (up to \$4,650\*) earned within 90 days before filing of the bankruptcy petition or cessation of the Debtor's business whichever is earlier - 11 U.S.C. § 507(a)(3)

Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(4)

Up to \$2,100\* of deposits toward purchase lease or rental of property or services for personal family or household use - 11 U.S.C. § 507(a)(6)

Alimony maintenance or support owed to a spouse former spouse or child - 11 U.S.C. § 507(a)(7)

Taxes or penalties owed to governmental units - 11 U.S.C. § 507(a)(9)

Other - Specify applicable paragraph of 11 U.S.C. § 507(a) Stock

Amounts are subject to adjustment on 4/1/01 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.

**RECD**  
**DEC 03 2003**  
**BMC**

**7 CREDITS** The amount of all payments on this claim has been credited and deducted for the purpose of making this proof of claim

**8 SUPPORTING DOCUMENTS** Attach copies of supporting documents such as promissory notes purchase orders invoices, itemized statements of running accounts contracts court judgments mortgages security agreements and evidence of perfection of lien. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available explain. If the documents are voluminous attach a summary.

**9 DATE-STAMPED COPY** To receive an acknowledgment of your claim, please enclose a self-addressed stamped envelope and an additional copy of this proof of claim.

The original of this completed proof of claim form must be sent by mail or hand delivered (FAXES NOT ACCEPTED) so that it is received on or before 5 00 p.m., November 26, 2003, Prevailing Eastern Time

**BY MAIL TO** United States Bankruptcy Court  
Southern District of New York  
Allegiance Claims Docketing Center  
Bowling Green Station, P.O. Box 95  
New York NY 10274-0095

**BY HAND OR OVERNIGHT DELIVERY TO** United States Bankruptcy Court  
Southern District of New York  
Allegiance Claims Docketing Center  
One Bowling Green Room 534  
New York NY 10004-1408

**THIS SPACE FOR COURT USE ONLY**

**RECEIVED**

CLAIMS CENTER

**DATE SIGNED** 11/19/03

**SIGN** and print the name and title if any of the creditor or other person authorized to file this claim (attach copy of power of attorney, if any)

AG Edwards and Sons, Inc.

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years or both 18 U.S.C. §§ 152 AND 957A

See Other Side For Instructions



# AG Edwards & Sons, Inc.

INVESTMENTS SINCE 1887

ONE NORTH JEFFERSON

ST LOUIS MISSOURI 63103

(314) 955 3000

WE CONFIRM THE FOLLOWING TRANSACTION SUBJECT TO THE AGREEMENT ON THE REVERSE SIDE.

YOU BOUGHT	QUANTITY	PRICE
	500	47 1/8
ACCOUNT NUMBER	IB	T
86 287-625	02	1 4
		3
		801-487-7177

ALLEGIANCE TELECOM INC	CUSIP N
	01747
	SYME
	ALGX

WHEN COMMUNICATING WITH US PLEASE REFER TO YOUR ACCOUNT NUMBER

BOHEN INVESTMENT CO  
 A PARTNERSHIP  
 450 S SCOTT AVE  
 SALT LAKE CITY UT 84115-4621

*Sharon M. [Signature]*

PRINCIPAL	STATE TAX	ACCRUED INTEREST	COMMISSION	SEC FEE	TRANSACTION CHARGE	AMOUNT
23,562.50			200.24		4.00	23,766.74

UNSOLICITED

RETAIN THIS COPY FOR YOUR TAX RE

TRADE DATE	SETTLE DATE
08 23 00	08 23

**AA Edwards & Sons, Inc.**  
INVESTMENTS SINCE 1887

ONE NORTH JEFFERSON ST LOUIS MISSOURI 63103 (314) 955 3000

WE CONFIRM THE FOLLOWING TRANSACTION SUBJECT TO THE AGREEMENT ON THE REVERSE SIDE.

YOU BOUGHT	QUANTITY	PRICE	SECURITY DESCRIPTION	CUSIP NUMBER
	500	38	ALLEGIANCE TELECOM INC	01747T102
ACCOUNT NUMBER	IB	T	TRF MKT	OFFICE PHONE NUMBER
86 287-625	02	1 4	3	801-487-7177

WHEN COMMUNICATING WITH US PLEASE REFER TO YOUR ACCOUNT NUMBER

ALGX
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BOHEN INVESTMENT CO  
 A PARTNERSHIP  
 450 S SCOTT AVE  
 SALT LAKE CTY UT 84115-4621

UNSOLICITED

PRINCIPAL	STATE TAX	ACCRUED INTEREST	COMMISSION	SEC FEE	TRANSACTION CHARGE	AMOUNT
19,000.00			176.62		4 00	19,180.62

RETAIN THIS COPY FOR YOUR TAX RECORDS

TRADE DATE	SETTLEMENT DATE
09 08 00	09 13 00