

UNITED STATES BANKRUPTCY COURT DISTRICT OF DELAWARE		PROOF OF CLAIM	
Indicate Debtor against which you assert a claim by checking the appropriate box. (Check only one Debtor per claim form.)			
<input checked="" type="checkbox"/> Conexant Systems, Inc. (Case No. 13-10367) <input type="checkbox"/> Conexant CF, LLC (Case No. 13-10368)		<input type="checkbox"/> Brooktree Broadband Holdings, Inc. (Case No. 13-10369) <input type="checkbox"/> Conexant, Inc. (Case No. 13-10370) <input type="checkbox"/> Conexant Systems Worldwide, Inc. (Case No. 13-10371)	
<small>NOTE: See reverse and attached for List of Debtors/Case Numbers/Important details. Other than claims under 11 U.S.C. § 503(b)(9), this form should not be used to make a claim for Administrative Expenses arising after the commencement of the case. A "request" for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503(a).</small>			
<b>Name of Creditor</b> (the person or other entity to whom the debtor owes money or property): Hewlett-Packard Company			
<b>Name and address where notices should be sent:</b> Hewlett-Packard Company 3000 Hanover Street, MS 1050 Palo Alto, CA 94304 Attn: Elizabeth S. Tse			
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>RECEIVED</b>   <b>MAY 16 2013</b>   <b>BMC GROUP</b> </div>			
<b>Creditor Telephone Number</b> (650) 857-4980 <b>(email):</b> elizabeth.tse@hp.com			
sent (if different from above):		<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
<b>Payment Telephone Number</b> ( ) <b>email:</b>		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. <b>Court Claim Number (if known):</b> _____ <b>Filed on:</b> _____	
<b>1. AMOUNT OF CLAIM AS OF DATE CASE FILED</b> \$ Unliquidated Amount.			
If all or part of your claim is secured, complete Item 4. See Exhibit A. HP reserves the right to amend and supplement its claim. If all or part of your claim is entitled to priority, complete Item 5.			
<input type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of claim. Attach itemized statement of interest or charges.			
<b>2. BASIS FOR CLAIM:</b> Indemnification under Contract (See instruction #2)			
<b>3. LAST FOUR DIGITS OF ANY NUMBER BY WHICH CREDITOR IDENTIFIES DEBTOR:</b> 0230		<b>3a. Debtor may have scheduled account as:</b> (See instruction #3a)	
<b>3b. Uniform Claim Identifier (optional):</b> (See instruction #3b)		<b>3c. Amount of claim:</b> \$ _____	
<b>4. SECURED CLAIM:</b> (See instruction #4)			
Check the appropriate box if your claim is secured by a lien on property or a right of set off, attach required redacted documents, and provide the requested information.		Amount of arrearage and other charges, as of time case filed, included in secured claim, if any: \$ _____	
<b>Nature of property or right of setoff:</b> <b>Describe:</b> <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other _____		<b>Basis for Perfection:</b> _____	
Value of Property: \$ _____		Amount of Secured Claim: \$ _____	
Annual Interest Rate: _____ % <input type="checkbox"/> Fixed or <input type="checkbox"/> Variable (when case was filed)		Amount Unsecured: \$ _____	
<b>5. Amount of Claim Entitled to Administrative Expense status under 11 U.S.C. § 503(b)(9) or Priority under 11 U.S.C. § 507(a). If any part of the claim falls into one of the following categories, check the box specifying the administrative expense or priority and state the amount.</b>			
Amount entitled to priority: \$ _____		Amount entitled to administrative expense under 11 U.S.C. § 503(b)(9): \$ _____	
<b>You MUST specify the priority of the claim:</b>			
<input type="checkbox"/> Domestic support obligations under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).		<input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. § 507(a)(8).	
<input type="checkbox"/> Up to \$2,600* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. § 507(a)(7).		<input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(5).	
<input type="checkbox"/> Wages, salaries, or commissions (up to \$11,725*), earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. § 507(a)(4).		<input type="checkbox"/> Other - Specify applicable paragraph of 11 U.S.C. § 507(a) ( _____ ).	
<input type="checkbox"/> Value of goods received by the debtor within 20 days before the date of the bankruptcy filing - 11 U.S.C. § 503(b)(9).			
<small>* Amounts are subject to adjustment on 4/1/13 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.</small>			
<b>6. CREDITS:</b> The amount of all payments on this claim has been credited for the purpose of making this proof of claim. (See instruction #6)			

Conexant Systems



00101



**7. DOCUMENTS:** *Attached are redacted copies of documents that support the claim*, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed, and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #7, and definition of "redacted").

**DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.**

If the documents are not available, please explain: **See Exhibit A.**

**DATE-STAMPED COPY:** To receive an acknowledgment of the filing of your claim, enclose a stamped, self-addressed envelope and copy of this proof of claim.

The original of this completed proof of claim form must be sent by mail or hand delivered (FAXES NOT ACCEPTED) so that it is actually received on or before 4:00 pm, prevailing Eastern Time on May 17, 2013 for Non-Governmental Claimants OR on or before August 27, 2013 for Governmental Units.

BY MAIL TO:  
BMC Group, Inc  
Attn: Conexant Systems, Inc. Claims Processing  
PO Box 3020  
Chanhassen, MN 55317-3020

BY MESSENGER OR OVERNIGHT DELIVERY TO:  
BMC Group, Inc  
Attn: Conexant Systems, Inc. Claims Processing  
18675 Lake Drive East  
Chanhassen, MN 55317

**8. SIGNATURE:** (See instruction #8)


Check the appropriate box.

☒ I am the creditor. ☐ I am the creditor's authorized agent. ☐ I am the trustee, or the debtor, or their authorized agent. ☐ I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)  
(See Bankruptcy Rule 3004.)

I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.

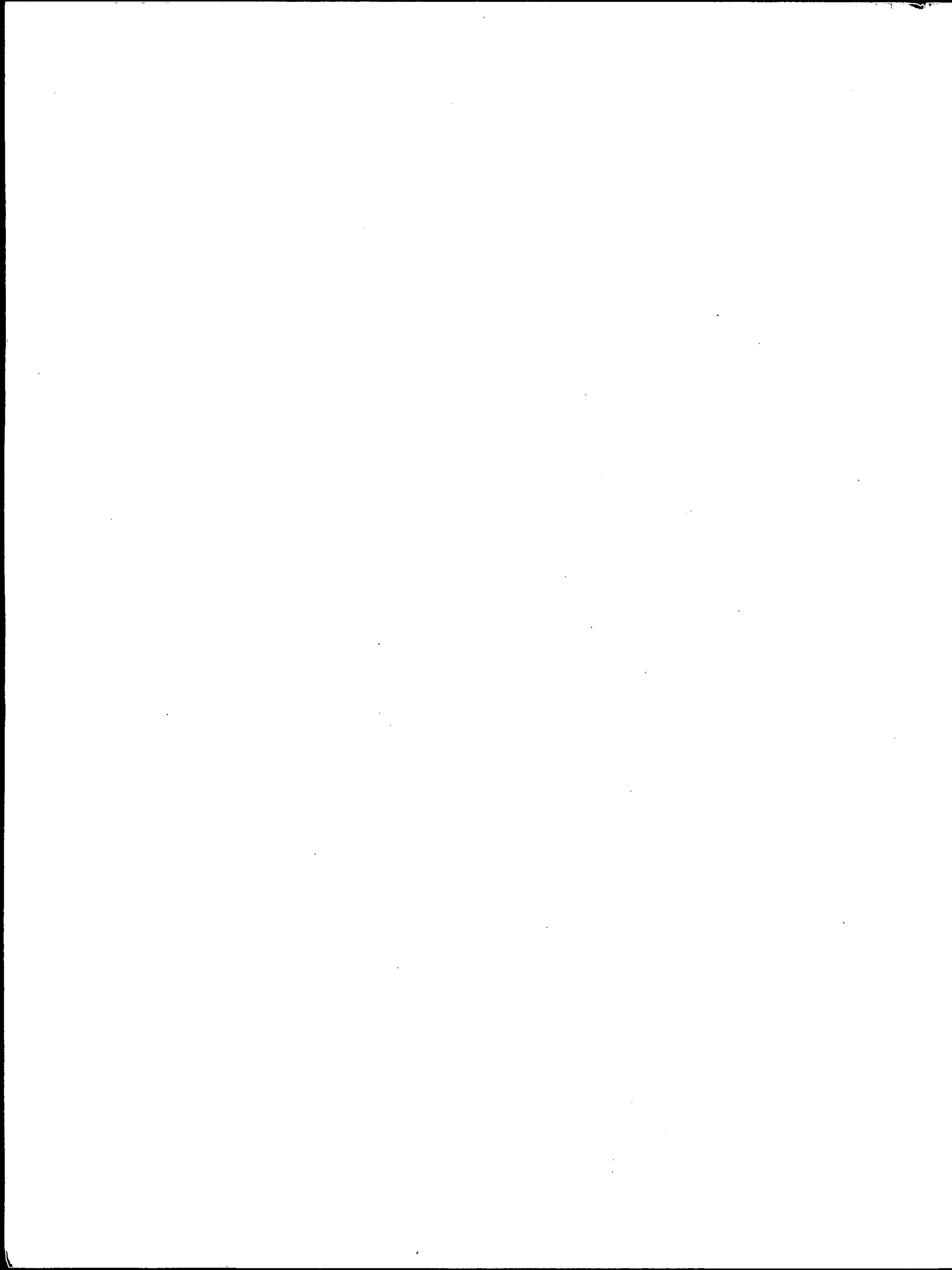
Print Name: Elizabeth S. Tse  
Title: IP Litigation Counsel  
Company: Hewlett-Packard Company

Address and telephone number (if different from notice address above):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

 5/15/2013  
(Signature) (Date)

Telephone number: \_\_\_\_\_ email: \_\_\_\_\_

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.



## INSTRUCTIONS FOR PROOF OF CLAIM FORM

The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

### ITEMS TO BE COMPLETED IN PROOF OF CLAIM FORM (IF NOT ALREADY PROPERLY FILLED IN)

#### Court, Name of Debtor, and Case Number:

Fill in the federal judicial district where the bankruptcy case was filed (for example, District of Delaware), the bankruptcy debtor's full name, and the case number. If you received a notice of the case from the Claims Agent, BMC Group, some or all of this information may have been already completed.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### 1. Amount of Claim as of Date Case Filed:

State the total amount owed to the creditor on the date of the bankruptcy filing. Follow the instructions concerning whether to complete items 4 and 5. Check the box if interest or other charges are included in the claim.

#### 2. Basis for Claim:

State the type of debt or how it was incurred. Examples include goods sold, money loaned, services performed, personal injury/wrongful death, car loan, mortgage note, and credit card. If the claim is based on delivering health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information. You may be required to provide additional disclosure if an interested party objects to the claim.

#### 3. Last Four Digits of Any Number by Which Creditor Identifies Debtor:

State only the last four digits of the debtor's account or other number used by the creditor to identify the debtor.

#### 3a. Debtor May Have Scheduled Account As:

Report a change in the creditor's name, a transferred claim, or any other information that clarifies a difference between this proof of claim and the claim as scheduled by the debtor.

#### 3b. Uniform Claim Identifier:

If you use a uniform claim identifier, you may report it here. A uniform claim identifier is an optional 24-character identifier that certain large creditors use to facilitate electronic payment in chapter 13 cases.

#### 4. Secured Claim:

Check whether the claim is fully or partially secured. Skip this section if the claim is entirely unsecured. (See Definitions) If the claim is secured, check the box for the nature and value of property that secures the claim,

attach copies of lien documentation and state, as of the date of the bankruptcy filing the annual interest rate (and whether it is fixed or variable), and the amount past due on the claim.

#### 5. Amount of Claim Entitled to Administrative Expense Under 11 U.S.C. § 503 (b)(9) or Priority Under 11 U.S.C. § 507(a).

If any portion of your claim falls in one or more of the listed categories, check the appropriate box(es) and state the amount entitled to priority. (See Definitions) A claim may be partly priority and partly non-priority. For example, in some of the categories, the law limits the amount entitled to priority.

#### 6. Credits:

An authorized signature on this proof of claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

#### 7. Documents:

Attach redacted copies of any documents that show the debt exists and a lien secures the debt. You must also attach copies of documents that evidence perfection of any security interest and documents required by FRBP 3001(c) for claims based on an open-end or revolving consumer credit agreement or secured by a security interest in the debtor's principal residence. You may also attach a summary in addition to the documents themselves. FRBP 3001(c) and (d). If the claim is based on delivering health care goods or services, limit disclosing confidential health care information. Do not send original documents, as attachments may be destroyed after scanning.

#### 8. Date and Signature:

The individual completing this proof of claim must sign and date it. FRBP 9011. If the claim is filed electronically, FRBP 5005(a)(2), authorizes courts to establish local rules specifying what constitutes a signature. If you sign this form, you declare under penalty of perjury that the information provided is true and correct to the best of your knowledge, information, and reasonable belief. Your signature is also a certification that the claim meets the requirements of FRBP 9011(b). Whether the claim is filed electronically or in person, if your name is on the signature line, you are responsible for the declaration. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. If the claim is filed by an authorized agent, provide both the name of the individual filing the claim and the name of the agent. If the authorized agent is a servicer, identify the corporate servicer as the company. Criminal penalties apply for making a false statement on a proof of claim.

### DEFINITIONS

#### DEBTOR

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### CREDITOR

A creditor is a person, corporation, or other entity to whom the debtor owes a debt that was incurred before the date of the bankruptcy filing. See 11 U.S.C. § 101(10).

#### CLAIM

A claim is the creditor's right to receive payment for a debt owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. § 101(5). A claim may be secured or unsecured.

#### PROOF OF CLAIM

A proof of claim is a form sued by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. This form must be filed with the court-appointed Claims Agent, BMC Group, at the address listed on the reverse side of the first page.

#### SECURED CLAIM Under 11 U.S.C. § 506(a)

A secured claim is one backed by a lien on property of the debtor. The claim is secured so long as the creditor has the right to be paid from the property prior to other creditors. The amount of the secured claim cannot exceed the value of the property. Any amount owed to the creditor in excess of the value of the property is an unsecured claim. Examples of liens on property include a mortgage on real estate or a security interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court

judgment is a lien. A claim also may be secured if the creditor owes the debtor money (has a right to setoff).

#### UNSECURED CLAIM

An unsecured claim is one that does not meet the requirements of a secured claim. A claim may be partly unsecured if the amount of the claim exceeds the value of the property on which the creditor has a lien.

#### CLAIM ENTITLED TO PRIORITY Under 11 U.S.C. § 507(a)

Priority claims are certain categories of unsecured claims that are paid from the available money or property in a bankruptcy case before other unsecured claims.

#### REDACTED

A document has been redacted when the person filing it has masked, edited out, or otherwise deleted, certain information. A creditor must show only the last four digits of any social-security, individual's tax-identification, or financial-account number, only the initials of a minor's name, and only the year of any person's date of birth. If the claim is based on the delivery of health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information.

#### EVIDENCE OF PERFECTION

Evidence of perfection may include a mortgage, lien, certificate of title, financing statement, or other document showing that the lien has been filed or recorded.

### INFORMATION

#### OFFERS TO PURCHASE A CLAIM

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(c), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

#### Date-Stamped Copy

Return claim form and attachments, if any. If you wish to receive an acknowledgement of your claim, please enclose a self-addressed stamped envelope and a second copy of the proof of claim form with any attachments to the Claims Agent, BMC Group, at the address on the second page of this form.

*Please read - Important Information: upon completion of this claim form, you are certifying that the statements herein are true.*

Be sure all items are answered on the claim form. If not applicable, insert "Not Applicable."

ONCE YOUR CLAIM IS FILED YOU CAN OBTAIN OR VERIFY YOUR CLAIM NUMBER BY VISITING [www.bmcgroup.com/Conexant](http://www.bmcgroup.com/Conexant)

## **EXHIBIT A**

Hewlett-Packard Company ("HP") submits the attached proof of claim against Conexant Systems, Inc. ("Conexant"), Case # 13-10367 filing dated February 28, 2013.

HP and Conexant's predecessor-in-interest are parties to certain EmWeb License and Distribution Agreements dated July 30, 1998 and January 6, 1999, as amended (the "Agreements").

Pursuant to Section 6 of the Em Web License and Distribution Agreement dated July 30, 1998, and Section 6(a) of the Em Web License and Distribution Agreement dated January 6, 1999, Conexant has agreed to indemnify HP. HP files this claim for amounts due from Conexant in connection with its obligation to indemnify HP with respect to damages arising in connection with alleged intellectual property infringement as set forth in a lawsuit filed against HP by Agranat IP Licensing, LLC (case No. 12-cv-01186 JST (RNBx)(C.D.Cal.) (the "Lawsuit"). HP is unable at the present time to calculate the amounts owed to it by Conexant in connection with this indemnification obligation. HP asserts an indemnification claim in an as of yet unliquidated amount, and reserves all rights to amend this proof of claim to include definitive amounts relating to the same. Attached are copies of HP's demand for indemnification, the Agreements, and the complaints in the Lawsuit.

HP reserves the right to amend, supplement, or withdraw this Proof of Claim as further information becomes available. HP also reserves its right to assert additional claims as it deems necessary.



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October 10, 2012

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khue.hoang@ropesgray.com

**BY EMAIL/FEDEX**

Agranat IP Licensing, LLC  
c/o Peter Afrasiabi  
ONE LLP  
4000 MacArthur Blvd.  
West Tower, Suite 1100  
Newport Beach, CA 92660

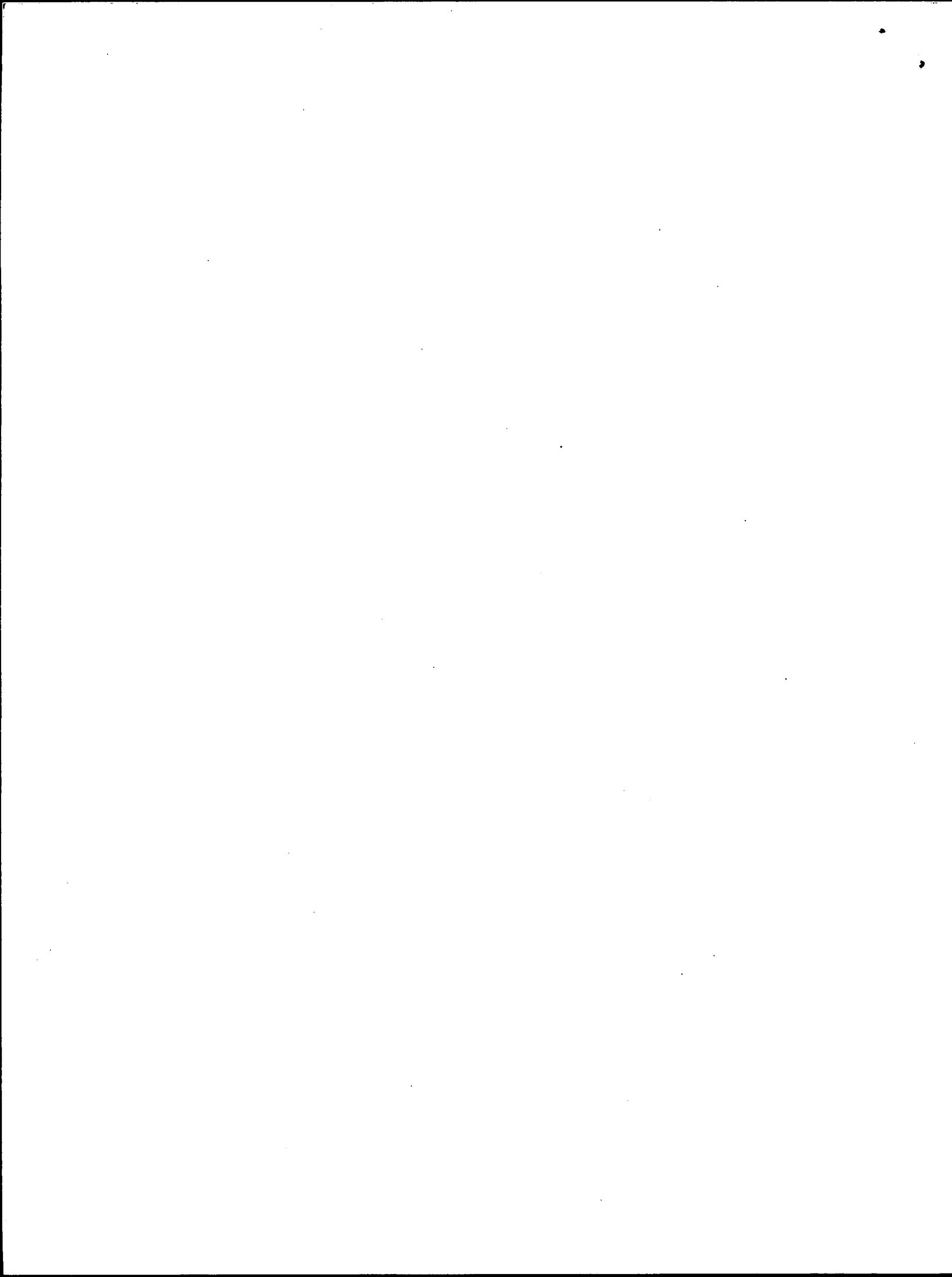
Dennis R. Gallagher  
General Counsel and Vice President, Legal  
Conexant Systems, Inc.  
4000 MacArthur Blvd.  
Newport Beach, CA 92660

Re: *Agranat IP Licensing, LLC v. Hewlett-Packard Co.*,  
Case No. 12-cv-01186 JST (RNBx), (C.D. Cal.).

Dear Mr. Afrasiabi and Mr. Gallagher:

We address this letter to Agranat IP Licensing, LLC and Conexant Systems, Inc. Our firm represents Hewlett-Packard Co. ("HP") in the above-captioned lawsuit. A copy of the complaint is enclosed. As you may be aware, in this suit, Agranat IP Licensing, LLC ("Agranat IP") alleges that HP infringes U.S. Patent No. 6,456,308 ("the '308 patent") by making, using, selling, and/or offering to sell products that allegedly use an "embedded web server application." The complaint accuses certain products that use software that HP has purchased or licensed from Agranat Systems, Inc. ("Agranat Systems") and/or its successors-in-interest, for example, under the EmWeb License and Distribution Agreement dated July 30, 1998 and January 6, 1999, and applicable amendments (the "Agreements"). Copies of the Agreements are enclosed.

Pursuant to Section 6 of the EmWeb License and Distribution Agreement dated July 30, 1998, and Section 6(a) of the EmWeb License and Distribution Agreement dated January 6, 1999, HP hereby seeks indemnity against the patent infringement claims asserted against HP in the above-captioned lawsuit. Based on the complaint filed by Agranat IP, the infringement claims arise out of HP's alleged use of embedded web server applications. Approximately half of the accused products





ROPES & GRAY LLP

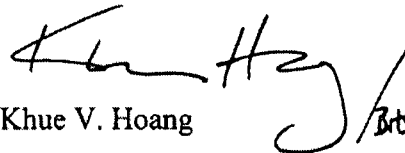
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October 10, 2012

named in Agranat IP's complaint use the licensed EmWeb product. Therefore, HP is owed indemnity under the Agreements with respect to at least these products.

HP expects that Agranat Systems and its successors-in-interest, Conexant and/or Agranat IP, will fully perform the obligations required under the indemnity provision of the EmWeb License and Distribution Agreements and applicable amendments. Please respond in writing within fourteen (14) days to affirm your respective indemnity obligations.

Sincerely,

A handwritten signature in black ink, appearing to read 'Khue V. Hoang', followed by a large, stylized flourish or mark.

Khue V. Hoang

KVH:bhs  
Enclosures

cc: Ian D. Agranat, Agranat Systems, Inc. (*via email*)

Copy

## EMWEB LICENSE AND DISTRIBUTION AGREEMENT

**AGREEMENT** made as of this 30<sup>th</sup> day of July, 1998, between Agranat Systems, Inc., a Massachusetts corporation having an office at 1345 Main Street, Waltham, Massachusetts 02154 ("Agranat") and Hewlett Packard, NPSD, 8000 Foothills Blvd., Roseville, CA 95747 ("Licensee").

### 1. The Product

Agranat is the owner of a software product for creating embedded systems (the "EMWEB Product") that Agranat is willing to license to Licensee for use as set forth in Section 2, below. The EMWEB Product components are set forth in Exhibit A, and include a compiler in object code form (the "Compiler Code") and server components in source code form (the "Server Code").

### 2. Grant of License

**2.1 For Compiler Code.** Subject to the terms and conditions of this Agreement, and payment by Licensee of the fees set forth in Exhibit B, attached hereto, Agranat hereby grants to Licensee a non-exclusive license for Licensee to use the Compiler Code at, and only at, Licensee's Roseville facility for the limited purpose of compiling Licensee's hypertext and other documents for integration with Server Code and various Licensee components in order to create Licensee Products as set forth in Section 2.2. The initial number of Licensee users authorized to use the Compiler Code is shown in Exhibit B as "floating seats" (as that number may subsequently be amended by a writing between the parties). Licensee is advised that the Compiler Code may contain a security device that physically limits the number of users at any one time. Licensee shall have no right to distribute the Compiler Code to any other entity, in any form whatsoever, including in object code or other executable form. Licensee may make one back-up copy of the Compiler Code for archival purposes. The original and any back-up copy of the Compiler Code that Licensee makes shall be and remain the property of Agranat.

**2.2 For Server Code.** Subject to the terms and conditions of this Agreement, and payment by Licensee of the fees also set forth in Exhibit B, attached hereto, Agranat hereby grants to Licensee a non-exclusive, license for Licensee to reproduce, distribute, promote, modify and market the Server Code to Licensee's distributors, package developers, value-added remarketers, and end users (collectively, "End Users") in object code form only, and only integrated with Licensee's products set forth in Exhibit C ("Licensee Products"). Any distribution of Licensee Products to third parties shall be pursuant to a license agreement that includes at a minimum those terms shown in Exhibit D, and to which terms Licensee's End Users are bound vis-a-vis their customers and themselves in distributing and using Licensee Products. The Server Code license granted hereunder does not permit distribution or other transfer of the EMWEB Product in standalone form.

### 3. Product Support

During the 90-day warranty period set forth in Section 6, Agranat will provide Licensee with a reasonable amount of hotline support to Licensee (but not Licensee's customers) during Agranat's normal business hours. During this time, Agranat will also provide Licensee with any error corrections and updates that Agranat makes to the EMWEB Product generally.

Agranat shall have no obligation to provide Product support for any code that has been modified by anyone except Agranat. This provision, however, does not apply to any copies of the code that have remained unmodified.

If on-site support is requested by Licensee for assistance with integrating the EMWEB Product into Licensee's hardware or software, or if Licensee requests on-site training, such services shall be billed in accordance with Agranat's professional fee schedule then in effect. Any other EMWEB Product support or any training or other materials or services not described in Exhibits A or E or this Section 3 shall be arranged by separate agreement. In particular, from time to time, and in addition to Agranat's regular Product support updates and enhancements, Agranat may offer various separately priced, optional modules ("Modules"). Such Modules are not required in order for the EMWEB Product to operate properly, but do offer Licensee the option of purchasing additional features and capabilities. The Modules, whether licensed at the same time as the underlying EMWEB Product or later on, shall be considered part of the EMWEB Server Code and shall be governed by the terms and conditions of this Agreement as modified by Exhibit G, and recognizing that the number of Licensee Products authorized by Exhibit G may be less than the number authorized by Exhibit C.

Agranat agrees to render Product support for the EMWEB Product to Licensee as set forth in Exhibit E. Upon request by Licensee and Licensee's payment of applicable escrow fees, and while Licensee is continuing to subscribe to Agranat's Product Support Plan, Agranat agrees to place the EMWEB Compiler source code into escrow for Licensee's benefit in accordance with an Escrow Agreement to be appended hereto as Exhibit F, such Agreement to provide for release of the EMWEB Compiler source code to Licensee if Agranat (or its designee) fails to provide Licensee with the agreed-upon Compiler support services.

#### **4. Consideration and Payments**

For the right to use both the Compiler Code and the Server Code as authorized by this Agreement, Licensee shall pay Agranat the fee or fees shown in Exhibit B. All amounts shall be paid in U.S. dollars.

If Licensee wishes to transfer the Server Code to any third party, such transfer shall require the prior written consent of Agranat, except for assignments permitted under Section 9 below, and payment of a fee to be negotiated. Except for assignments permitted under Section 9 below, in no event may Licensee transfer the Compiler Code to anyone

#### **5. Ownership and Proprietary Rights**

All right, title, and interest in and to the EMWEB Product shall remain with Agranat, and Licensee shall neither have nor obtain any right to use, copy, or disclose the EMWEB Product except as expressly provided for in this Agreement. Licensee further agrees to preserve and respect any notice of copyright, trademark, or other proprietary right of Agranat included with the EMWEB Product.

No derivative work that Licensee may make from the Server Code shall give Licensee any ownership rights in Agranat's underlying Server Code, and Licensee shall have no right to register any such derivative work and further, this Agreement conveys no right to use or register any trademark or trade name of the EMWEB Product or of Agranat.

## **6. Representations and Warranties of Agranat**

Agranat represents that it has full power and right to license the EMWEB Product to Licensee. Agranat agrees to indemnify Licensee against any loss, damage, or liability asserted against Licensee or incurred by Licensee, arising out of any claim that the EMWEB Product infringes a copyright, trade secret or valid US patent. Licensee agrees to notify Agranat promptly of any such claim or action and Agranat shall control the defense or settlement. Agranat has no liability for costs incurred or settlements made without its consent.

Should any EMWEB Product become, or in Agranat's opinion be likely to become, the subject of a claim of infringement or trade secret misappropriation, Agranat may, at its option and expense, obtain for Licensee the right to continue using the EMWEB Product, or replace or modify it so that its use becomes non-infringing or otherwise lawful. If neither remedy is reasonably available to Agranat on a commercially reasonable basis, then Agranat shall accept the return of the infringing EMWEB Product for a refund of the license fee, pro-rated over a three-year straight line basis, beginning eighteen (18) months from the effective date of this Agreement, as Licensee's sole remedy for such infringement. However, Licensee shall not be required to return any EMWEB Product embedded in the Licensee Product(s) that has (have) been shipped to End Users.

For ninety (90) days after delivery of the Product at Licensee's site, Agranat warrants that the EMWEB Product will perform substantially in accordance with the EMWEB Product documentation supplied to Licensee. If the EMWEB Product fails to perform as warranted, Licensee shall provide written notice to Agranat, and Agranat will make reasonable efforts to remedy the problem.

Agranat does not warrant that the functions contained in the EMWEB Product will meet any Licensee requirements other than those set forth in the Product documentation earlier provided to Licensee, or that the operation of the EMWEB Product will be error free. Licensee agrees that its sole and exclusive remedy hereunder will be limited to Agranat's efforts to repair stated above, or to refund of Licensee's licensee fees for the EMWEB Product if Agranat is unable to remedy the problem.

Licensee understands that any failure to use the EMWEB Product as set forth in the EMWEB Product documentation will void Agranat's warranty of performance pursuant to this Section 6.

THE FOREGOING WARRANTY IS IN LIEU OF ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OR MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. THE FOREGOING FURTHER STATES THE ENTIRE LIABILITY OF AGRANAT FOR PATENT, COPYRIGHT, OR TRADE SECRET INFRINGEMENT OR FOR ANY BREACH OF WARRANTY FOR NONINFRINGEMENT, EXPRESS OR IMPLIED.

Licensee may have certain statutory rights, as a consumer or otherwise, to which the exclusions set forth in Section 6 of this License Agreement do not apply.

Any modification of the EMWEB Product by any persons other than Agranat will void the indemnification and the warranty set forth in the first and third paragraphs of this Section 6. This provision, however, does not apply to any copies of the Server Code that have remained unmodified. Agranat is not liable for any damage caused by running the EMWEB Product on other than the platforms listed in Exhibit C.



In no event will Agranat be liable to Licensee or anyone claiming through Licensee for any damages in excess of the license fees received by Agranat from Licensee.

IN NO EVENT SHALL EITHER PARTY BE LIABLE TO THE OTHER FOR ANY INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES INCURRED OR CLAIMED BY EITHER PARTY OR BY A THIRD PARTY AGAINST THE CLAIMING PARTY ARISING OUT OF, OR IN CONNECTION WITH, THIS AGREEMENT. SUCH DAMAGES, WHICH EACH PARTY HEREBY DISCLAIMS, MAY INCLUDE, WITHOUT LIMITATION, LOSS OF DATA, LOSS OF PROFITS, LOSS OF GOODWILL, WORK STOPPAGE, COMPUTER FAILURE OR MALFUNCTION, OR NETWORK FAILURE OR MALFUNCTION, EVEN IF THE CLAIMING PARTY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

#### **7. Licensee's Representations and Warranties**

Licensee warrants that it will not export or re-export the EMWEB Product in violation of any export control laws of the United States or of any other country, and agrees to indemnify Agranat for any breach of this warranty.

Licensee agrees not to delete any notice of copyright included with the EMWEB Product materials. Licensee further agrees that it will not copy the software except as permitted in Section 2, and that it will not disassemble or decompile the Compiler Code or any portion thereof, including for reasons of error correction. Licensee also agrees not to reproduce, deactivate, or bypass any security device supplied with the EMWEB Product.

#### **8. Term and Termination**

This Agreement shall be effective until terminated by mutual consent, or by election of either Agranat or Licensee in case of the other party's unremedied material breach, but only if the breaching party has first been given thirty (30) days to remedy the problem. In addition, Licensee shall have the right to terminate this Agreement at any time for any reason on ninety (90) days' notice, but refunds shall not be given if Licensee elects to terminate. In case of any termination of this Agreement, Licensee will immediately return the EMWEB Product to Agranat and will certify in writing that all EMWEB Product components have been returned or erased from the memory of Licensee's computer or made non-readable. Licensee shall have no further rights of distribution; however, termination of the license shall not affect any existing agreements between Licensee and its End Users that were entered into in conformance with this Agreement.

Sections 5, 6, 7, 8 and 9 shall survive any termination of this Agreement.

#### **9. General Terms and Conditions**

This Agreement supersedes all prior agreements and understandings, including oral representations, between the parties relating to Licensee's license for the EMWEB Product, is intended by the parties as the complete and exclusive statement of the terms of this Agreement, and may be modified only in writing by the parties. A purchase order issued by Licensee with new or different typed or handwritten terms shall not be binding on Agranat unless Agranat shall have specifically agreed to such terms. In no event shall the pre-printed terms on any such purchase order be of any force or effect.

If any part of this Agreement is held by a court of competent jurisdiction to be invalid or unenforceable, that part will be enforced to the maximum extent permitted by law, and the remainder of this Agreement will remain fully in force.

The remedies herein reserved shall be cumulative and additional to any other remedies in law or equity. Waiver of breach of any provision of this Agreement shall not be deemed a waiver of that provision of or any other provision. This Agreement shall be governed by the laws of the Commonwealth of Massachusetts and shall inure to the benefit of the parties, their successors, administrators, heirs, and assigns. For purposes of this Agreement, Licensee's End Users shall include any person or entity to whom Licensee has distributed its products using the Server Code. Except as provided below, neither this Agreement nor any rights hereunder, in whole or in part, shall be assignable or otherwise transferable by Licensee, except that Licensee's rights hereunder may be assigned to any majority owned subsidiary, indirect or direct, of Licensee or to any entity to which all or substantially all of Licensee's assets have been transferred. In case of such assignment, Licensee shall notify Agranat within a reasonable time thereafter.

EXECUTED as of the date first set forth above.

AGREED AND ACCEPTED

AGREED AND ACCEPTED

AGRANAT SYSTEMS, INC.

HEWLETT PACKARD -NPSD

By: *[Signature]*

By: *Teresa Foley*

Name: *Garry S. Caragan*

Name: *Teresa Foley*

Title: *VP Bus Dev't*

Title: *R&D Manager*

## **Exhibit A**

### **The EMWEB Product Components:**

- o **Compiler object code**
- o **Server components in source code form**
- o **Documentation**



## **Exhibit B**

### **EmWeb License Fees**

#### **EmWeb Platform License:**

License Fee for the right to create and distribute Licensee Products using EMWEB Compiler Code and Server Code for each of Licensee's Product Platforms as described in Exhibit C:

**\$45,000**

The License Fee includes one (1) floating seat for the EmWeb Compiler tool. Additional seats are available at \$2,500 each.

The Platform License Fee is due upon execution of this Agreement.

Additional Platform License Fees are subject to Agranat's standard prices.

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## **Exhibit C**

### **Description of Licensee Product(s) Incorporating the EMWEB Product**

**For purposes of this Agreement, Product Platforms are defined as follows:**

**Product Platform Definition:** For purposes of this Agreement, the term "platform" is defined as a product, such as a device or family of devices or equipment, that is (are) operated and controlled by an underlying technology comprised of the Licensee's printing and scanning firmware and hardware, (whether developed by Licensee or a third party) and one or more proprietary applications. Slight variations in the product, such as minor changes to the product hardware for reasons of cost reduction (e.g. use of one microprocessor in place of another), or an expanded chassis version of the product or cosmetic changes for the purpose of packaging or firmware modifications for enhancing printing and scanning or defect fixes, will not be considered new platforms. Any other product changes, however, including but not limited to those that require new firmware functionality, changes to the proprietary application(s), or the like, shall be considered a new platform.

#### **Licensed Platforms:**

1- Jet Direct printer card. Includes versions that plug into laser jet printers as well as those which reside in print servers/controllers.

2- SureStore Network Attached Storage (NAS) server products. The application of the EMWEB license will apply to the actual (controller). The server module will include both a "box" or single board form factor. The server module will be included in a variety of product configurations supporting network access to hard drive, CD-ROM, DVD devices, as well as combinations of these storage devices in a single enclosure. The server module will include a common firmware platform which will be updated over time to extend the features and add support for new storage devices. (9/24/98)



## **Exhibit D**

### **Provisions to be included in Licensee's Agreements with Its End Users**

1. End User acknowledges Licensee's proprietary rights (which by extension includes Agranat, although this is invisible to the End User).
2. The EMWEB Product provided pursuant to this Agreement has been developed at purely private expense. Therefore, if Licensee as an End User is an agency of the United States Government, the following shall pertain:
  - o For units of the U.S. Department of Defense. The EMWEB Product is commercial computer software as defined in 48 C.F.R. 211, and as such is provided to the Department of Defense under the terms of this License Agreement, which is Agranat's standard commercial agreement for the EMWEB Product. In the alternative, if 48 C.F.R. 211 is not invoked, the EMWEB Product is licensed as set forth in the next sentence. Restricted Rights Legend: use, duplication or disclosure by the United States Government is subject to restrictions as set forth in the Rights in Technical Data and Computer Software Clause at 48 C.F.R. 52.227-7013 (c)(1)(ii).
  - o For U.S. civilian agencies: Restricted Rights Legend. Use, reproduction or disclosure is subject to restrictions set forth in the Commercial Computer Software - Restricted Rights clause at 48 C.F.R. 52.227-19 (a) through (d), and the terms and conditions set forth in Agranat's standard commercial agreement for the EMWEB Product. Unpublished rights are reserved under the copyright laws of the United States.
3. Agranat has granted to Licensee certain warranties of performance, which warranties [or portion thereof] Licensee now extends to End User. **IN NO EVENT, HOWEVER, SHALL AGRANAT BE LIABLE TO END USER FOR ANY INDIRECT, SPECIAL, OR CONSEQUENTIAL DAMAGES OF END USER OR A THIRD PARTY AGAINST END USER ARISING OUT OF, OR IN CONNECTION WITH, THIS DISTRIBUTION OF THE EMWEB PRODUCTS TO END USER.**
4. End User may have certain statutory rights, as a consumer or otherwise, to which the exclusions set forth in this End User License Agreement do not apply.
5. In case of any termination of the Software License between Licensee and End User, End User shall immediately return the EMWEB Product and any back-up copy to Licensee, and will certify to Licensee in writing that all EMWEB Product components and any copies of the software have been returned or erased by the memory of End User's computer or made non-readable.
6. End User must comply with all technology control export laws worldwide.

## **Exhibit E**

### **Product Support Plan**

**Product Support:** Agranat will provide the following:

- o Reasonable assistance from Agranat's Product Support Hotline to provide answers to technical questions about the Product. Such assistance includes reasonable efforts to diagnose, verify and correct errors and defects in the Product, but this Plan does not cover professional service assistance regarding the use of specific methods or techniques. The business hours for the Hotline are Monday through Friday, 9:00 AM to 5:00 PM Eastern Time, excluding major holidays.
- o Technical corrections for any software errors that cause the software to operate other than substantially in accordance with the Product documentation during the period of this Product Support Plan.
- o All upgrades and enhancements which the Company generally releases while this Support Plan is in effect.

### **Support Fee**

The current annual cost for the Product Support Plan is: \$7,500

This service will be provided to a single designated contact at Licensee's facility, through whom all support-related questions and information will be communicated. Licensee may designate an alternate contact if the primary contact is unavailable from time to time. Replacement of the designated contact may be done without any additional charge. Additional permanent contacts may be designated for a fee of \$5,000 each.

Support fees will be invoiced at the beginning of the Product Support year and are payable within thirty (30) days. Agranat reserves the right to withhold support services under this Plan if payments are not made at the time they are due.

### **Term**

Provided that Licensee has paid the Support Fee specified above, the services furnished hereunder shall begin at the expiration of the warranty period shown in the EMWEB License and Distribution Agreement, and shall continue for one year. This Product Support Plan may be renewed for successive one-year terms at Agranat's then-current annual Support rate. Agranat will invoice Licensee at least 60 days before the commencement of each new effective support period.

### **Other Terms**

This Product Support Plan is expressly subject to the EMWEB License and Distribution Agreement, including its General Terms, for the Product. Unless otherwise indicated, terms used in this Plan shall have the same meaning as in the License and Distribution Agreement.

# AMENDMENT #1 TO EMWEB LICENSE AND DISTRIBUTION AGREEMENT

Virata Inc. ("Virata")	Hewlett Packard NPSD ("Licensee")
By: <i>[Signature]</i>	By: <i>[Signature]</i>
Name: THOMAS W. HUPPUGH	Name: PETER M. BEMAN
Title: VP & GENERAL COUNSEL	Title: COMMODITY MANAGER
Date: 19 JULY 2001	Date: 7-16-01
Principal Place of Business: 2700 San Tomas Expressway Santa Clara, California 95051	Principal Place of Business: 8000 Foothills Blvd Roseville, California 95747

## PREAMBLE

This Amendment (the "Amendment") is made and entered into the latter of the two signature dates above (the "Amendment Date") by and between Virata Inc. ("Virata") and Hewlett Packard NPSD ("Licensee"); each individually a "Party" and collectively the "Parties".

Whereas, effective July 30, 1998, the Parties entered into an EmWeb License and Distribution Agreement ("the Agreement"); and

Whereas, the Parties now wish to amend the Agreement as set forth below; and

Whereas, the Parties now wish for all other terms and conditions of the Agreement to remain in full force and effect;

Now, therefore, the Parties hereto agree as follows.

## TERMS AND CONDITIONS

### 1. General.

Capitalized terms in this Amendment shall have the same meaning as those in the Agreement unless specifically defined in this Amendment. All Article, Schedule and Section references are in regard to the Agreement. References to the "Agreement" in the Agreement shall be deemed to include this Amendment.

Except as expressly modified herein, the Agreement shall remain in full force and effect in accordance with its terms. To the extent that there are any inconsistencies or ambiguities between this Amendment and the Agreement, the terms of this Amendment shall supersede the Agreement.

### 2. Change of Name. All references to Agranat Systems, Inc. ("Agranat") shall be changed to Virata Inc. ("Virata") to reflect Virata Inc.'s acquisition of Agranat Systems.

### 3. The description of the Licensed Platform set forth in Exhibit C is amended as follows:

Designated Equipment (as defined in Exhibit C)	Platform 1—Jet Direct printer card. Includes versions that plug into laser jet printers as well as those which reside in print servers/controllers.
Processor	
Operating System	VxWorks
Product Name	Jet Direct Printer Card
Model Number	

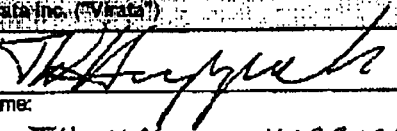
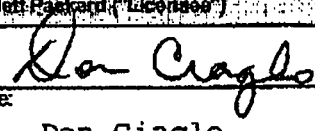
### 4. The following software modules are added to the Licensed Software listed in Exhibit B.

Item #	Description	Licensed Software Fee
VA-01109-P	EmWebSSL Code Platform Buyout	\$15,000.00

### 5. The following maintenance fees are added to the Annual Maintenance Fees listed in Exhibit E

Item #	Description	Annual Maintenance Fee
VA-01109-M	EmWebSSL Maintenance Plan	\$2,500.00

## AMENDMENT #2 TO EMWEB LICENSE AND DISTRIBUTION AGREEMENT

Virata Inc. ("Virata")	Hewlett Packard ("Licensee")
By: 	By: 
Name: THOMAS W. HUPPOCH	Name: Don Ciaglo
Title: VP & GENERAL COUNSEL	Title: Lab Manager
Date: 28 NOVEMBER 2001	Date: 11-16-01
Principal Place of Business: 2700 San Tomas Expressway Santa Clara, California 95051	Principal Place of Business: 8000 Foothills Blvd Rossville, California 95747

## PREAMBLE

This Amendment (the "Amendment") is made and entered into the latter of the two signature dates above (the "Amendment Date") by and between Virata Inc. ("Virata"), and Hewlett Packard ("Licensee"), each individually a "Party" and collectively the "Parties".

Whereas, effective 30 July 1998, the Parties entered into an EmWeb License and Distribution Agreement which was amended by Amendment #1 effective 19 July 2001 ("the Agreement"); and

Whereas, the Parties now wish to further amend the Agreement as set forth below; and

Whereas, the Parties now wish for all other terms and conditions of the Agreement to remain in full force and effect;

Now, therefore, the Parties hereto agree as follows.

## TERMS AND CONDITIONS

## 1. General.

Capitalized terms in this Amendment #2 shall have the same meaning as those in the Agreement unless specifically defined in this Amendment. All Article, Schedule and Section references are in regard to the Agreement. References to the "Agreement" in the Agreement shall be deemed to include this Amendment.

Except as expressly modified herein, the Agreement and Amendment #1 shall remain in full force and effect in accordance with its terms. To the extent that there are any inconsistencies or ambiguities between this Amendment #2 and the Agreement, the terms of this Amendment #2 shall supersede the Agreement.

## 2. Change of Name. All references to Hewlett Packard, NPSP shall be changed to Hewlett Packard IPS to reflect Hewlett Packard, NPSP's divisional name change.

## 3. The following is added as Licensed Platform 3 in Exhibit C

Licensed Platform (as defined in Exhibit C)	Printers, scanners, faxes, print servers, multi-function devices that contain printers, and platforms which represent these devices to the network.
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## 4. The following software modules are added to the EmWeb Platform License in Exhibit B. Upon payment of the Licensed Software Fee, Virata grants Licensee royalty free distribution rights for Licensed Platform 3, effective from the date of signing, of the EmWeb products listed in Section 4, including one year of software upgrades. All fees are net of any sales, value added or similar taxes. Payment is due within thirty (30) days of receipt of invoice. All software and documentation will be delivered electronically.

Item #	Description	Licensed Software Fee
VA-01000-P	EmWebServer Code Platform Buyout	
VA-01104-P	EmWebXML Code Platform Buyout	
VA-01109-P	EmWebSSL Code Platform Buyout	
VA-01101-P	EmWebClient Code Platform Buyout	
VA-06001-P	EmWebUPnP Code	





Item #	Description	Licensed Software Fee
VA-06001-S	EmWebUPnP Compiler Add-on for Six Sites	
VA-01000-S	EmWeb Compiler for Six Sites	
VA-01104-S	EmWebXML Compiler Add-on for Six Sites	
		Total \$450,000

5. Licensee has the option to purchase additional compiler seat site licenses.

Item #	Description	Per Site License Fee
VA-01000-S	EmWeb Compiler	
VA-01104-S	EmWebXML Compiler Add-on	
VA-06001-S	EmWebUPnP Compiler Add-on	
		Total \$5,000

6. For Platform 3 the following items are added to Exhibit E of the Agreement. For the products listed in Section 4, Licensee may purchase technical support contacts on a per person annual basis. Technical support will begin on the execution of this Amendment #2.

Item #	Description	Annual Technical Support Fee
VA-01000-M	EmWebServer Maintenance Plan	
VA-01101-M	EmWebClient Maintenance Plan	
VA-01109-M	EmWebSSL Maintenance Plan	
VA-01104-M	EmWebXML Maintenance Plan	
VA-06001-M	EmWebUPnP Maintenance Plan	
		Total \$10,000

7. For Platform 3 Licensee has the option to purchase a software upgrade for products listed in Section 4 for a single release. After the first year from signing of this Amendment #2, to be eligible to receive technical support, Licensee must have current software through the purchase of a software upgrade. To purchase an upgrade, however, Licensee is not required to be current with its technical support contacts.

Item #	Description	Upgrade Fee
VA-01000-P	EmWebServer Code Upgrade	
VA-01101-P	EmWebClient Code Upgrade	
VA-01109-P	EmWebSSL Code Upgrade	
VA-01104-P	EmWebXML Code Upgrade	
VA-06001-P	EmWebUPnP Code Upgrade	
		Total \$60,000

8. In consideration of the above licensing fees, concurrent with the execution of this Amendment #2 Hewlett Packard IPS agrees to a joint press release with Virata announcing IPS' licensing of Virata's UPnP solution.

9. The following terms and conditions supplement the terms and conditions of the Agreement with respect to the EmWeb UPnP Software.

9.1 For the purposes of this Rider, the term "EmWeb UPnP Software" shall mean the Virata software listed above. Except as modified by the terms of this Rider, the terms and conditions in the ELDA will govern this Rider.

9.2 **UPnP Forum.** Licensee acknowledges and understands that the UPnP Forum is the owner of the UPnP logo, trademark and the UPnP certification program. UPnP Forum members own the intellectual property of the Forum and cross license the intellectual property to each other through UPnP Forum membership. Being a UPnP Forum member gives Licensee a license to use any UPnP intellectual property of the Forum. Licensee acknowledges that EmWeb UPnP may embody features and functions which constitute intellectual property of the UPnP Forum.

9.3 **Indemnification.** If Licensee is not a member of the UPnP Forum, Licensee acknowledges and understands that Virata does not warrant that it has all rights necessary to grant to Licensee the use of the EmWeb UPnP Software for its intended purpose. Virata expressly disclaims and is not liable for any third party claims that allege the EmWeb UPnP Software infringes any third party intellectual property rights.

If Licensee is not a member of the UPnP Forum, Licensee shall at its own expense defend and hold Virata harmless against any suit, claim, or proceeding brought against Virata alleging that Licensee's Designated Equipment and/or use, replication or sublicensing of the EmWeb UPnP Software infringes any patent, copyright, trademark, or any trade secrets of any third parties. Virata shall:

(i) provide Licensee prompt notice in writing of any such suit, claim or proceeding, (ii) allow Licensee, at its own expense, to direct the defense of such suit, claim or proceeding, (iii) provide information and assistance necessary to defend such suit, claim or proceeding.

10. Licensee and Virata each agree that except as required by law and SEC reporting regulations not to disclose the terms of this agreement without the prior written consent of the other party.

## AMENDMENT #3 TO EMWEB LICENSE AND DISTRIBUTION AGREEMENT

Virata Inc. ("Virata")	Hewlett Packard ("Licensee")
By: <i>[Signature]</i>	By: <i>[Signature]</i>
Name: <i>Michael Otter</i>	Name: <i>Donald J. Cragle</i>
Title: <i>General Counsel</i>	Title: <i>DIRECTOR R+D / IPG-CNC</i>
Date: <i>8-27-03</i>	Date: <i>8-21-03</i>
Principal Place of Business: 2700 San Tomas Expressway Santa Clara, California 95051	Principal Place of Business: 8000 Foothills Blvd Roseville, California 95747

## PREAMBLE

This Amendment (the "Amendment") is made and entered into the latter of the two signature dates above (the "Amendment Date") by and between Virata Inc. ("Virata"), and Hewlett Packard ("Licensee"), each individually a "Party" and collectively the "Parties".

Whereas, effective 30 July 1998, the Parties entered into an EmWeb License and Distribution Agreement, which was amended by Amendment #1 effective 19 July 2001 and Amendment #2 effective 28 November 2001 (as amended, the "Agreement");

WHEREAS, effective December 14, 2001, Virata was merged with a subsidiary of GlobespanVirata, Inc. and is now a wholly-owned subsidiary of GlobespanVirata;

Whereas, the Parties now wish to further amend the Agreement as set forth below; and

Whereas, the Parties now wish for all other terms and conditions of the Agreement to remain in full force and effect;

Now, therefore, the Parties hereto agree as follows.

## TERMS AND CONDITIONS

## 1. General.

Capitalized terms in this Amendment #3 shall have the same meaning as those in the Agreement unless specifically defined in this Amendment. All Article, Schedule and Section references are in regard to the Agreement. References to the "Agreement" in the Agreement shall be deemed to include this Amendment.

Except as expressly modified herein, the Agreement, Amendment #1 and Amendment #2 shall remain in full force and effect in accordance with its terms. To the extent that there are any inconsistencies or ambiguities between this Amendment #3 and the Agreement, the terms of this Amendment #3 shall supersede the Agreement.

## 2. The following is added as Licensed Platform 4 in Exhibit C:

Licensed Platform  
(as defined in Exhibit C)

Projectors and platforms which represent such devices to the network.

## 3. Notwithstanding anything in the Agreement to the contrary, the parties understand and agree that neither Virata nor GlobespanVirata shall have any further obligations to provide product support or maintenance of any nature (including, without limitation, providing any error corrections or updates) relating to the EmWeb Product.

## **EMWEB LICENSE AND DISTRIBUTION AGREEMENT**

**AGREEMENT** made as of this 6<sup>th</sup> day of , January, 1999 between Agranat Systems, Inc., a Massachusetts corporation having an office at 5 Clock Tower Place, Suite 400, Maynard, Massachusetts 01754 ("Agranat") and Hewlett Packard Company, Information Appliance Operation (IAO), 11311 Chinden Blvd., Boise, ID 83714 ("Licensee").

### **1. The Product**

Agranat is the owner of a software product for creating embedded systems (the "EMWEB Product") that Agranat is willing to license to Licensee for use as set forth in Section 2, below. The EMWEB Product components are set forth in Exhibit A, and include a compiler in object code form (the "Compiler Code") and server components in source code form (the "Server Code").

### **2. Grant of License**

**2.1 For Compiler Code.** Subject to the terms and conditions of this Agreement, and payment by Licensee of the fees set forth in Exhibit B, attached hereto, Agranat hereby grants to Licensee a non-exclusive license for Licensee to use the Compiler Code at, and only at, Licensee's Boise facility for the limited purpose of compiling Licensee's hypertext and other documents for integration with Server Code and various Licensee components in order to create Licensee Products as set forth in Section 2.2. The initial number of Licensee users authorized to use the Compiler Code is shown in Exhibit B as "floating seats" (as that number may subsequently be amended by a writing between the parties). Licensee is advised that the Compiler Code may contain a security device that physically limits the number of users at any one time. Licensee shall have no right to distribute the Compiler Code to any other entity, in any form whatsoever, including in object code or other executable form. Licensee may make back-up copies of the Compiler Code for archival purposes. The original and any back-up copies of the Compiler Code that Licensee makes shall be and remain the property of Agranat.

**2.2 For Server Code.** Subject to the terms and conditions of this Agreement, and payment by Licensee of the fees also set forth in Exhibit B, attached hereto, Agranat hereby grants to Licensee a non-exclusive, license for Licensee to reproduce, distribute, promote, modify and market the Server Code to Licensee's distributors, package developers, value-added remarketers, and end users (collectively, "End Users") in object code form only, and only integrated with Licensee's products set forth in Exhibit C ("Licensee Products"). Any distribution of Licensee Products to third parties shall be pursuant to a license agreement that includes at a minimum those terms shown in Exhibit D, and to which terms Licensee's End Users are bound vis-a-vis their customers and themselves in distributing and using Licensee Products. The Server Code license granted hereunder does not permit distribution or other transfer of the EMWEB Product in standalone form.

### **3. Product Support**

During the 180-day warranty period set forth in Section 6, Agranat will provide Licensee with a reasonable amount of hotline support to Licensee (but not Licensee's customers) during Agranat's normal business hours. During this time, Agranat will also provide Licensee with any error corrections and updates that Agranat makes to the EMWEB Product generally.

Agranat shall have no obligation to provide Product support for any code that has been modified by anyone except Agranat. This provision, however, does not apply to any copies of the code that have remained unmodified.

If on-site support is requested by Licensee for assistance with integrating the EMWEB Product into Licensee's hardware or software, or if Licensee requests on-site training, such services shall be billed in accordance with Agranat's professional fee schedule then in effect. Any other EMWEB Product support or any training or other materials or services not described in Exhibits A or E or this Section 3 shall be arranged by separate agreement. In particular, from time to time, and in addition to Agranat's regular Product support updates and enhancements, Agranat may offer various separately priced, optional modules ("Modules"). Such Modules are not required in order for the EMWEB Product to operate properly, but do offer Licensee the option of purchasing additional features and capabilities. The Modules, whether licensed at the same time as the underlying EMWEB Product or later on, shall be considered part of the EMWEB Server Code and shall be governed by the terms and conditions of this Agreement as modified by Exhibit G, and recognizing that the number of Licensee Products authorized by Exhibit G may be less than the number authorized by Exhibit C.

Agranat agrees to render Product support for the EMWEB Product to Licensee as set forth in Exhibit E. Upon request by Licensee and Licensee's payment of applicable escrow fees, and while Licensee is continuing to subscribe to Agranat's Product Support Plan, Agranat agrees to place the EMWEB Compiler source code into escrow for Licensee's benefit in accordance with an Escrow Agreement to be appended hereto as Exhibit F, such Agreement to provide for release of the EMWEB Compiler source code to Licensee if Agranat (or its designee) fails to provide Licensee with the agreed-upon Compiler support services.

#### **4. Consideration and Payments**

For the right to use both the Compiler Code and the Server Code as authorized by this Agreement, Licensee shall pay Agranat the fee or fees shown in Exhibit B. All amounts shall be paid in U.S. dollars.

If Licensee wishes to transfer the Server Code to any third party, such transfer shall require the prior written consent of Agranat, except for assignments permitted under Section 9 below, and payment of a fee to be negotiated. Except for assignments permitted under Section 9 below, in no event may Licensee transfer the Compiler Code to anyone.

#### **5. Ownership and Proprietary Rights**

All right, title, and interest in and to the EMWEB Product shall remain with Agranat, and Licensee shall neither have nor obtain any right to use, copy, or disclose the EMWEB Product except as expressly provided for in this Agreement. Licensee further agrees to preserve and respect any notice of copyright, trademark, or other proprietary right of Agranat included with the EMWEB Product.

No derivative work that Licensee may make from the Server Code shall give Licensee any ownership rights in Agranat's underlying Server Code, and Licensee shall have no right to register any such derivative work and further, this Agreement conveys no right to use or register any trademark or trade name of the EMWEB Product or of Agranat.

## **6. Representations and Warranties of Agranat**

### **a. Indemnity**

Agranat represents that it has full power and right to license the EMWEB Product to Licensee. Agranat agrees to indemnify, defend and hold harmless Licensee against any claims, loss, damage, or liability asserted against Licensee or incurred by Licensee, arising out of any claim that the EMWEB Product infringes a copyright, trade secret or valid US patent. Licensee agrees to notify Agranat promptly of any such claim or action and Agranat shall control the defense or settlement. Agranat agrees to keep Licensee informed of the status of such defense and/or settlement. In addition, Licensee shall have the right to be represented in such action, at Licensee's own expense, by counsel of Licensee's own choosing. Agranat shall pay any and all claims, judgments, court costs and attorney's fees awarded against Licensee; however, Agranat has no liability for costs incurred or settlements made without its consent.

Should any EMWEB Product become, or in Agranat's opinion be likely to become, the subject of a claim of infringement or trade secret misappropriation, Agranat may, at its option and expense, obtain for Licensee the right to continue using the EMWEB Product, or replace or modify it so that its use becomes non-infringing or otherwise lawful. If neither remedy is reasonably available to Agranat on a commercially reasonable basis, then Agranat shall accept the return of the infringing EMWEB Product for a refund of the license fee, pro-rated over a three-year straight line basis, beginning eighteen (18) months from the effective date of this Agreement, as Licensee's sole remedy for such loss of use. However, Licensee shall not be required to return any EMWEB Product embedded in the Licensee Product(s) that has (have) been shipped to End Users.

### **b. Warranty**

For one hundred and eighty (180) days after delivery of the Product at Licensee's site, Agranat warrants that the EMWEB Product will perform substantially in accordance with the EMWEB Product documentation supplied to Licensee. If the EMWEB Product fails to perform as warranted, Licensee shall provide written notice to Agranat, and Agranat will make reasonable efforts to remedy the problem.

Agranat does not warrant that the functions contained in the EMWEB Product will meet any Licensee requirements other than those set forth in the Product documentation earlier provided to Licensee, or that the operation of the EMWEB Product will be error free. Licensee agrees that its sole and exclusive remedy hereunder will be limited to Agranat's efforts to repair stated above, or to refund of Licensee's license fees for the EMWEB Product if Agranat is unable to remedy the problem.

Agranat warrants that the Product delivered to Licensee will not by itself fail to produce accurate results because of any problems emanating from dates falling after December 31, 1999. This warranty does not pertain to any damage caused by software or hardware supplied by anyone except Licensor, or by any combination of the Product with such software or hardware, or by misuse of the Product.

Agranat warrants that it has implemented measures so that Licensee's use of the Product is secure and third parties are not informed, by tracing measures of any kind, of Licensee's use of the Product.

Licensee understands that any failure to use the EMWEB Product as set forth in the EMWEB Product documentation will void Agranat's warranty of performance pursuant to this Section 6.

c. General

THE FOREGOING WARRANTY IS IN LIEU OF ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. THE FOREGOING FURTHER STATES THE ENTIRE LIABILITY OF AGRANAT FOR PATENT, COPYRIGHT, OR TRADE SECRET INFRINGEMENT OR FOR ANY BREACH OF WARRANTY FOR NONINFRINGEMENT, EXPRESS OR IMPLIED.

Licensee may have certain statutory rights, as a consumer or otherwise, to which the exclusions set forth in Section 6 of this License Agreement do not apply.

Any unauthorized modification of the EMWEB Product by any persons other than Agranat will void the indemnification and the warranty set forth in the first and third paragraphs of this Section 6. This provision, however, does not apply to any copies of the Server Code that have remained unmodified. Agranat is not liable for any damage caused by running the EMWEB Product on other than the platforms listed in Exhibit C.

Except as provided in Section 6.a., and 6.b., in no event will Agranat be liable to Licensee or anyone claiming through Licensee for any damages in excess of the license fees received by Agranat from Licensee.

IN NO EVENT SHALL EITHER PARTY BE LIABLE TO THE OTHER FOR ANY INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES INCURRED OR CLAIMED BY EITHER PARTY OR BY A THIRD PARTY AGAINST THE CLAIMING PARTY ARISING OUT OF, OR IN CONNECTION WITH, THIS AGREEMENT. SUCH DAMAGES, WHICH EACH PARTY HEREBY DISCLAIMS, MAY INCLUDE, WITHOUT LIMITATION, LOSS OF DATA, LOSS OF PROFITS, LOSS OF GOODWILL, WORK STOPPAGE, COMPUTER FAILURE OR MALFUNCTION, OR NETWORK FAILURE OR MALFUNCTION, EVEN IF THE CLAIMING PARTY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

## **7. Licensee's Representations and Warranties**

Licensee warrants that it will not export or re-export the EMWEB Product in violation of any export control laws of the United States or of any other country, and agrees to indemnify Agranat for any breach of this warranty.

Licensee agrees not to delete any notice of copyright included with the EMWEB Product materials. Licensee further agrees that it will not copy the software except as permitted in Section 2, and that it will not disassemble or decompile the Compiler Code or any portion thereof, including for reasons of error correction. Licensee also agrees not to reproduce, deactivate, or bypass any security device supplied with the EMWEB Product.

## **8. Term and Termination**

This Agreement shall be effective until terminated by mutual consent, or by election of either Agranat or Licensee in case of the other party's unremedied material breach, but only if the breaching party has first been given thirty (30) days to remedy the problem. In addition, Licensee shall have the right to terminate this Agreement at any time for any reason on ninety (90) days' notice, but refunds shall not be given if Licensee elects to terminate. In case of any termination of this Agreement, Licensee will immediately return the EMWEB Product to Agranat and will certify in writing that all EMWEB Product components have been returned or erased from the memory of Licensee's computer or made non-readable. Licensee shall have no further rights of distribution; however, termination of the license shall not affect any existing agreements between Licensee and its End Users that were entered into in conformance with this Agreement.

Sections 5, 6, 7, 8 and 9 shall survive any termination of this Agreement.

## **9. General Terms and Conditions**

This Agreement supersedes all prior agreements and understandings, including oral representations, between the parties relating to Licensee's license for the EMWEB Product, is intended by the parties as the complete and exclusive statement of the terms of this Agreement, and may be modified only in writing by the parties. A purchase order issued by Licensee with new or different typed or handwritten terms shall not be binding on Agranat unless Agranat shall have specifically agreed to such terms. In no event shall the pre-printed terms on any such purchase order be of any force or effect.

If any part of this Agreement is held by a court of competent jurisdiction to be invalid or unenforceable, that part will be enforced to the maximum extent permitted by law, and the remainder of this Agreement will remain fully in force.



The remedies herein reserved shall be cumulative and additional to any other remedies in law or equity. Waiver of breach of any provision of this Agreement shall not be deemed a waiver of that provision of or any other provision. This Agreement shall be governed by the laws of the Commonwealth of Massachusetts and shall inure to the benefit of the parties, their successors, administrators, heirs, and assigns. For purposes of this Agreement, Licensee's End Users shall include any person or entity to whom Licensee has distributed its products using the Server Code. Except as provided below, neither this Agreement nor any rights hereunder, in whole or in part, shall be assignable or otherwise transferable by Licensee, except that Licensee's rights hereunder may be assigned to any majority owned subsidiary, indirect or direct, of Licensee or to any entity to which all or substantially all of Licensee's assets have been transferred. In case of such assignment, Licensee shall notify Agranat within a reasonable time thereafter.

**EXECUTED** as of the date first set forth above.

**AGREED AND ACCEPTED**

**AGRANAT SYSTEMS, INC.**

By: 

Name: Barry S. Carson

Title: VP Business

**AGREED AND ACCEPTED**

**HEWLETT PACKARD -IAO**

By: 

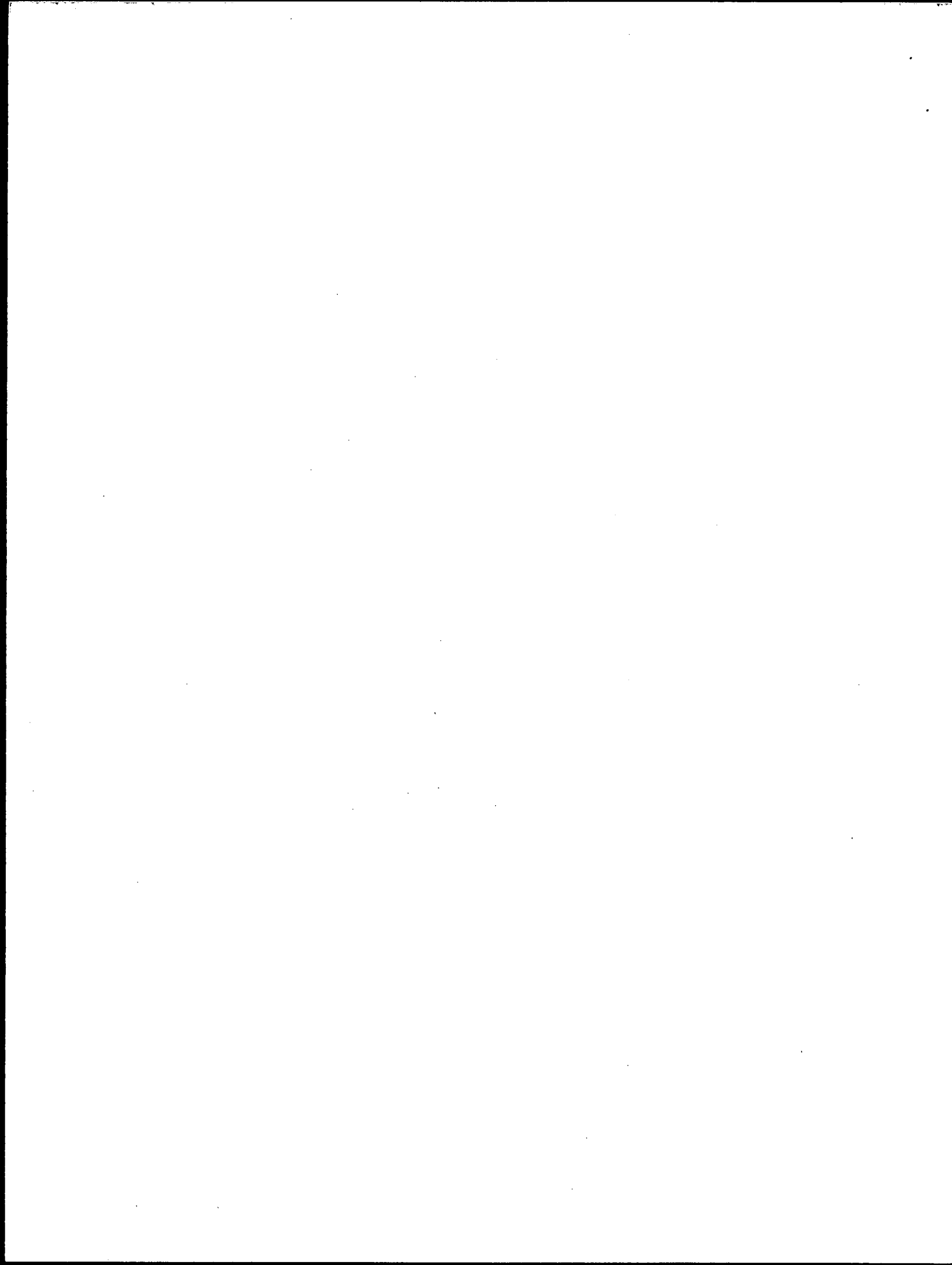
Name: Brad Hobbs

Title: R&D Section Manager

## **Exhibit A**

### **The EMWEB Product Components:**

- o Compiler object code
- o Server components in source code form
- o Documentation



## **Exhibit B**

### **EmWeb License Fees**

#### **EmWeb Platform License:**

License Fee for the right to create and distribute Licensee Products using EMWEB Compiler Code and Server Code for each of Licensee's Product Platforms as described in Exhibit C:

\$35,000\*

\*This price is valid through December 31, 1998. Thereafter the price is \$40,000.

The License Fee includes one (1) floating seat for the EmWeb Compiler tool. Additional seats are available at \$2,500 each. One additional seat will be provided free of charge if an EmWeb platform license is purchased prior to December 31, 1998.

EmWeb Mail is an additional \$5,000 when purchased with an EmWeb platform license.

The Platform License Fee is due upon execution of this Agreement.

Additional Platform License Fees are subject to Agranat's standard prices.

## **Exhibit C**

### **Description of Licensee Product(s) Incorporating the EMWEB Product**

**For purposes of this Agreement, Product Platforms are defined as follows:**

**Product Platform Definition:** For purposes of this Agreement, the term "platform" is defined as a product, such as a device or family of devices or equipment, that is (are) operated and controlled by an underlying technology comprised of the Licensee's printing and scanning firmware and hardware, (whether developed by Licensee or a third party) and one or more proprietary applications. Slight variations in the product, such as minor changes to the product hardware for reasons of cost reduction (e.g. use of one microprocessor in place of another), performance improvements, feature and functionality enhancements or cosmetic changes for the purpose of packaging or firmware modifications for enhancing printing and scanning or defect fixes, will not be considered new platforms. Any other product changes, however, including but not limited to those that require new firmware functionality changes to the proprietary application(s), or the like, shall be considered a new platform.

#### **Licensed Platforms:**

1 The "Nonna" Communicating Appliance Platform. This platform provides communications services via various protocols including, but not limited to JetSend and Fax, to a variety of local devices, including but not limited to printers, scanners, and cameras. The platform may also provide functionality to drive such devices. The device may also provide such services to devices attached via the local network.

## **Exhibit D**

### **Provisions to be included in Licensee's Agreements with Its End Users**

1. End User acknowledges Licensee's proprietary rights (which by extension includes Agranat, although this is invisible to the End User).
2. The EMWEB Product provided pursuant to this Agreement has been developed at purely private expense. Therefore, if Licensee as an End User is an agency of the United States Government, the following shall pertain:
  - o For units of the U.S. Department of Defense. The EMWEB Product is commercial computer software as defined in 48 C.F.R. 211, and as such is provided to the Department of Defense under the terms of this License Agreement, which is Agranat's standard commercial agreement for the EMWEB Product. In the alternative, if 48 C.F.R. 211 is not invoked, the EMWEB Product is licensed as set forth in the next sentence. Restricted Rights Legend: use, duplication or disclosure by the United States Government is subject to restrictions as set forth in the Rights in Technical Data and Computer Software Clause at 48 C.F.R. 52.227-7013 (c)(1)(ii).
  - o For U.S. civilian agencies: Restricted Rights Legend. Use, reproduction or disclosure is subject to restrictions set forth in the Commercial Computer Software - Restricted Rights clause at 48 C.F.R. 52.227-19 (a) through (d), and the terms and conditions set forth in Agranat's standard commercial agreement for the EMWEB Product. Unpublished rights are reserved under the copyright laws of the United States.
3. Agranat has granted to Licensee certain warranties of performance, which warranties [or portion thereof] Licensee now extends to End User. IN NO EVENT, HOWEVER, SHALL AGRANAT BE LIABLE TO END USER FOR ANY INDIRECT, SPECIAL, OR CONSEQUENTIAL DAMAGES OF END USER OR A THIRD PARTY AGAINST END USER ARISING OUT OF, OR IN CONNECTION WITH, THIS DISTRIBUTION OF THE EMWEB PRODUCTS TO END USER.
4. End User may have certain statutory rights, as a consumer or otherwise, to which the exclusions set forth in this End User License Agreement do not apply.
5. In case of any termination of the Software License between Licensee and End User, End User shall immediately return the EMWEB Product and any back-up copy to Licensee, and will certify to Licensee in writing that all EMWEB Product components and any copies of the software have been returned or erased by the memory of End User's computer or made non-readable.
6. End User must comply with all technology control export laws worldwide.

## **Exhibit E**

### **Product Support Plan**

**Product Support:** Agranat will provide the following:

- o Reasonable assistance from Agranat's Product Support Hotline to provide answers to technical questions about the Product. Such assistance includes reasonable efforts to diagnose, verify and correct errors and defects in the Product, but this Plan does not cover professional service assistance regarding the use of specific methods or techniques. The business hours for the Hotline are Monday through Friday, 9:00 AM to 5:00 PM Eastern Time, excluding major holidays.
- o Technical corrections for any software errors that cause the software to operate other than substantially in accordance with the Product documentation during the period of this Product Support Plan.
- o All upgrades and enhancements which the Company generally releases while this Support Plan is in effect.

### **Support Fee**

The current annual cost for the Product Support Plan is: \$7,500

This service will be provided to a single designated contact at Licensee's facility, through whom all support-related questions and information will be communicated. Licensee may designate an alternate contact if the primary contact is unavailable from time to time. Replacement of the designated contact may be done without any additional charge. Additional permanent contacts may be designated for a fee of \$5,000 each.

Support fees will be invoiced at the beginning of the Product Support year and are payable within thirty (30) days. Agranat reserves the right to withhold support services under this Plan if payments are not made at the time they are due.

### **Term**

Provided that Licensee has paid the Support Fee specified above, the services furnished hereunder shall begin at the expiration of the warranty period shown in the EMWEB License and Distribution Agreement, and shall continue for one year. This Product Support Plan may be renewed for successive one-year terms at Agranat's then-current annual Support rate. Agranat will invoice Licensee at least 60 days before the commencement of each new effective support period.

### **Other Terms**

This Product Support Plan is expressly subject to the EMWEB License and Distribution Agreement,

## **Exhibit E**

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**Product Support:** Agranat will provide the following:

- o Reasonable assistance from Agranat's Product Support Hotline to provide answers to technical questions about the Product. Such assistance includes reasonable efforts to diagnose, verify and correct errors and defects in the Product, but this Plan does not cover professional service assistance regarding the use of specific methods or techniques. The business hours for the Hotline are Monday through Friday, 9:00 AM to 5:00 PM Eastern Time, excluding major holidays.
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### **Other Terms**

This Product Support Plan is expressly subject to the EMWEB License and Distribution Agreement,



 **COPY**

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16 *Attorneys for Plaintiff,*  
17 *Agranat IP Licensing LLC*

FILED  
2012 JUL 20 PM 12:12  
CLERK U.S. DISTRICT COURT  
CENTRAL DIST. OF CALIF.  
SANTA ANA

**By Fax**

13 **UNITED STATES DISTRICT COURT**  
14 **CENTRAL DISTRICT OF CALIFORNIA**

**SACV12 - 01186 JST (RNBx)**

Case No.

16 Agranat IP Licensing LLC,

17 Plaintiff,

18 v.

19 Hewlett-Packard Company,

20 Defendant.

**COMPLAINT FOR PATENT  
INFRINGEMENT, PERMANENT  
INJUNCTION AND DAMAGES**

**DEMAND FOR JURY TRIAL**

21  
22 For its Complaint against Hewlett Packard Company ("HP"), Plaintiff Agranat IP  
23 Licensing LLC ("Plaintiff" or "Agranat") alleges as follows:

24 **THE PARTIES**

25 1. Plaintiff is a limited liability company duly organized and existing under the  
26 laws of California with its principal place of business at 30021 Tomas Street, Suite 300,  
27 Rancho Santa Margarita, California, 92688.  
28

**COMPLAINT**

1           2.       Defendant HP is a corporation duly organized and existing under the laws of  
2 Delaware, with its principal place of business at 3000 Hanover Street, Palo Alto, CA  
3 94304-1185.

4                               **JURISDICTION AND VENUE**

5           3.       This is a civil action for patent infringement arising under the Patent Act of  
6 the United States, 35 U.S.C. §§ 1 et seq. This court has subject matter jurisdiction of such  
7 federal question claims pursuant to 28 U.S.C. §§ 1331 and 1338(a).

8           4.       Venue is proper under 28 U.S.C. §§ 1391(b), 1391(c) and 1400(b) in that the  
9 acts and transactions complained of herein were conceived, carried out, made effective, and  
10 had effect within the State of California and within this district, among other places. HP  
11 resides in this judicial district by virtue of its business location and business activities in  
12 this district, and has committed acts of infringement in this judicial district.

13                               **U.S. PATENT NO. 6,456,308**

14           5.       On September 24, 2002, the United States Patent & Trademark Office duly  
15 and legally issued United States Patent No. 6,456,308 ("the '308 Patent"), entitled  
16 "Embedded Web Server." Agranat is the owner of all rights, title, and interest in the '308  
17 Patent. A true and correct copy of the '308 Patent is attached as Exhibit A and  
18 incorporated herein by reference.

19           6.       The '308 Patent is a continuation of U.S. Patent Application No. 09/322,382,  
20 filed May 28, 1999, which, in turn, is a continuation of U.S. Patent Application No.  
21 08/907,770, filed Aug. 8, 1997 that was issued on October 26, 1999 as U.S. Patent No.  
22 5,973,696. The '308 also claims priority under 35 U.S.C. Section 119(e) to U.S. Patent  
23 Provisional Application Nos. 60/023,373, filed August 8, 1996, and 60/108,321, filed  
24 November 13, 1998.

25           7.       The '308 patent claims, among other things, methods and apparatuses for  
26 providing and/or developing a web page which has a segment of code that, when executed,  
27 causes a web server to provide real-time dynamic data. In certain of the claimed  
28 embodiments, the systems comprise a data structure for use in a computer system,

1 including a client and a server in communication with each other, that has portions  
2 containing executable code, written in a language other than HTML, where the executable  
3 code runs and generates data for the server to serve to the client when the HTML file is  
4 served, thereby providing real time dynamic data.

5 **FIRST CLAIM FOR RELIEF AGAINST DEFENDANT HP FOR DIRECT**  
6 **INFRINGEMENT, INDUCING INFRINGEMENT AND CONTRIBUTORY**  
7 **INFRINGEMENT OF U.S. PATENT NO. 6,456,308**

8 8. Plaintiff incorporates herein by reference the allegations set forth in  
9 paragraphs 1-7 of the Complaint as though fully set forth herein.

10 9. Defendant HP imports, makes, uses, sells, and/or offers for sale products that  
11 are network accessible and configurable, including printers, blades, servers, storage  
12 devices, wireless access points, and networking equipment, such as routers and switches,  
13 with an embedded web server application (collectively, the "HP Products"). Examples of  
14 such products include, but are not limited to, the following printers: HP Deskjet 3050, HP  
15 Photosmart 55xx, HP Photosmart 6510, HP Photosmart 7510, HP Photosmart C510a, HP  
16 Officejet Pro 8600, HP Officejet Pro 8100, HP Officejet Pro 6100, HP Officejet 6500, HP  
17 Officejet 6600, HP Officejet 6700, HP Officejet 7500A, HP Envy 114, HP Envy 110, HP  
18 LasetJet Pro P1102w, HP LasetJet Pro M1212nf, HP LaserJet Pro M1217nfw, HP LaserJet  
19 Pro M1536dnf, HP LasetJet Pro P1606dn, HP LaserJet Pro CP1525nw, HP LaserJet Pro  
20 MFP M175nw, HP LaserJet Pro CP1025nw, HP LaserJet Pro M275 Printer, HP LaserJet  
21 Pro 400 Color Printer M451nw, HP LaserJet Pro 400 Color Printer M451dn, HP LaserJet  
22 Pro CM1415fnw Color MFP, HP LaserJet Pro 400 Color Printer M451dw, HP LaserJet Pro  
23 300 Color MFP M375nw, HP LaserJet Pro 400 Color MFP M475dn, HP LaserJet  
24 Enterprise 500 Color M551n, HP LaserJet Enterprise 600 M601n Printer, HP LaserJet Pro  
25 400 Color MFP M475dw, HP LaserJet Enterprise 500 Color M551dn, HP LaserJet  
26 Enterprise 600 M602n Printer, HP LaserJet Enterprise 600 M601dn Printer, HP LaserJet  
27 Enterprise 600 M602dn Printer, HP LaserJet Enterprise 500 Color M551xh, HP Color  
28 LaserJet CP5525n Printer, HP Color LaserJet CP5525dn Printer, HP Designjet T790 24-

1 inch ePrinter, HP Designjet T790 44-inch ePrinter, or any other printer with ePrint  
2 capability or is otherwise network accessible.

3 10. Each HP Product stores and executes an embedded web server application.  
4 When a user's client device connects, via an IP network address, to a HP Product, the HP  
5 Product executes the embedded web server application and serves an embedded web server  
6 page, comprising HTML code, to the connecting client device. The embedded web server  
7 page includes executable code that causes the embedded web server application to generate  
8 data and to serve that generated data to the client, thereby providing real-time dynamic data  
9 associated with the application.

10 11. By importing, making, using, selling, and offering for sale the HP Products,  
11 each with an embedded web server application, HP has directly infringed, and continues to  
12 directly infringe, the '308 Patent, including infringement under 35 U.S.C. § 271(a) and (f).

13 12. On information and belief, HP has also indirectly infringed, and continues to  
14 indirectly infringe, the '308 Patent by actively inducing direct infringement by other  
15 persons, such as HP's customers and end users, who operate methods and systems that  
16 embody or otherwise practice one or more of the claims of the '308 Patent, when HP had  
17 knowledge of the '308 Patent and knew or should have known that its actions would induce  
18 direct infringement by others and intended that its actions would induce direct infringement  
19 by others.

20 13. On information and belief, HP has also indirectly infringed, and continues to  
21 indirectly infringe, the '308 Patent by contributory infringement by providing non-staple  
22 articles of commerce to others, such as HP's customers and end users, for use in an  
23 infringing system or method with knowledge of the '308 Patent and knowledge that these  
24 non-staple articles of commerce are used as a material part of the claimed invention of the  
25 '308 Patent, and have no substantial non-infringing use.

26 14. On information and belief, HP will continue to infringe the '308 Patent unless  
27 enjoined by this Court.

15. On information and belief, HP's infringement of the '308 Patent is, has been, and continues to be willful and deliberate.

16. As a direct and proximate result of HP's infringement of the '308 Patent, Agranat has been and continues to be damaged in an amount yet to be determined.

17. Unless a preliminary and permanent injunction are issued enjoining HP and its officers, agents, servants and employees, and all others acting on their behalf or in concert with HP, from infringing the '308 Patent, Agranat will be greatly and irreparably harmed.

## **PRAYER FOR RELIEF**

WHEREFORE, Plaintiff Agranat prays for judgment against Defendant HP as follows:

(1) For a judicial determination and declaration that Defendant HP has directly infringed, and continues to directly infringe, the '308 Patent;

(2) For a judicial determination and declaration that Defendant HP has induced, and continues to induce, the infringement of the '308 Patent;

(3) For a judicial determination and declaration that Defendant HP has contributorily infringed, and continues to contributorily infringe, the '308 Patent;

(4) For a judicial determination and decree that Defendant HP's infringement of the '308 Patent has been, and continues to be, willful and deliberate;

(5) For a judicial determination and decree that Defendant HP, its respective subsidiaries, officers, agents, servants, employees, licensees, and all other persons or entities acting or attempting to act in active concert or participation with it or acting on its behalf, be preliminarily and permanently enjoined from further infringement of the '308 Patent;

(6) For a declaration that HP notify all of its customers and users of the infringing system and customers' participation in the infringement with HP's encouragement, and that HP encourage customers to cease all such infringing actions;



1 (7) For a judicial decree that orders Defendant HP to account for and pay to  
2 Agranat all damages caused to Agranat by reason of Defendant HP's infringement pursuant  
3 to 35 U.S.C. Section 284, together with pre-judgment and post-judgment interest;

4 (8) For an award of damages according to proof at trial;

5 (9) For a judicial declaration that this case is exceptional under 35 U.S.C. Section  
6 285 and Defendant HP be ordered to pay Agranat's costs, expenses, and reasonable  
7 attorney's fees pursuant to 35 U.S.C. Sections 285, or as otherwise permitted by law; and

8 (10) For such other relief as justice requires.  
9

10 Dated: July 20, 2012  
11

12  
13 By: 

John E. Lord  
Attorneys for Plaintiff,  
Agranat IP Licensing LLC  
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**DEMAND FOR JURY TRIAL**

Plaintiff hereby demands a jury trial pursuant to Rule 38 of the Federal Rules of Civil Procedure as to all issues in this lawsuit.

Dated: July 20, 2012

By: \_\_\_\_\_



John E. Lord  
Attorneys for Plaintiff,  
Agranat IP Licensing LLC



# **Exhibit A**

(12) **United States Patent**  
**Agranat et al.**

(10) Patent No.: **US 6,456,308 B1**  
(45) Date of Patent: **Sep. 24, 2002**

(54) **EMBEDDED WEB SERVER**

(75) Inventors: **Ian D. Agranat**, Weston; **Kenneth A. Giusti**, Upton; **Scott D. Lawrence**, Concord, all of MA (US)

(73) Assignee: **Agranat Systems, Inc.**, Maynard, MA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **09/715,749**

(22) Filed: **Nov. 17, 2000**

**Related U.S. Application Data**

(63) Continuation of application No. 09/322,382, filed on May 28, 1999, now abandoned, which is a continuation of application No. 08/907,770, filed on Aug. 8, 1997, now Pat. No. 5,973,696.

(60) Provisional application No. 60/108,321, filed on Nov. 13, 1998, and provisional application No. 60/023,373, filed on Aug. 8, 1996.

(51) Int. Cl.<sup>7</sup> ..... **G06F 3/00; G06F 15/16**

(52) U.S. Cl. .... **345/854; 709/201; 709/203; 707/501.1; 707/513**

(58) Field of Search ..... **345/854, 764; 395/500; 707/501.1, 513, 514, 515, 901; 709/201, 203, 501, 513**

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(List continued on next page.)

Primary Examiner—Raymond J. Bayerl

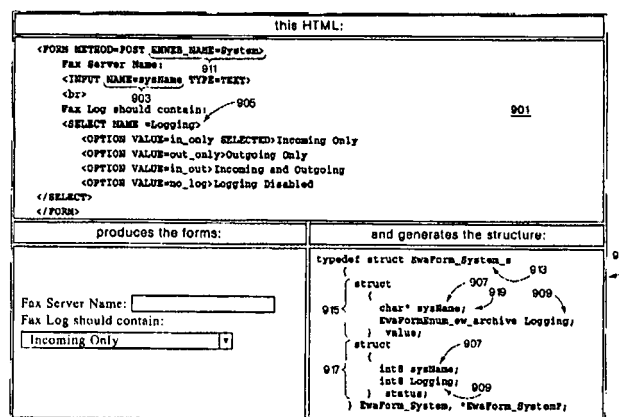
Assistant Examiner—Cuong T. Thai

(74) Attorney, Agent, or Firm—Wolf, Greenfield & Sacks, P.C.

(57) **ABSTRACT**

An embedded graphical user interface employs a World-Wide-Web communications and display paradigm. The development environment includes an HTML compiler which recognizes and processes a number of unique extensions to HTML. The HTML compiler produces an output which is in the source code language of an application to which the graphical user interface applies. A corresponding run-time environment includes a server which serves the compiled HTML documents to a browser.

**13 Claims, 16 Drawing Sheets**



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Networks and ISDN Systems, vol. 28, No. 11, May 1996, pp. 1291-1306.

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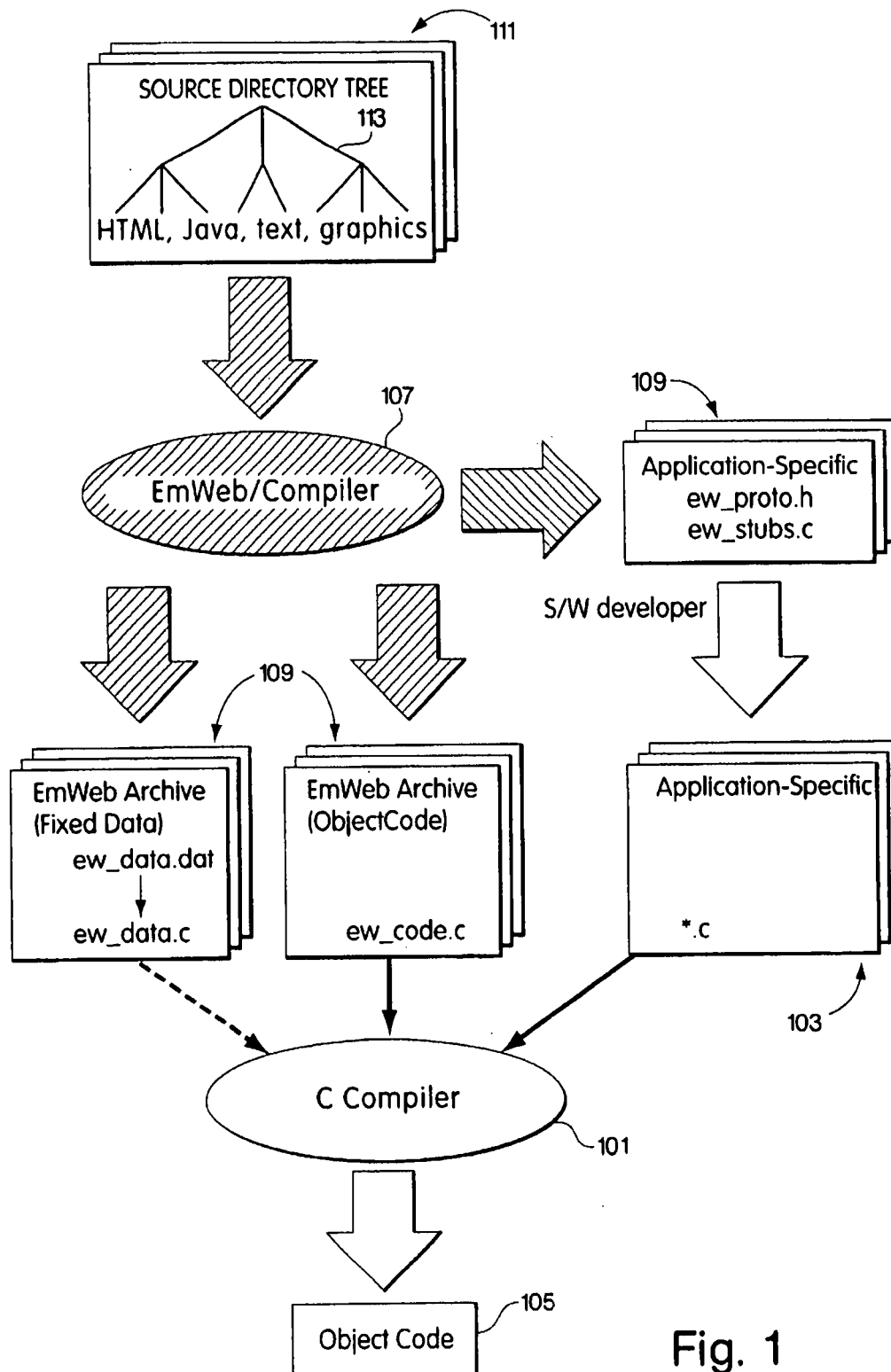
\* cited by examiner

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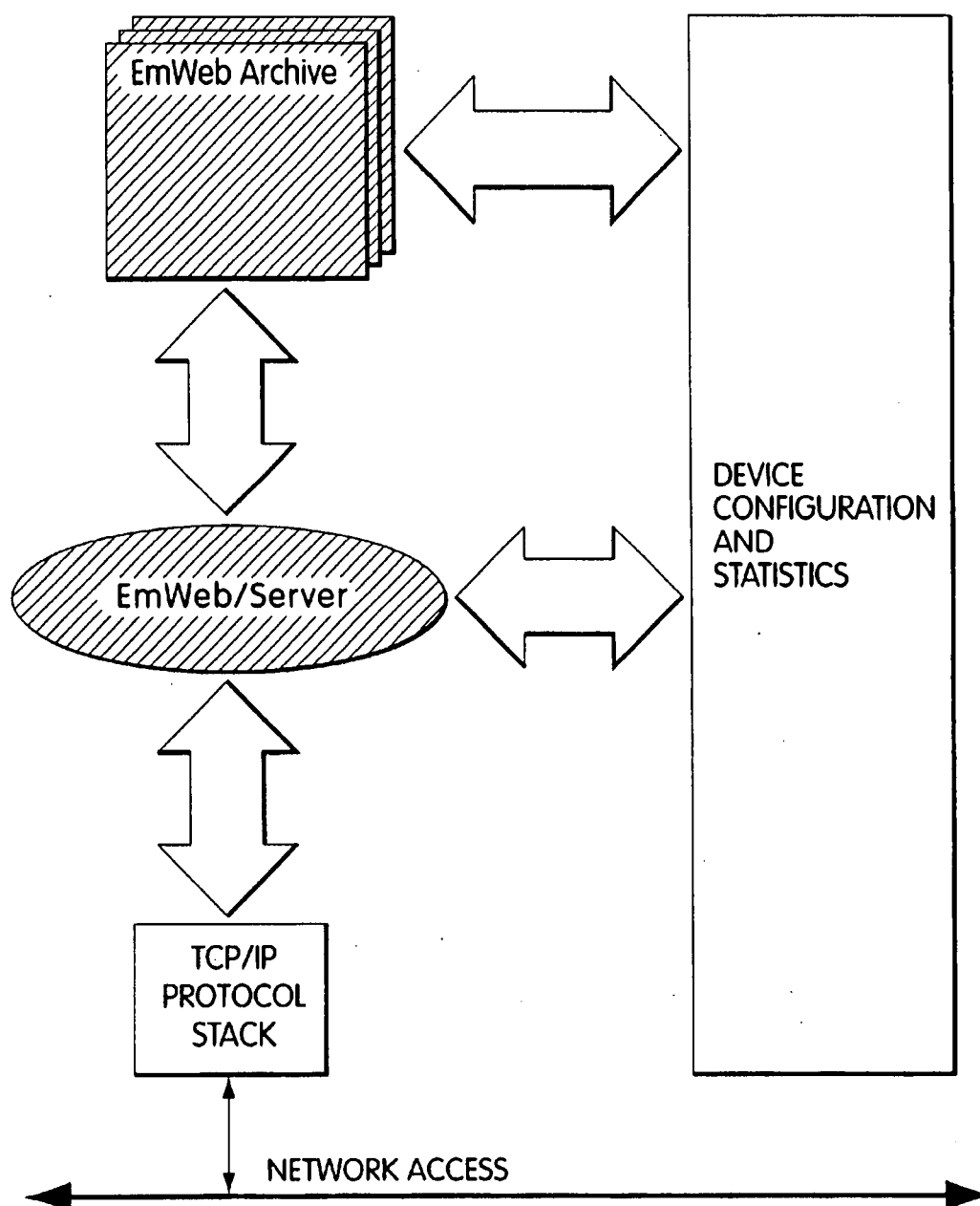
**Fig. 1**

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**Fig. 2**

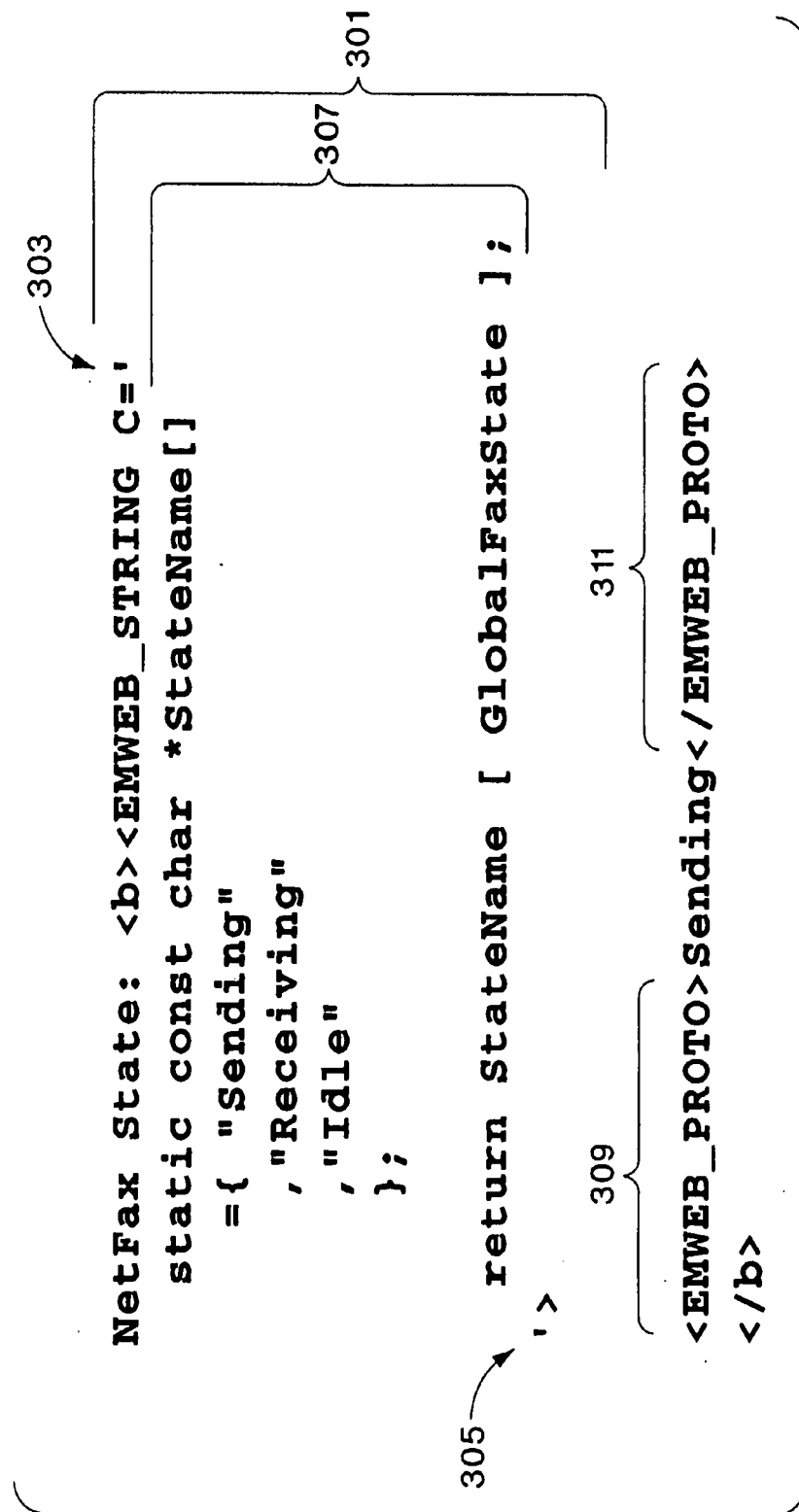


Fig. 3

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Number of pages sent: <b> 401  
<EMWEB\_STRING EMWEB\_TYPE=DECIMAL\_INT C='  
return &GlobalNumPages;  
'> 403  
<EMWEB\_PROTO>12</EMWEB\_PROTO>  
</b>

**Fig. 4**

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501  
<EMWEB\_INCLUDE COMPONENT=' /standard/footer.html'>  
503

**Fig. 5**



```
605 <EMWEB_INCLUDE C='  
    if ( FeatureTable[ fooFeature ].installed )  
    {  
        return "help/foo.html";  
    }  
    else  
    {  
        return NULL;  
    }  
'>
```

601

603

Fig. 6

```

<H2>Paper Tray Status:</H2>
<ol>
  <EMWEB_STRING EMWEB_ITERATE C='
    int tray_percent_full. tray;
    tray = ewContextIterations ( ewContext );
    if ( tray >= NumberOfTrays )
      return NULL; /* terminate iterations */
    tray_percent_full = PaperSupply( tray );
    if ( tray_percent_full == 0 )
      strepy[ buffer, "<li><b>Empty</b>" ];
    else
      sprintf( buffer, "<li>%d%% full", tray_percent_full );
    return buffer;
  '
</ol>

```

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705

Fig. 7

The diagram shows a code block labeled 801. A bracket on the left side of the code is labeled 801. An arrow labeled 803 points to the opening tag <EMWEB\_INCLUDE. A bracket on the right side of the code, spanning from the first if statement to the return NULL statement, is labeled 805. An arrow labeled 807 points to the comment /\* no content and stop iteration \*/.

```
<EMWEB_INCLUDE EMWEB_ITERATE C='  
    int feature = ewsContextIterations( ewsContext );  
    if (feature < FEATURE_TABLE_SIZE )  
    {  
        if ( FeatureTable[ feature ].installed )  
            return FeatureTable[ feature ].url;  
        else  
            return ""; /* no content, but continue iteration */  
    }  
    else  
        return NULL; /* no content and stop iteration */  
'>
```

Fig. 8

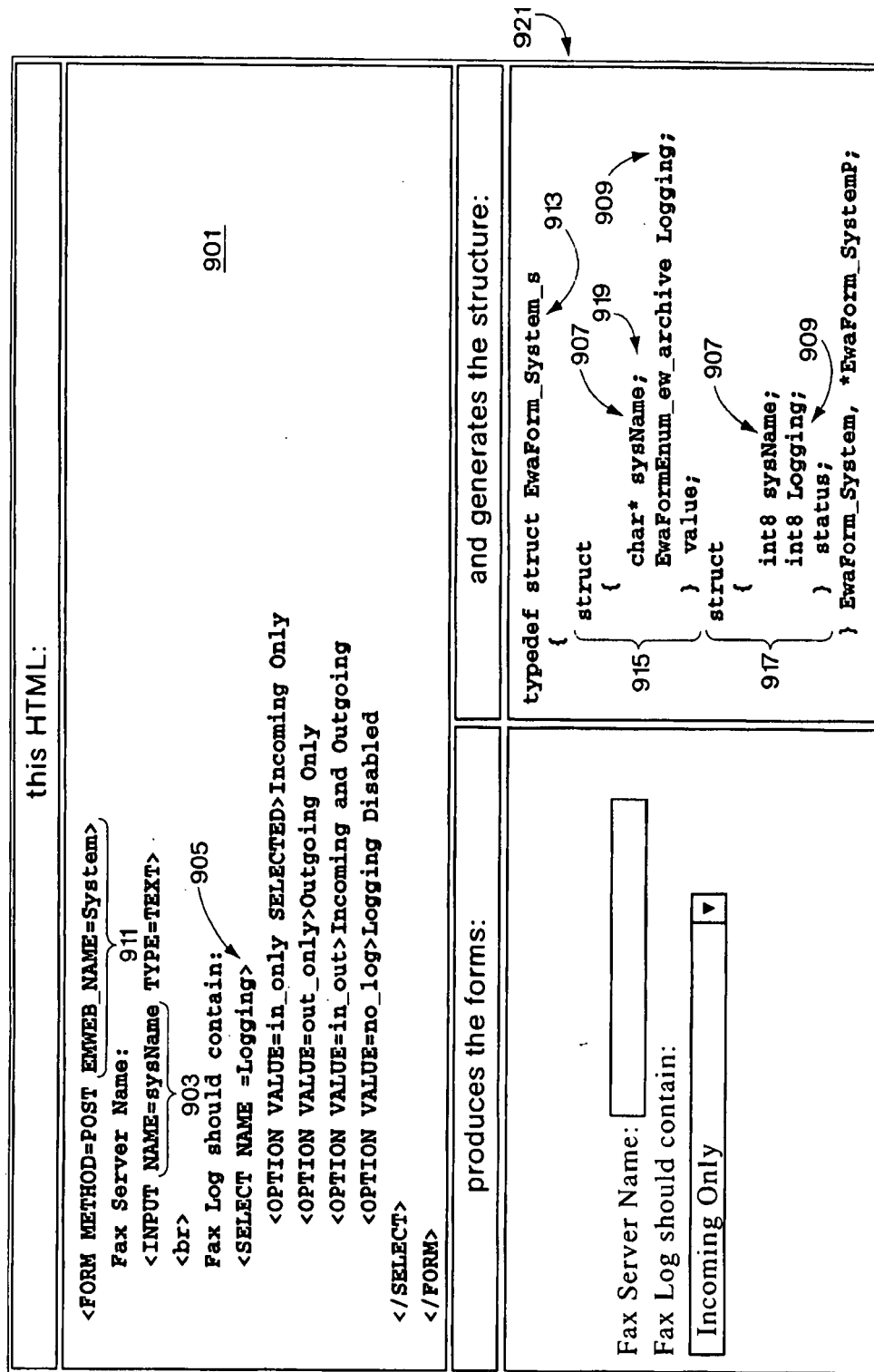


Fig. 9

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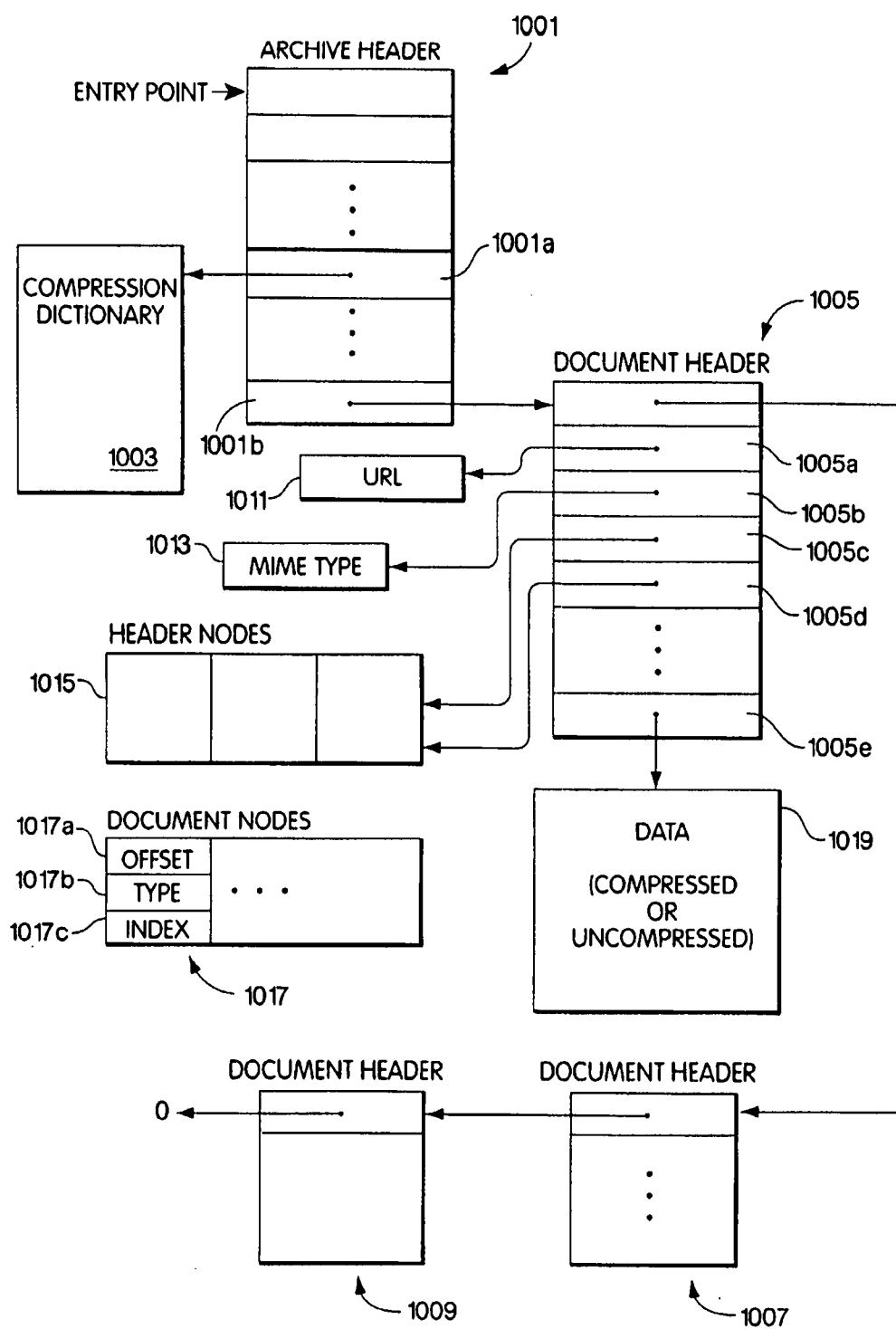


Fig. 10

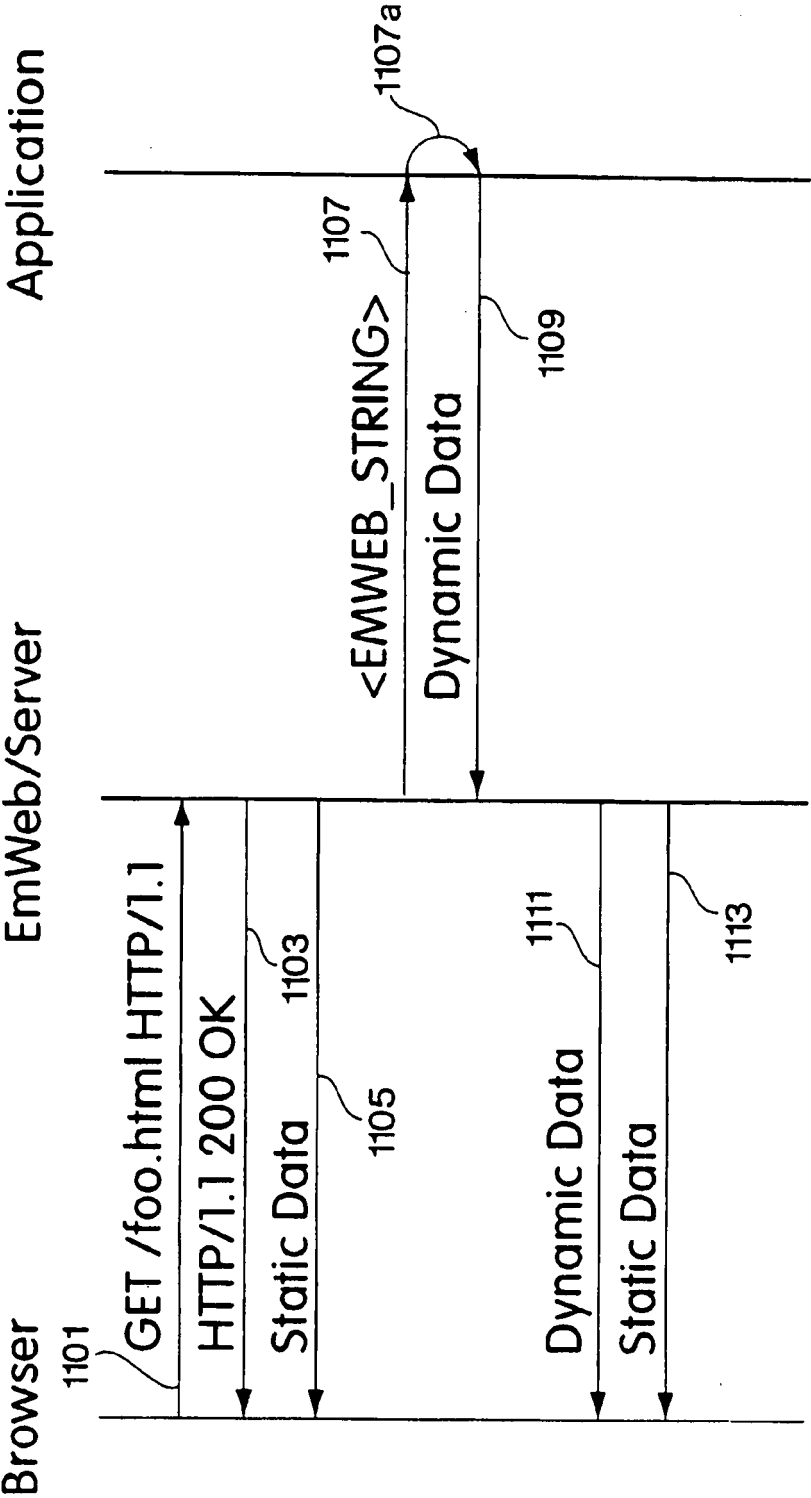


Fig. 11

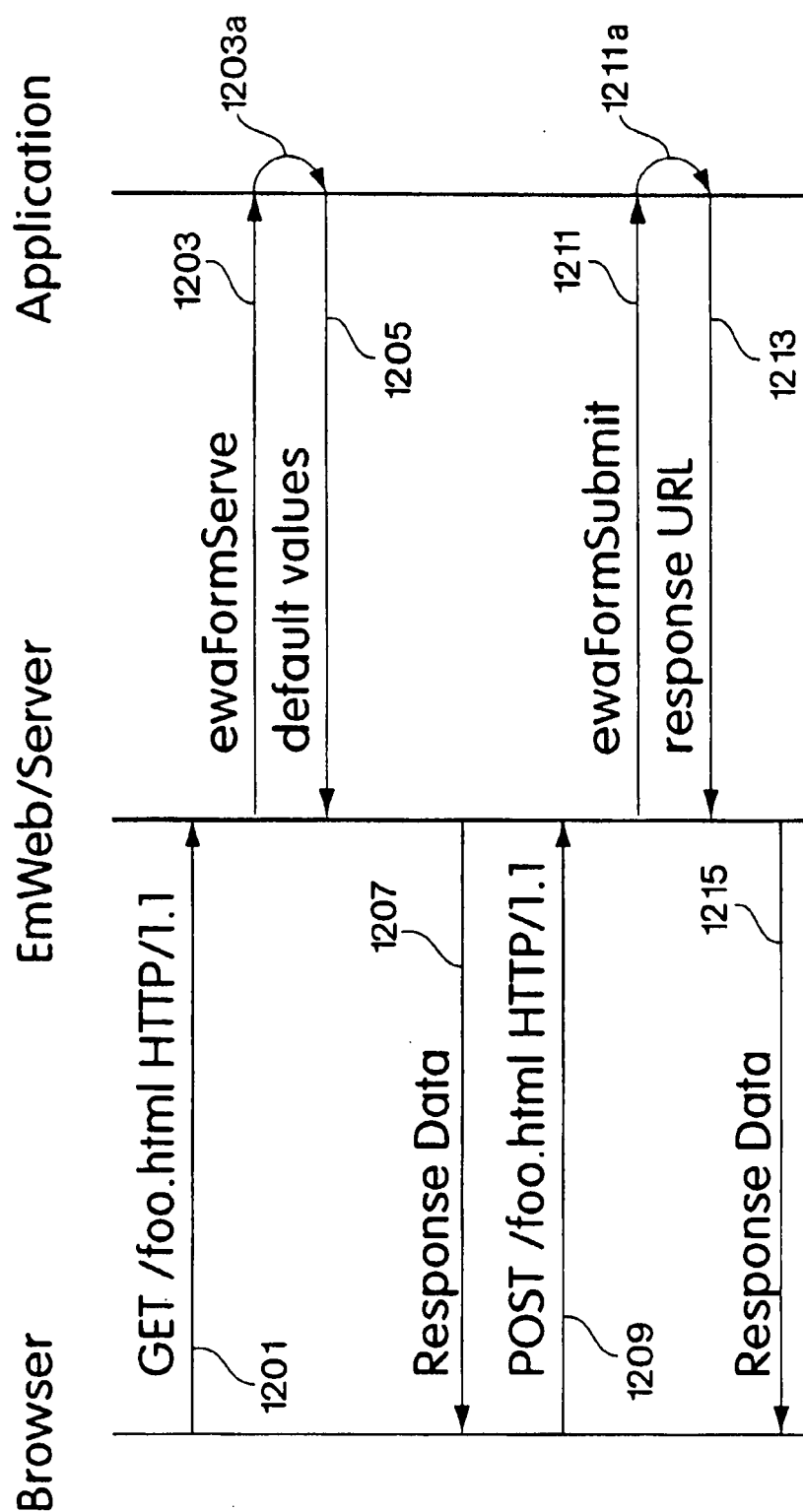


Fig. 12

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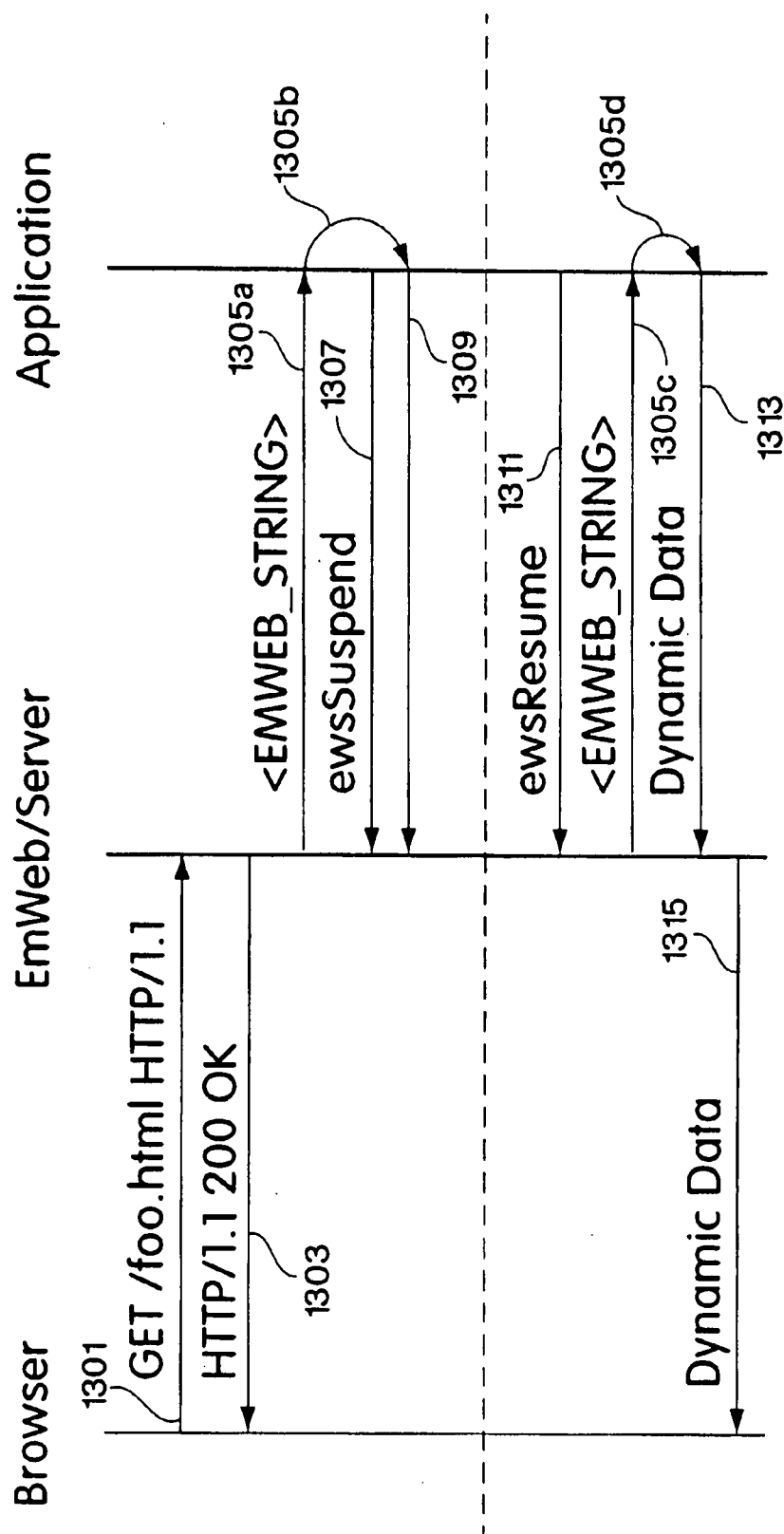


Fig. 13

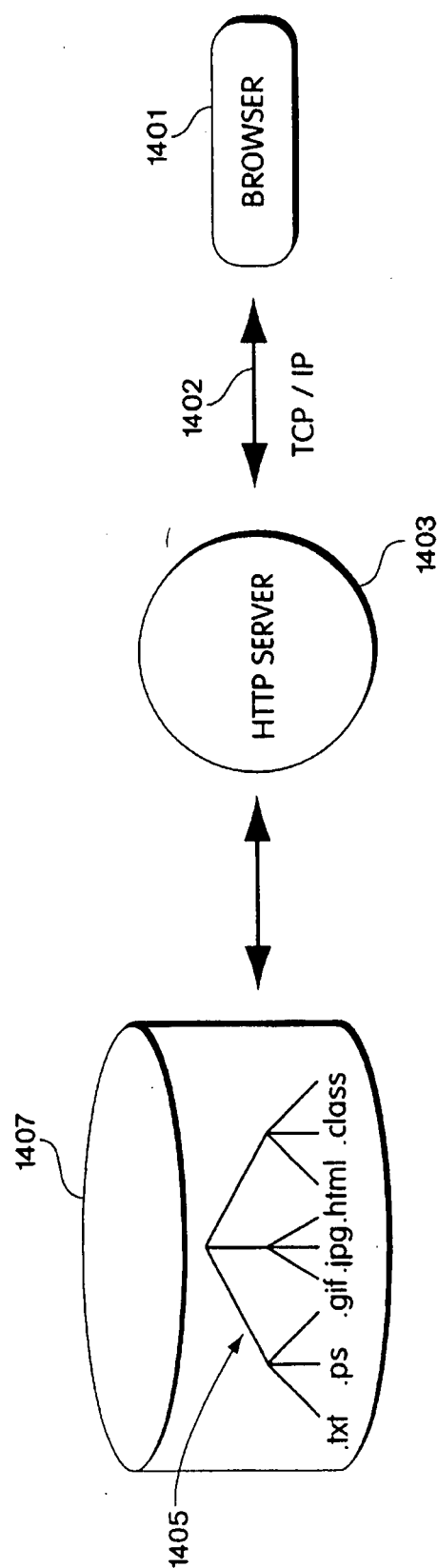


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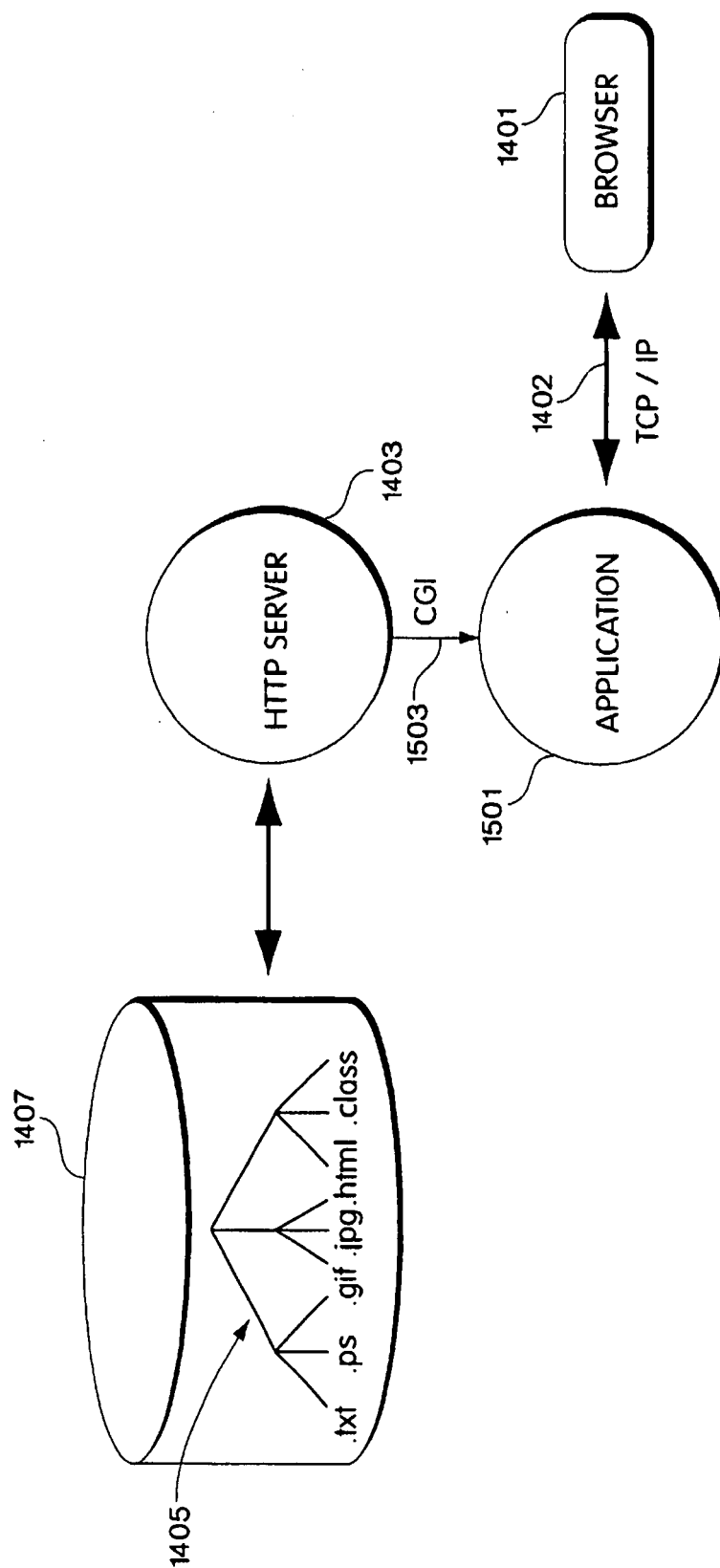
**Fig. 14**

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**Fig. 15**

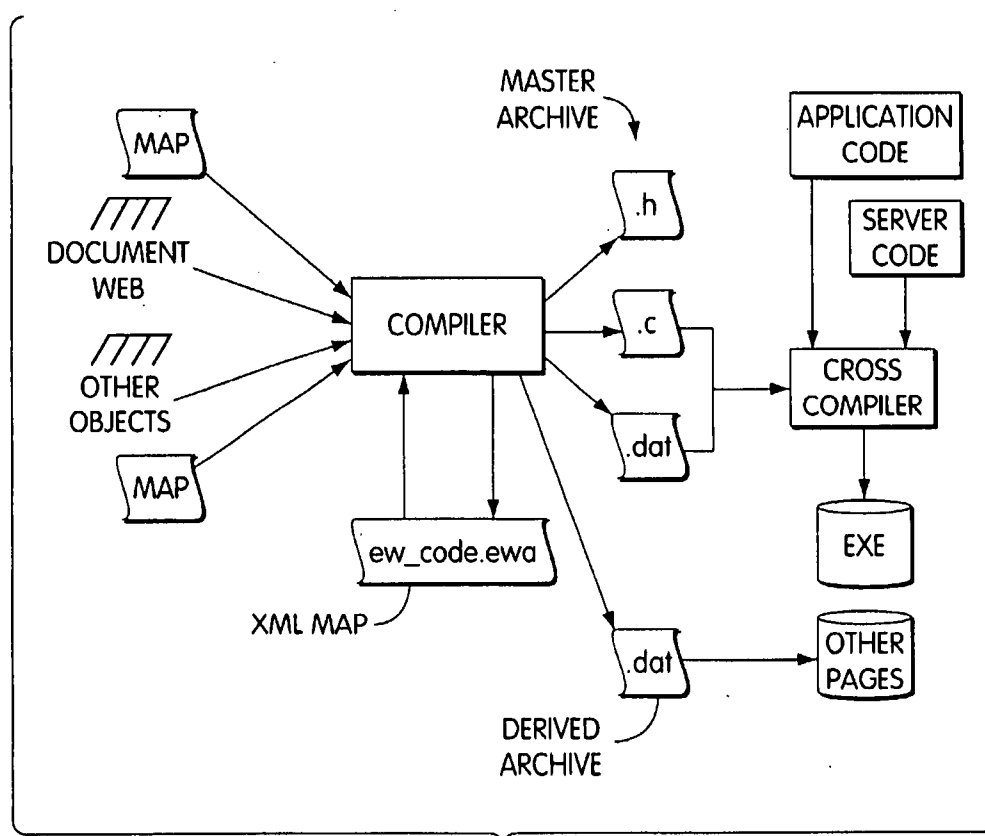


Fig. 16

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**EMBEDDED WEB SERVER****CROSS-REFERENCE TO RELATED APPLICATION**

Priority is claimed under 35 U.S.C. §119(e) to the inventors' Provisional U.S. Patent Application Ser. No. 60/023,373, entitled EXTENDED LANGUAGE COMPILER AND RUN TIME SERVER, filed Aug. 8, 1996, now abandoned, and to the inventors' Provisional U.S. Patent Application Ser. No. 60/108,321, entitled EMBEDDED GRAPHICAL USER INTERFACE USING A PROGRAMMING LANGUAGE, filed Nov. 13, 1998, now abandoned. The inventors' above-identified provisional U.S. patent applications are incorporated herein by reference.

This application is a continuation of application Ser. No. 09/322,382, filed May 28, 1999, entitled EMBEDDED WEB SERVER, and now abandoned, which is a continuation of application Ser. No. 08/907,770, filed Aug. 8, 1997, entitled EMBEDDED WEB SERVER, issued on Oct. 26, 1999 as U.S. Pat. No. 5,973,696.

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**1. Field of the Invention**

The present invention relates generally to graphical user interfaces (GUIs), i.e. user interfaces in which information can be presented in both textual form and graphical form. More particularly, the invention relates to GUIs used to control, manage, configure, monitor and diagnose software and hardware applications, devices and equipment using a World-Wide-Web client/server communications model. Yet more particularly, the invention relates to methods and apparatus for developing and using such GUIs based on a World-Wide-Web client/server communications model.

**2. Related Art**

Many modern communications, entertainment and other electronic devices require or could benefit from improved local or remote control, management, configuration, monitoring and diagnosing. It is common for such devices to be controlled by a software application program specifically written for each device. The design of such a device includes any hardware and operating environment software needed to support the application, which is then referred to as an embedded application, because it is embedded within the device. Embedded application programs are generally written in a high-level programming language such as C, C++, etc., referred to herein as a native application programming language. Other languages suitable to particular uses may also be employed. The application program communicates with users through a user interface, generally written in the same high-level language as the application.

The representation of an application in a native application programming language is referred to as the application program source code. A corresponding representation, which can be executed on a processor, is referred to as an executable image.

Before an application written in a high-level language can be executed it must be compiled and linked to transform the application source code into an executable image. A com-

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piler receives as an input a file containing the application source code and produces as an output a file in a format referred to as object code. Finally, one or more object code files are linked to form the executable image. Linking resolves references an object module may make outside of that object module, such as addresses, symbols or functions defined elsewhere.

Source code may also define arrangements by which data can be stored in memory and conveniently referred to symbolically. Such defined arrangements are referred to as data structures because they represent the physical arrangement of data within memory, i.e., the structure into which the data is organized.

Most commonly, remote control, management, configuration, monitoring and diagnosing applications employ unique proprietary user interfaces integrated with the application software and embedded into the device. Frequently these user interfaces present and receive information in text form only. Moreover, they are not portable, generally being designed to operate on a specific platform, i.e., combination of hardware and software. The devices for which control, management, configuration and diagnosing are desired have only limited run-time resources available, such as memory and long-term storage space. Proprietary interfaces are frequently designed with such limitations to data presentation, data acquisition and portability because of the development costs incurred in providing such features and in order to keep the size and run-time resource requirements of the user interface to a minimum. Since each user interface tends to be unique to the particular remote control, management, configuration, monitoring or diagnosing function desired, as well as unique to the operating system, application and hardware platform upon which these operations are performed, significant time and/or other resources may be expended in development. Graphics handling and portability have therefore been considered luxuries too expensive for most applications.

However, as the range of products available requiring control, management, configuration, monitoring or diagnosing increase, such former luxuries as graphical presentation and portability of the interface from platform to platform have migrated from the category of luxuries to that of necessities. It is well known that information presented graphically is more quickly and easily assimilated than the same information presented as text. It is also well known that a consistent user interface presented by a variety of platforms is more likely to be understood and properly used than unique proprietary user interfaces presented by each individual platform. Therefore, portable GUIs with low run-time resource requirements are highly desirable.

With the growing popularity and expansion of the Internet, one extremely popular public network for communications between computer systems, and development of the World-Wide-Web communication and presentation model, a new paradigm for communication of information has emerged.

The World-Wide-Web and similar private architectures such as internal corporate LANs, provide a "web" of interconnected document objects. On the World-Wide-Web, these document objects are located on various sites on the global Internet. The World-Wide-Web is also described in "The World-Wide Web," by T. Berners-Lee, R. Cailliau, A. Luotonen, H. F. Nielsen, and A. Secret, *Communications of the ACM*, 37 (8), pp. 76-82, August 1994, and in "World Wide Web: The Information Universe," by Berners-Lee, T., et al., in *Electronic Networking: Research, Applications and*

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*Policy*, Vol. 1, No. 2, Meckler, Westport, Conn., Spring 1992. On the Internet, the World-Wide-Web is a collection of documents (i.e., content), client software (i.e., browsers) and server software (i.e., servers) which cooperate to present and receive information from users. The World-Wide-Web is also used to connect users through the content to a variety of databases and services from which information may be obtained. However, except as explained below, the World-Wide-Web is based principally on static information contained in the content documents available to the browsers through the servers. Such a limitation would make the World-Wide-Web paradigm useless as a GUI, which must present dynamic information generated by a device or application.

The World-Wide-Web communications paradigm is based on a conventional client-server model. Content is held in documents accessible to servers. Clients can request, through an interconnect system, documents which are then served to the clients through the interconnect system. The client software is responsible for interpreting the contents of the document served, if necessary.

Among the types of document objects in a "web" are documents and scripts. Documents in the World-Wide-Web may contain text, images, video, sound or other information sought to be presented, in undetermined formats known to browsers or extensions used with browsers. The presentation obtained or other actions performed when a browser requests a document from a server is usually determined by text contained in a document which is written in Hypertext Mark-up Language (HTML). HTML is described in *Hyper-Text Markup Language Specification—2.0*, by T. Berners-Lee and D. Connolly, RFC 1866, proposed standard, November 1995, and in "World Wide Web & HTML," by Douglas C. McArthur, in *Dr. Dobbs Journal*, December 1994, pp. 18–20, 22, 24, 26 and 86. HTML documents stored as such are generally static, that is, the contents do not change over time except when the document is manually modified. Scripts are programs that can generate HTML documents when executed.

HTML is one of a family of computer languages referred to as mark-up languages. Mark-up languages are computer languages, which describe how to display, print, etc. a text document in a device-independent way. The description takes the form of textual tags indicating a format to be applied or other action to be taken relative to document text. The tags are usually unique character strings having defined meanings in the mark-up language. Tags are described in greater detail, below.

HTML is used in the World-Wide-Web because it is designed for writing hypertext documents. The formal definition is that HTML documents are Standard Generalized Markup Language (SGML) documents that conform to a particular Document Type Definition (DTD). An HTML document includes a hierarchical set of markup elements, where most elements have a start tag, followed by content, followed by an end tag. The content is a combination of text and nested markup elements. Tags are enclosed in angle brackets ('<' and '>') and indicate how the document is structured and how to display the document, as well as destinations and labels for hypertext links. There are tags for markup elements such as titles, headers, text attributes such as bold and italic, lists, paragraph boundaries, links to other documents or other parts of the same document, in-line graphic images, and many other features.

For example, here are several lines of HTML:

Some words are <B>bold</B>, others are <I>italic</I>.

Here we start a new paragraph.<P>Here's a link to the

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<A HREF="http://www.agranat.com">Agranat Systems, Inc.</A>home page.

This sample document is a hypertext document because it contains a "link" to another document, as provided by the "HREF=" The format of this link will be described below. A hypertext document may also have a link to other parts of the same document. Linked documents may generally be located anywhere on the Internet. When a user is viewing the document using a client program called a Web browser (described below), the links are displayed as highlighted words or phrases. For example, using a Web browser, the sample document above would be displayed on the user's screen as follows:

Some words are bold, others are italic. Here we start a new paragraph.

Here's a link to Agranat Systems, Inc. home page.

In the Web browser, the link may be selected, for example by clicking on the highlighted area with a mouse. Selecting a link will cause the associated document to be displayed. Thus, clicking on the highlighted text "Agranat Systems, Inc." would display that home page.

Although a browser can be used to directly request images, video, sound, etc. from a server, more usually an HTML document which controls the presentation of information served to the browser by the server is requested. However, except as noted below, the contents of an HTML file are static, i.e., the browser can only present a passive snapshot of the contents at the time the document is served. In order to present dynamic information, i.e., generated by an application or device, or obtain from the user data which has been inserted into an HTML-generated form, conventional World-Wide-Web servers use a "raw" interface, such as the common gateway interface (CGI), explained below. HTML provides no mechanism for presenting dynamic information generated by an application or device, except through a raw interface, such as the CGI. Regarding obtaining data from the user for use by the application or device, although standard HTML provides a set of tags which implement a convenient mechanism for serving interactive forms to the browser, complete with text fields, check boxes and pull-down menus, the CGI must be used to process submitted forms. Form processing is important to remote control, management, configuration, monitoring and diagnosing applications because forms processing are a convenient way to configure an application according to user input using the World-Wide-Web communications model. But, form processing using a CGI is extremely complex, as will be seen below, requiring an application designer to learn and implement an unfamiliar interface. A CGI is therefore not a suitable interface for rapid development and prototyping of new GUI capabilities. Moreover, a developer must then master a native application source code language (e.g., C, C++, etc.), HTML and the CGI, in order to develop a complete application along with its user interface.

Models of the World-Wide-Web communications paradigm for static content and dynamic content are shown in FIGS. 14 and 15, respectively. As shown in FIG. 14, a browser 1401 makes a connection 1402 with a server 1403, which serves static content 1405 from a storage device 1407 to the browser 1401. In the case of dynamic content, shown in FIG. 15, the server 1403 passes control of the connection 1402 with the browser 1401 to an application 1501, through the CGI 1503. The application 1501 must maintain the connection 1402 with the browser 1401 and must pass control back to the server 1403 when service of the request, which included dynamic content, is complete. Furthermore, during service of a request which includes dynamic content,

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the application 1501 is responsible for functions normally performed by the server 1403, including maintaining the connection 1402 with the browser 1401, generating headers in the server/browser transport protocol, generating all of the static and dynamic content elements, and parsing any form data returned by the user. Since use of the CGI 1503 or other raw interface forces the application designer to do all of this work, applications 1501 to which forms are submitted are necessarily complex.

In order to provide dynamic content to a browser, the World-Wide-Web has also evolved to include Java and other client side scripting languages, as well as some server side scripting languages. However, these languages are interpreted by an interpreter built into the browser 1401 or server 1403, slowing down the presentation of information so generated. In the case of client side scripting, the script does not have any direct access to the application or to application specific information. Therefore, in order to generate or receive application specific information using client side scripting, the CGI 1503 or other raw interface must still be used. In the case of server side scripting, the server 1403 must parse the content as it is served, looking for a script to be interpreted. The access, which a script has to the application, is limited by the definition of the scripting language, rather than by an application software interface designed by the application designer.

A server side script is an executable program, or a set of commands stored in a file, that can be run by a server program to produce an HTML document that is then returned to the Web browser. Typical script actions include running library routines or other applications to get information from a file, a database or a device, or initiating a request to get information from another machine, or retrieving a document corresponding to a selected hypertext link. A script may be run on the Web server when, for example, the end user selects a particular hypertext link in the Web browser, or submits an HTML form request. Scripts are usually written in an interpreted language such as Basic, Practical Extraction and Report Language (Perl) or Tool Control Language (Tcl) or one of the Unix operating system shell languages, but they also may be written in programming languages such as the "C" programming language and then compiled into an executable program. Programming in Tcl is described in more detail in *Tcl and the Tk Toolkit*, by John K. Ousterhout, Addison-Wesley, Reading, Mass., USA, 1994. Perl is described in more detail in *Programming Perl*, by Larry Wall and Randal L. Schwartz, O'Reilly & Associates, Inc., Sebastopol, Calif., USA, 1992.

Each document object in a web has an identifier called a Universal Resource Identifier (URI). These identifiers are described in more detail in T. Berners-Lee, "Universal Resource Identifiers in World-Wide-Web: A Unifying Syntax for the Expression of Names and Addresses of Objects on the Network as used in the World-Wide Web," RFC 1630, CERN, June 1994; and T. Berners-Lee, L. Masinter, and M. McCahill, "Uniform Resource Locators (URL)," RFC 1738, CERN, Xerox PARC, University of Minnesota, December 1994. A URI allows any object on the Internet to be referred to by name or address, such as in a link in an HTML document as shown above. There are two types of URIs: a Universal Resource Name (URN) and a Uniform Resource Locator (URL). A URN references an object by name within a given name space. The Internet community has not yet fully defined the syntax and usage of URNs. A URL references an object by defining an access algorithm using network protocols. An example URL is "http://www.agranat.com" A URL has the syntax "scheme: scheme\_specific\_components" where

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a "scheme" identifies the access protocol (such as HTTP, FTP or GOPHER).

For a scheme of HTTP, the URL may be of the form "http://host:port/path?search" where

"host" is the Internet domain name of the machine that supports the protocol;

"port" is the transmission control protocol (TCP) port number of the appropriate server (if different from the default);

"path" is a scheme-specific identification of the object; and

"search" contains optional parameters for querying the content of the object.

URLs are also used by web servers and browsers on private computer systems or networks and not just the World-Wide-Web.

A site, i.e. an organization having a computer connected to a network, that wishes to make documents available to network users is called a "Web site" and must run a "Web server" program to provide access to the documents. A Web server program is a computer program that allows a computer on the network to make documents available to the rest of the World-Wide-Web or a private web. The documents are often hypertext documents in the HTML language, but may be other types of document objects as well, as well as images, audio and video information. The information that is managed by the Web server includes hypertext documents that are stored on the server or are dynamically generated by scripts on the Web server. Several Web server software packages exist, such as the Conseil Europeen pour la Recherche Nucleaire (CERN, the European Laboratory for Particle Physics) server or the National Center for Supercomputing Applications (NCSA) server. Web servers have been implemented for several different platforms, including the Sun Sparc 11 workstation running the Unix operating system, and personal computers with the Intel Pentium processor running the Microsoft® MS-DOS operating system and the Microsoft® Windows™ operating environment.

Web servers also have a standard interface for running external programs, called the Common Gateway Interface (CGI). CGI is described in more detail in *How To Set Up and Maintain A Web Site*, by Lincoln D. Stein, Addison-Wesley, August 1995. A gateway is a program that handles incoming information requests and returns the appropriate document or generates a document dynamically. For example, a gateway might receive queries, look up the answer in an SQL database, and translate the response into a page of HTML so that the server can send the result to the client. A gateway program may be written in a language such as "C" or in a scripting language such as Perl or Tcl or one of the Unix operating system shell languages. The CGI standard specifies how the script or application receives input and parameters, and specifies how any output should be formatted and returned to the server.

A user (typically using a machine other than the machine used by the Web server) that wishes to access documents available on the network at a Web site must run a client program called a "Web browser." The browser program allows the user to retrieve and display documents from Web servers. Some of the popular Web browser programs are: the Navigator browser from NetScape Communications Corp., of Mountain View, Calif.; the Mosaic browser from the National Center for Supercomputing Applications (NCSA); the WinWeb browser, from Microelectronics and Computer Technology Corp. of Austin, Tex.; and the Internet Explorer, from Microsoft Corporation of Redmond, Wash. Browsers

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exist for many platforms, including personal computers with the Intel Pentium processor running the Microsoft® MS-DOS operating system and the Microsoft® Windows™ environment, and Apple Macintosh personal computers.

The Web server and the Web browser communicate using the Hypertext Transfer Protocol (HTTP) message protocol and the underlying transmission control protocol/internet protocol (TCP/IP) data transport protocol of the Internet. HTTP is described in *Hypertext Transfer Protocol—HTTP/1.0*, by T. Berners-Lee, R. T. Fielding, H. Frystyk Nielsen, Internet Draft Document, Oct. 14, 1995, and is currently in the standardization process. At this writing, the latest version is found in RFC 2068, which is a draft definition of HTTP/1.1. In HTTP, the Web browser establishes a connection to a Web server and sends an HTTP request message to the server. In response to an HTTP request message, the Web server checks for authorization, performs any requested action and returns an HTTP response message containing an HTML document resulting from the requested action, or an error message. The returned HTML document may simply be a file stored on the Web server, or it may be created dynamically using a script called in response to the HTTP request message. For instance, to retrieve a document, a Web browser sends an HTTP request message to the indicated Web server, requesting a document by its URL. The Web server then retrieves the document and returns it in an HTTP response message to the Web browser. If the document has hypertext links, then the user may again select a link to request that a new document be retrieved and displayed. As another example, a user may fill in a form requesting a database search, the Web browser will send an HTTP request message to the Web server including the name of the database to be searched and the search parameters and the URL of the search script. The Web server calls a program or script, passing in the search parameters. The program examines the parameters and attempts to answer the query, perhaps by sending a query to a database interface. When the program receives the results of the query, it constructs an HTML document that is returned to the Web server, which then sends it to the Web browser in an HTTP response message.

Request messages in HTTP contain a "method name" indicating the type of action to be performed by the server, a URL indicating a target object (either document or script) on the Web server, and other control information. Response messages contain a status line, server information, and possible data content. The Multipurpose Internet Mail Extensions (MIME) are a standardized way for describing the content of messages that are passed over a network. HTTP request and response messages use MIME header lines to indicate the format of the message. MIME is described in more detail in MIME (Multipurpose Internet Mail Extensions): Mechanisms for Specifying and Describing the Format of Internet Message Bodies, Internet RFC 1341, June 1992.

The request methods defined in the HTTP/1.1 protocol include GET, POST, PUT, HEAD, DELETE, LINK, and UNLINK. PUT, DELETE, LINK and UNLINK are less commonly used. The request methods expected to be defined in the final version of the HTTP/1.1 protocol include GET, POST, PUT, HEAD, DELETE, OPTIONS and TRACE. DELETE, PUT, OPTIONS and TRACE are expected to be less commonly used. All of the methods are described in more detail in the HTTP/1.0 and HTTP/1.1 specifications cited above.

Finally, a device or application using conventional World-Wide-Web technology must have access to a server. Con-

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ventional servers are large software packages, which run on relatively large, resource-rich computer systems. These systems are resource-rich in terms of processing speed and power, long-term storage capacity, short-term storage capacity and operating system facilities. Conventional servers take advantage of these resources, for example, in how they store content source documents. For high-speed, convenient access to content, it is conventionally stored in a directory tree of bulky ASCII text files. Therefore, conventional World-Wide-Web technology cannot be used to implement a GUI in a relatively small, inexpensive, resource-poor device or application.

The combination of the Web server and Web browser communicating using an HTTP protocol over a computer network is referred to herein as the World-Wide-Web communications paradigm.

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide an improved graphical user interface (GUI) for use in connection with remote control, management, configuration, monitoring and diagnosing functions embedded in applications, devices and equipment.

According to one aspect of the invention, there is provided a method for providing a graphical user interface having dynamic elements. The method begins by defining elements of the graphical user interface in at least one text document written in a mark-up language. Next, the method defines including at a location in the document a code tag containing a segment of application source code. The text document is then served to a client which interprets the mark-up language; and when the location is encountered, the client is served a sequence of characters derived from a result of executing a sequence of instructions represented by the segment of application source code. An embodiment of code tags illustrating their use is described in detail, later.

According to another aspect of the invention, there is another method for providing a graphical user interface having dynamic elements. This method also defines elements of the graphical user interface in at least one text document written in a mark-up language. Included in the document is a string identified by prototype tags. The text document is served to a prototyping client which interprets the mark-up language but does not recognize and does not display the prototype tag, but does display the string. An embodiment of prototype tags illustrating their use is described in detail, later.

According to yet another aspect of the invention, there is yet another method for providing a graphical user interface having dynamic elements. Elements of the graphical user interface are defined in at least one text document written in a mark-up language. Included at a location in the document is a code tag containing a segment of application source code. Also included in the document is a string identified by prototype tags. The text document is compiled into a content source, which is subsequently decompiled into a replica of the text document. The replica of the text document is served to a client which interprets the mark-up language; and when the location is encountered in the replica, the client is served a character stream generated by executing the segment of application source code.

Yet another aspect of the invention is a software product recorded on a medium. The software product includes a mark-up language compiler which can compile a mark-up language document into a data structure in a native application programming language, the compiler recognizing one

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or more code tags which designate included text as a segment of application source code to be saved in a file for compilation by a compiler of the native application programming language.

Another aspect of the invention is a method for providing a graphical user interface having displayed forms for entry of data. The steps of this method include defining elements of the graphical user interface in at least one text document written in a mark-up language; naming in the document a data item requested of a user and used by an application written in a native application programming language; and compiling the text document into a content source including a data structure definition in the native application programming language for the named data item.

Yet another aspect of the invention may be practiced in a computer-based apparatus for developing a graphical user interface for an application, the apparatus including an editor which can manipulate a document written in a mark-up language and a viewer which can display a document written in the mark-up language. The apparatus further includes a markup language compiler which recognizes a code tag containing a source code fragment in a native application source code language, the code tag not otherwise part of the mark-up language, the compiler producing as an output a representation in the native application source code language of the document, including a copy of the source code fragment.

In accordance with another aspect of the invention, there is a method for developing and prototyping graphic user interfaces for an application. The method includes accessing an HTML file, encapsulating portions of said HTML and entering source code therein, producing a source module from said HTML with encapsulated portions, producing source code for a server, and cross compiling and linking said application, said source code module and said server thereby producing executable object code.

The invention, according to another aspect thereof, may be a data structure fixed in a computer readable medium, the data structure for use in a computer system including a client and a server in communication with each other. The data structure includes crosscompiled, stored and linked, HTML files with encapsulated portions containing executable code associated with said application, server code, and application code, wherein said executable code is run when the HTML file is served thereby providing real time dynamic data associated with said application.

#### BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings, in which like reference numerals denote like elements:

FIG. 1 is a block diagram of that aspect of the invention relating to development systems;

FIG. 2 is a block diagram of that aspect of the invention relating to an embedded system;

FIG. 3 is an HTML text fragment illustrating the use of an EMWEB\_STRING tag;

FIG. 4 is another HTML text fragment illustrating another use of an EMWEB\_STRING tag;

FIG. 5 is an HTML text fragment illustrating the use of an EMWEB\_INCLUDE tag;

FIG. 6 is another HTML text fragment illustrating another use of an EMWEB\_INCLUDE tag;

FIG. 7 is an HTML text fragment showing a use of the EMWEB\_ITERATE attribute in connection with an EMWEB\_STRING tag;

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FIG. 8 is an HTML text fragment showing a use of the EMWEB\_ITERATE attribute in connection with an EMWEB\_INCLUDE tag;

FIG. 9 is an example of forms processing showing the relationship between the HTML source code for the form and the form output produced;

FIG. 10 is a block diagram of the data structure which defines the header for the data.dat archive file;

FIG. 11 is a state diagram of an embedded system illustrating dynamic content processing;

FIG. 12 is a state diagram of an embedded system illustrating forms processing;

FIG. 13 is a state diagram of an embedded system illustrating suspend/resume processing;

FIG. 14 is a block diagram illustrating conventional World-Wide-Web communication of static content between a server and a client;

FIG. 15 is a block diagram illustrating conventional World-Wide-Web communication of dynamic content between a server and a client; and

FIG. 16 is a block diagram illustrating another aspect of the invention related to a development system.

#### DETAILED DESCRIPTION

The present invention will be better understood upon reading the following detailed description in connection with the figures to which it refers.

Embodiments of various aspects of the invention are now described. First, a development environment is described in which application development and graphical user interface development are closely linked, yet require a low level of complexity compared to conventional development of an application and GUI. Second, an operating environment is described in which the application, a server and GUI are tightly coupled, compact and flexible. In the described system a GUI having portability, low run-time resource requirements and using any of a wide variety of systems available to a user as a universal front end, i.e. the point of contact with the user is software with which the user is already familiar.

##### Development Environment

FIG. 1 illustrates a development environment according to one aspect of the invention. Not all components of the environment are shown, but those shown are identified in the following discussion.

Conventionally, an application development environment may include a source code editor, a compiler 101, a linker and a run-time environment in which to test and debug the application. It is expected that development environments in accordance with the invention include those components of a conventional development environment which a developer may find useful for developing an application. In the case of embedded applications, i.e., applications included within a device or larger application, the run-time environment includes the device or application in which the application is embedded, or a simulation or emulation thereof.

The compiler 101 takes source code 103 generated using the source code editor or from other sources and produces object code 105, which is later linked to form the executable image.

In addition to the conventional elements noted above, the described embodiment of a development environment according to the invention includes an HTML compiler 107 whose output 109 is in the source code language of the application under development. In addition, the develop-



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ment environment may include an HTML editor, an HTTP-compatible server for communicating with client software, i.e., browsers, and an HTTP-compatible browser.

The HTML editor is used to create and edit HTML documents 111 which define the look and feel of a GUI for the application. Numerous tools are now available for performing this task while requiring a minimal knowledge or no knowledge of HTML, for example, Microsoft® Front Page™. It is preferred that the HTML editor used permit entry of non-standard tags into the HTML document.

As will be seen in further detail, below, the server and browser are used to test a prototype GUI before it is fully integrated with the application or in the absence of the application. The browser should be capable of making a connection with the server using, for example, a conventional connection protocol such as TCP/IP, as shown and described above in connection with FIG. 14. Other protocols or direct connections can also be used, as would be understood by those skilled in this art. While the browser and the server may be connected through a network such as the Internet, they need not be. For example, the server and client may run and connect on a single computer system.

Application development proceeds substantially in a conventional manner as known to software developers. The application development should include the design of a software interface through which data will be communicated into and out of the application. However, the software interface is not a GUI. Rather, the interface merely defines how other software can communicate with the application. For example, the interface may be a collection of function calls and global symbols which other software can use to communicate with the application. The application should be written in a high level language such as C, C++, etc. The application can be tested by compiling and linking it with prototype code that provides or receives information through the software interface, exercising those features of the application.

Meanwhile, a GUI for the application is designed as follows. The look and feel of the GUI are developed using the HTML editor, server and browser to create a set of content source documents 111 including at least one HTML document, which together define the look and feel of the GUI. This aspect of GUI development is conventional, proceeding as though the developer was developing a World-Wide-Web site.

At locations in one or more HTML documents where data obtained from the application is to be displayed, the author includes special tags, explained further below, which allow the HTML document to obtain from the application the required data, using the application software interface.

The content source documents 111 are stored conventionally in the form of one or more directory trees 113. The directory tree 113 containing the content which defines the GUI is then compiled using the HTML compiler 107, to produce an application source code language output 109 representing the content source documents in the directory tree. The source code elements 109 produced from the content source documents 111 in the directory tree 113, source code for an HTTP compatible server (not shown) and the application source code 103 are compiled into object code 105 and linked to form an executable image. The server may be supplied in the form of an object code library, ready for linking into the finished executable image. The executable image thus formed fully integrates the graphical user interface defined using familiar tools of World-Wide-Web content development with the control and other functions defined using conventional application development tools.

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In order to successfully perform the integration described above, the HTML compiler 107 of the described embodiment of the invention, the EmWeb™/compiler 107, recognizes a number of special extensions to HTML. The HTML extensions implemented by the EmWeb™/compiler 107, embodying aspects of the invention is described in detail in Appendix A, Section 3.2. Several of these extensions are described briefly here, to aid in understanding the invention.

The EMWEB\_STRING tag is an extension of HTML used to encapsulate a fragment of source code in the HTML document. The source code will be executed by a system in which the application is embedded when the document is served to a browser (usually running on another system) and the location of the EMWEB\_STRING tag is reached. The source code returns a character string that is inserted as into the document at the location of the EMWEB\_STRING tag. Examples of the use of the EMWEB\_STRING tag are shown in FIGS. 3 and 4.

In the example of FIG. 3, the EMWEB\_STRING tag 301 first defines using "C=" a boundary character 303 used to define the end 305 of the included source code. Immediately following the boundary character definition is a fragment of C code 307 which returns a pointer to a string representing one of three fax states. When served by an embedded application, this example HTML produces the text "NetFax State:" followed by "Sending", "Receiving" or "Idle", depending on the value of the symbol GlobalFaxState.

The example of FIG. 4 shows the use of EMWEB\_STRING to output typed data whose type is defined by an attribute, EMWEB\_TYPE 401. The EmWeb™/compiler uses this attribute 401 to produce a source code output routine which converts the typed data found at the address returned 403 into a string for serving at the proper location in the document.

A similar function is performed by the HTML extension, the EMWEB\_INCLUDE tag. Using this tag, standard parts of a GUI such as headers and footers common to multiple pages or windows of information need only be stored once. Header and footer files are referred to using the EMWEB\_INCLUDE tag which inserts them at the location in each HTML content document where the tag is placed. In the described embodiment of the invention, the contents of the EMWEB\_INCLUDE tag must resolve to a relative or absolute path name within the local directory tree of content. This can be done by specifying a local Universal Resource Locator (URL), which is how resources are located in the World-Wide-Web communications paradigm, or by including source code which returns a string representing such a local URL. An absolute local URL takes the form "/path/filename", where "/path" is the full path from the root of the directory tree to the directory in which the file is located. A relative URL defines the location of a file relative to the directory in which the current, i.e., base, document is located and takes the form "path/filename". While the described embodiment requires resolution of the EMWEB\_INCLUDE tag to a local URL, the invention is not so limited. In alternate embodiments, local and external URLs may be permitted or other limitations imposed. Examples of the use of the EMWEB\_INCLUDE tag are shown in FIGS. 5 and 6.

In the example of FIG. 5, a COMPONENT attribute 501 in an EMWEB\_INCLUDE tag simply defines a local URL 503.

In the more elaborate example of FIG. 6, a fragment of source code 601 which produces a local URL 603 upon a defined condition 605 is used to generate a local URL at run time.

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The results to be returned by an EMWEB\_STRING or EMWEB\_INCLUDE tag can also be built up iteratively using repeated calls to the included source code. This is done using the EMWEB\_ITERATE attribute, yet another extension to HTML. Examples of the use of EMWEB\_ITERATE are shown in FIGS. 7 and 8.

FIG. 7 shows an example of the EMWEB\_ITERATE attribute 701 used in connection with the EMWEB\_STRING tag 703. The fragment of code 705 is executed repeatedly until a NULL is returned. Thus, this HTML repeatedly executes the C source code fragment to display the tray status of all trays in a system.

Similarly, in FIG. 8, EMWEB\_INCLUDE 801 and EMWEB\_ITERATE 803 are used to build a table of features for which content from other URLs 805 are to be displayed. When the table is complete, a NULL is returned 807, terminating the iterations.

Since the extensions to HTML described above allow the encapsulation of source code within an HTML document a mechanism with which to provide the encapsulated source code with required global definitions, header files, external declarations, etc. is also provided in the form of an EMWEB\_HEAD tag. The EMWEB\_HEAD tag specifies a source code component to be inserted in the source code output of the EmWeb™/compiler, outside of any defined function. Although it is preferred that the EMWEB\_HEAD tag appears in the HTML file header, it may appear anywhere. The code generated by an EMWEB\_HEAD tag is placed before any functions or other code defined within the HTML content source documents.

As indicated above, the GUI may be prototyped using a conventional server and browser (see FIG. 14) to preview the HTML documents comprising the GUI. Therefore, it may be useful to provide static content with which to preview the page, at locations where dynamic content will appear during use, but which does not appear in the compiled document. For example, it may be useful to include a prototyping value for content which is otherwise provided using the EMWEB\_STRING tag mechanism. Therefore, another extension to HTML recognized by the EmWeb™/compiler is the EMWEB\_PROTO begin 309 and end 311 tags, as shown in FIG. 3. The EmWeb™/compiler removes these tags and everything between them when compiling the document, but the tags are ignored and the text between them is interpreted normally by a conventional browser viewing the HTML document either directly or via a conventional server. Conventional browsers recognize the tag due to its special syntax, e.g., being enclosed in "<" and ">", but are designed to ignore and not display any tag for which the browser does not have a definition. All EmWeb™/compiler HTML extensions are thus skipped over by conventional browsers. Thus, in the example of FIG. 3, the prototype page displays "NetFax State: Sending". FIG. 4 shows a similar use of EMWEB\_PROTO tags.

Handling of HTML forms by the EmWeb™/compiler is now described in connection with FIG. 9. As seen in FIG. 9, an HTML form is defined substantially conventionally. Names used in the form are used in constructing symbol names used in the output source code produced by the EmWeb™/compiler. Therefore names should be valid symbol names in the source code language.

Each element of a form definition is translated by the EmWeb™/compiler into a part of a corresponding data structure defined for that form. Forms data is moved into and out of the application by changing values of items in the data structure.

Turning now to the example in FIG. 9, the relationship between the illustrated HTML form definition and the cor-

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responding data structure is described. The form is given a unique name, using an EMWEB\_NAME attribute in a FORM tag. The form name becomes part of the structure name, for easy reference and uniqueness. The form name will also be used to generate function names for functions which are called when the form is served and when the form is submitted.

The structure generated is itself composed of two structures. The first holds values of each dynamic element of the form. The second holds a status flag indicating the status of the contents of a corresponding value. Thus, in the example of FIG. 9, a structure to hold values and status for the sysName INPUT and the Logging SELECTION is created. The value of sysName is a character string, while Logging is an enumerated type.

Two function prototypes are also generated. The actions to be performed by these functions must be defined by the developer. The Serve function is called when the form is served and can be used to supply default values, for example. The Submit function is called when the form is submitted, to update values in the data structure, for example.

Currently, EmWeb™/compiler supports TEXT, PASSWORD, CHECKBOX, RADIO, IMAGE, HIDDEN, SUBMIT, RESET, SELECT and OPTION input fields. For detailed descriptions, see Appendix A, Section 3.2.5. In addition, the EmWeb™/compiler supports "typing" of TEXT input field data. That is, the EMWEB\_TYPE attribute may be used to define a TEXT input field to contain various kinds of integers, a dotted IP address (i.e., an address of the form 000.000.000.000), various other address formats, etc. A mapping of EMWEB\_TYPE values to C language types is formed in the table in Appendix A, Section 3.2.5.3.

The EmWeb™/compiler has been described in terms of a generic application source code language. The current commercial embodiment of the EmWeb™/compiler assumes the application source code language to be C or a superset thereof, e.g., C++. However, the functionality described can be generalized to any application source code language which may be preferred for a particular application purpose. However, in order to more fully understand how the EmWeb™/compiler and HTML extensions described above cooperate to permit integration of an HTML defined GUI with an application defined in an application source code, it will be assumed, without loss of generality, that the application source code language is C or a superset thereof.

The EmWeb™/compiler produces a set of output files including a data.dat file containing the fixed data of a content archive, a code.c file containing the generated source code portions of an archive including portions defined in EMWEB\_STRING, EMWEB\_INCLUDE and EMWEB\_HEAD tags and other source code generated by the EmWeb™/compiler, as well as proto.h and stubs.c files containing the definitions of C functions used for forms processing. The structure of these files is now described in connection with the data structure illustrated in FIG. 10.

The content archive file data.dat has a header structure as illustrated in FIG. 10. The data structure is accessed through an archive header 1001 which is a table of offsets or pointers to other parts of the archive. For example, there is a pointer 1001a to a compression dictionary 1003 for archives which include compressed documents. There is also a pointer 1001b to a linked list of document headers 1005, 1007 and 1009. Each document header 1005, 1007 and 1009 is a table of offsets or pointers to various components of the document. For example, the document header includes a pointer

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1005a to the URL 1011 to which the document corresponds. There is also a pointer 1005b to a field 1013 giving the Multipurpose Internet Mail Extension (MIME) type of the document. There are pointers 1005c and 1005d respectively to header nodes 1015 and document nodes 1017, explained further below. Finally, there is a pointer 1005e to a block of static compressed or uncompressed data 1019 representing the static portions of the document.

The static data does not include any EmWeb™ tags, i.e., the extensions to HTML discussed above and defined in detail in Appendix A. Rather, information concerning any EmWeb™ tags used in the document appears in the document nodes structure.

Each EmWeb™ tag employed in a document is represented in that document's document nodes structure as follows. The location of the EmWeb™ tag within an uncompressed data block or an uncompressed copy of a compressed data block is represented by an offset 1017a relative to the uncompressed data. The type of tag is indicated by a type flag 1017b. A node may include a flag which indicates any attributes associated with the tag represented. For example, a node for a tag of type EMWEB\_STRING may include a flag indicating the attribute EMWEB\_ITERATE. Finally, nodes include an index 1017c. In nodes defining form elements, the index holds a form number and element number uniquely identifying the element and form within the document. In nodes defining EMWEB\_STRING tags, the index is a reference to the instance of source code which should be executed at that point. As such, the index may be evaluated in an expression of a "switch" statement in C, where each controlled statement of the "switch" statement is one source code fragment from one EMWEB\_STRING instance. Alternatively, the index may be a pointer or index into a table of source code fragments from EMWEB\_STRING tags, which have been encapsulated as private functions.

The data structure defined above provides a convenient way of transferring control as a document containing dynamic content is served. When a document is requested, the list of document nodes is obtained, to determine at what points control must be transferred to code segments which had been defined in the HTML source document. The document is then served using the data block defining the static elements of the document, until each document node is encountered. When each document node is encountered, control is transferred to the appropriate code segment. After the code segment completes execution, the static content which follows is served until the offset of the next document node is encountered.

Header nodes permit the storage of document meta information, not otherwise handled, such as content language, e.g., English, German, etc., cookie control, cache control or an e-tag giving a unique version number for a document, for example a 30-bit CRC value computed for the document. By avoiding having to put this information in the header of each document, significant space can be saved in the archive because not all documents require this information. Therefore, header nodes need only be stored for documents using this information.

The data structure which represents the archive of content used by the EmWeb™/compiler embodiment of the invention is defined by the C source code contained in Appendix B.

#### Run-time Environment

Aspects of the invention related to the run-time environment and server are embodied in the EmWeb™/server as described in detail in Appendix A, Section 4.

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To a conventional browser implementing HTTP, the EmWeb™/server behaves conventionally. However, as shown in FIG. 2, the EmWeb™/server is fully integrated with the application and therefore has access to information about the application and device in which it is embedded.

Operation of the EmWeb™/server with respect to presentation of dynamic content is now described in connection with FIG. 11.

Before the operations shown in FIG. 11 commence, one or more archives are loaded by the server. When each archive is loaded, the server generates a hash table using the archive header data structure to make documents easy to locate using URLs.

First, the browser requests a document at a specified URL, using HTTP 1101. The EmWeb™/server acknowledges the request, in the conventional manner 1103. The EmWeb™/server then uses the hash table of the archive header to locate the document requested and begin serving static data from the document 1105. When a document node is encountered, for example denoting the presence of an EMWEB\_STRING tag, then the server passes control to the code fragment 1107a of the application which had been included in the EMWEB\_STRING tag 1107. When the code fragment completes execution and returns some dynamic data 1109, the EmWeb™/server then serves that dynamic data to the browser 1111. The EmWeb™/server then resumes serving any static data remaining in the document 1113. This process continues until the entire document, including all dynamic elements has been served.

Run-time serving and submission of forms is now described in connection with FIG. 12. A brief inspection of FIG. 12 will show that form service and submission proceeds along similar lines to those for serving dynamic content.

The browser first requests a URL using HTTP 1201. When, during service of the contents of the URL requested, a form is encountered, service of the form and any HTML-defined default values commences normally. The EmWeb™/server then makes a call to the application code 1203 to run a function 1203a which may substitute alternate default values 1205 with which to fill in the form. The document served then is made to include the default values defined by the static HTML as modified by the application software 1207. Later, when the user submits the form, the browser performs a POST to the URL using HTTP 1209. The form data is returned to the application by a call 1211 made by the EmWeb™/server to a function 1211 which inserts the data returned in the form into the data structure defined therefor within the application code. The response 1213 is then served back to the browser 1215.

Finally, it should be noted that there may be times when a request for dynamic content may require extended processing, unacceptably holding up or slowing down other operations performed by the application. In order to avoid such problems, the EmWeb™/server implements a suspend/resume protocol, as follows. The suspend/resume protocol exists within a context of a scheduler maintained and operated by the server. The scheduler includes a task list of scheduled server tasks to be performed.

FIG. 13 illustrates a situation where a browser requests a document containing an EMWEB\_STRING tag whose processing is expected to interfere with other application operations. The initial HTTP request 1301 for the document is acknowledged 1303, conventionally. When the EMWEB\_STRING tag is encountered, control transfers 1305a to the appropriate source code fragment 1305b in the application. The application then calls the suspend function 1307 of the

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EmWeb™/server and returns a dummy value 1309 to the function call generated at the EMWEB\_STRING tag location. Calling the suspend function 1307 causes the scheduler to remove the EMWEB\_STRING processing task from the task list. When the application has finally prepared the dynamic content required in the original function call, the application calls a resume function 1311 of the EmWeb™/server. Calling the resume function 1311 requeues the EMWEB\_STRING processing task on the task list, as the current task. The EmWeb™/server responds by calling 10 the function 1305c the function 1305d defined at the EMWEB\_STRING tag again, this time immediately receiving a response from the application in which the requested dynamic content 1313 is returned. The dynamic content is then served to the browser 1315.

The suspend/resume feature is particularly useful in distributed processing environments. If an embedded application is running on one processor of a distributed environment, but dynamic content can be requested which is obtained only from another processor or device in the distributed environment, then the use of suspend/resume can avoid lockups or degraded processing due to the need to obtain the dynamic content through a communication path of the distributed environment. Consider, for example, a distributed system including a control or management processor, and several communication devices. An embedded application running on the management processor can be queried for configuration data of any of the communication devices. Without suspend/resume, obtaining that data would tie up the communication path used by the management processor for control of the various communication devices, degrading performance.

As described above, a compiled archive may include one or more dynamic elements. It may be desired to permit some portions of the user interface defined by such a compiled archive to be changed or replaced, without disturbing other portions of the user interface. For example, in designing a user interface, a programmer may need to rewrite the definition of the interface, which is written in mark-up language, e.g., HTML, while making use of established dynamic elements, for example written in c. The process desired resembles the paradigm in other programming disciplines in which a program is written which make calls to substantially unchanging library functions.

The archive compiler recognizes names for EMWEB\_STRING and EMWEB\_FORMS constructs, as well as a general object namespace, whereby objects can be referred to by name, both internally and externally to a given source file. In order to support named references to an object, the compiler generates and exports a symbol table, ew\_code.ewa, mapping the .c and .dat files discussed above. The .ewa file is then reimported into the compiler to generate derived archives, as shown in FIG. 16. This process is now described in greater detail.

Source files defining a user interface as a web site and other HTML files referencing named structures are supplied to the compiler, together with maps of external structures, such as a Namespace map. The compiler produces a master archive including header (.h), code (.c) and data (.dat) files. Also produced is the ew\_code.ewa map. The ew\_code.ewa map is fed back to the compiler. Modified web pages can then be compiled with the map files to produce derived archives referring to named objects in the master archives. The pages of the derived archives can therefore be substituted for master archive pages, while making use of the dynamic elements already coded. Only the master archive needs be cross-compiled and linked with the application and

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server for which the user interface is the front end, to produce executable code.

The described embodiments of the invention illustrate several advantages thereof. For example, an embedded application can now have a GUI which is independent of either the application platform of that used to view the GUI. For example, the GUI can be operated through a Microsoft® Windows™ CE machine, Windows™ 3.x machine, Apple Macintosh, WebTV box, etc. running conventional browser software. Also, development of a GUI for an embedded application is greatly simplified. The look and feel is designed using conventional HTML design techniques, including straightforward prototyping of the look and feel using a conventional client server system, using simple HTML extensions. Integration with the embedded application does not require the developer to learn or develop any special interface, but rather uses some HTML extensions to incorporate application source code directly into the HTML content. Yet another advantage in that the entire embedded application along with an HTTP-compatible server and the content to be served is reduced to a minimum of application source code, data structures for static data and data structures for dynamic data.

The present invention has now been described in connection with specific embodiments thereof. However, numerous modifications which are contemplated as falling within the scope of the present invention should now be apparent to those skilled in the art. For example, the invention is not limited to content whose source is HTML. Any mark up language could be used in the context of this invention. Alternatively, the content source could be raw text, which is particularly suitable for situations where the output of the user interface is also processed by one or more automatic software text filters. Therefore, it is intended that the scope of the present invention be limited only by the properly construed scope of the claims appended hereto.

What is claimed is:

1. A method of providing from a server to a client a graphical user interface having dynamic elements, comprising:
  - 40 defining elements of the graphical user interface in at least one text document written in a mark-up language and stored with the server;
  - including at a location in the document a code tag containing a segment of application source code written in a language other than the mark-up language;
  - serving the text document from the server to the client which interprets the mark-up language but does not interpret the application source code; and
  - when the location is encountered, serving from the server to the client a sequence of characters derived from a result of, before the step of serving, executing a sequence of instructions represented by the segment of application source code.
2. The method of claim 1, further comprising:
  - including in the document at least one more code tag containing a segment of application source code.
3. The method of claim 1, wherein the step of defining further comprises:
  - providing a plurality of documents which collectively define the graphical user interface; and
  - storing the text document and the plurality of documents as files in a directory tree.
4. The method of claim 3, further comprising:
  - compiling the directory tree and the files therein into an archive including content sources; and

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decompiling a content source back into the text document before the step of serving.

5. A method for developing and prototyping an application software program having a graphic user interface defined by information served from a server program to a client program, the method comprising the steps of:

accessing a file contain the information served, including HTML tags,

encapsulating source code written in a language other than HTML within tags in portions of said file, the source code not served to the client program,

producing a source code module from said file with encapsulated source code

producing source code for a server, and

cross compiling and linking said application, said source code module and said server source code thereby producing executable object code which serves the information defining the graphic user interface, and information which varies as a result of executing the object code.

6. The method of claim 5, further comprising the steps of: running said object code,

executing said compiled encapsulated source code when requested by a viewer, wherein said encapsulated source code is associated with said application.

7. The method of claim 6, further comprising the steps of: converting data returned by execution of said compiled encapsulated code into a form displayable by said viewer.

8. The method of claim 7, wherein the data returned by executing said compiled encapsulated code changes over time as a result of changes within the application.

9. A data structure fixed in a computer readable medium, the data structure for use in a computer system including a client and a server in communication with each other, the data structure comprising:

cross-compiled, stored and linked, including therein encapsulated portions containing executable code, written in a language other than HTML, and associated

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with said application, server code, and application code, wherein said executable code runs and generates data for the server to serve to the client when the HTML file is served thereby providing real time dynamic data associated with said application.

10. A computer software product including a computer-readable medium encoded with a sequence of instructions defining a method comprising:

defining elements of the graphical user interface in at least one text document written in a mark-up language and stored with the server;

including at a location in the document a code tag containing a segment of application source code written in a language other than the mark-up language;

serving the text document from the server to the client which interprets the mark-up language but does not interpret the application source code; and

when the location is encountered, serving from the server to the client a sequence of characters derived from a result of, before the step of serving, executing a sequence of instructions represented by the segment of application source code.

11. The software produce of claim 10, the method defined by the sequence of instructions further comprising:

including in the document at least one more code tag containing a segment of application source code.

12. The method of claim 10, wherein the step of defining further comprises:

providing a plurality of documents which collectively define the graphical user interface; and

storing the text document and the plurality of documents as files in a directory tree.

13. The method of claim 12, further comprising:

compiling the directory tree and the files therein into an archive including content sources; and

decompiling a content source back into the text document before the step of serving.

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,456,308 B1  
DATED : September 24, 2002  
INVENTOR(S) : Ian D. Agranat, Kenneth A. Giusti and Scott D. Lawrence

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 2,

Line 50, please replace "ran-time" with -- run-time --.

Column 9,

Line 21, please replace "markup" with -- mark-up --.

Line 41, please replace "crosscompiled" with -- cross-compiled --.

Signed and Sealed this

Eleventh Day of February, 2003

A handwritten signature in black ink, appearing to read "James E. Rogan", with a horizontal line drawn underneath it.

JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY**

This case has been assigned to District Judge Josephine Tucker and the assigned discovery Magistrate Judge is Robert N. Block.

The case number on all documents filed with the Court should read as follows:

**SACV12- 1186 JST (RNBx)**

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

===== :  
**NOTICE TO COUNSEL**

*A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).*

Subsequent documents must be filed at the following location:

☐ **Western Division**  
312 N. Spring St., Rm. G-8  
Los Angeles, CA 90012

☒ **Southern Division**  
411 West Fourth St., Rm. 1-053  
Santa Ana, CA 92701-4516

☐ **Eastern Division**  
3470 Twelfth St., Rm. 134  
Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

Name & Address:

Peter R. Afrasiabi (pafrasiabi@onellp.com)

John E. Lord (jlord@onellp.com)

ONE LLP 4000 MacArthur Blvd

Suite 1100, Newport Beach, CA 92660

P (949) 502-2870 F (949) 258-5081

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

Agranat IP Licensing LLC,

PLAINTIFF(S)

v.

Hewlett-Packard Company,

DEFENDANT(S).

CASE NUMBER

**SACV12 - 01186 JST (RNBx)**

**SUMMONS**

TO: DEFENDANT(S): Hewlett-Packard Company,

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it), you must serve on the plaintiff an answer to the attached ☒ complaint ☐ \_\_\_\_\_ amended complaint ☐ counterclaim ☐ cross-claim or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff's attorney, Peter R. Afrasiabi, whose address is 4000 MacArthur Blvd, West Tower, Suite 1100, Newport Beach, CA 92660. If you fail to do so, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

**JUL 20 2012**

Dated: \_\_\_\_\_

Clerk, U.S. District Court

By: \_\_\_\_\_

**DODJIE LAGAN**

Deputy Clerk



(Seal of the Court)

[Use 60 days if the defendant is the United States or a United States agency, or is an officer or employee of the United States. Allowed 60 days by Rule 12(a)(3)].







COPY

 UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA  
 CIVIL COVER SHEET

I (n) PLAINTIFFS (Check box if you are representing yourself <input type="checkbox"/> ) Agranal IP Licensing LLC.		DEFENDANTS Hewlett-Packard Company.	
(b) Attorneys (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.) Peter R. Afrasiabi and John E. Lord One LLP, 4000 W. MacArthur, West Tower, Suite 1100, Newport Beach, CA 92660.		Attorneys (If Known)	

By Fax

II. BASIS OF JURISDICTION (Place an X in one box only.)  <input type="checkbox"/> 1 U.S. Government Plaintiff <input checked="" type="checkbox"/> 3 Federal Question (U.S. Government Not a Party)  <input type="checkbox"/> 2 U.S. Government Defendant <input type="checkbox"/> 4 Diversity (Indicate Citizenship of Parties in Item III)		III. CITIZENSHIP OF PRINCIPAL PARTIES - For Diversity Cases Only (Place an X in one box for plaintiff and one for defendant.) <table style="width:100%; border: none;"> <tr> <td style="width:30%; border: none;">Citizen of This State</td> <td style="width:10%; border: none;">PTF</td> <td style="width:10%; border: none;">DEF</td> <td style="width:30%; border: none;">Incorporated or Principal Place of Business in this State</td> <td style="width:10%; border: none;">PTF</td> <td style="width:10%; border: none;">DEF</td> </tr> <tr> <td style="border: none;"><input type="checkbox"/> 1</td> <td style="border: none;"><input type="checkbox"/> 1</td> <td style="border: none;"><input type="checkbox"/> 1</td> <td style="border: none;"><input type="checkbox"/> 1</td> <td style="border: none;"><input type="checkbox"/> 4</td> <td style="border: none;"><input type="checkbox"/> 4</td> </tr> <tr> <td style="border: none;">Citizen of Another State</td> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;">Incorporated and Principal Place of Business in Another State</td> <td style="border: none;"><input type="checkbox"/> 5</td> <td style="border: none;"><input type="checkbox"/> 5</td> </tr> <tr> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;"><input type="checkbox"/> 2</td> <td style="border: none;"><input type="checkbox"/> 5</td> <td style="border: none;"><input type="checkbox"/> 5</td> </tr> <tr> <td style="border: none;">Citizen or Subject of a Foreign Country</td> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;">Foreign Nation</td> <td style="border: none;"><input type="checkbox"/> 6</td> <td style="border: none;"><input type="checkbox"/> 6</td> </tr> <tr> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;"><input type="checkbox"/> 3</td> <td style="border: none;"><input type="checkbox"/> 6</td> <td style="border: none;"><input type="checkbox"/> 6</td> </tr> </table>				Citizen of This State	PTF	DEF	Incorporated or Principal Place of Business in this State	PTF	DEF	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 4	<input type="checkbox"/> 4	Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5	<input type="checkbox"/> 2	<input type="checkbox"/> 2	<input type="checkbox"/> 2	<input type="checkbox"/> 2	<input type="checkbox"/> 5	<input type="checkbox"/> 5	Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6	<input type="checkbox"/> 3	<input type="checkbox"/> 3	<input type="checkbox"/> 3	<input type="checkbox"/> 3	<input type="checkbox"/> 6	<input type="checkbox"/> 6
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IV. ORIGIN (Place an X in one box only.) <input checked="" type="checkbox"/> 1 Original Proceeding <input type="checkbox"/> 2 Removed from State Court <input type="checkbox"/> 3 Remanded from Appellate Court <input type="checkbox"/> 4 Reinstated or Reopened <input type="checkbox"/> 5 Transferred from another district (specify) <input type="checkbox"/> 6 Multi-District Litigation <input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge							
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V. REQUESTED IN COMPLAINT: JURY DEMAND: ☒ Yes    ☐ No (Check 'Yes' only if demanded in complaint.)

CLASS ACTION under F.R.C.P. 23: ☐ Yes    ☒ No                      ☐ MONEY DEMANDED IN COMPLAINT: \$ proven at trial

VI. CAUSE OF ACTION (Cite the U.S. Civil Statute under which you are filing and write a brief statement of cause. Do not cite jurisdictional statutes unless diversity.)  
 Patent Infringement, Permanent Injunction, and Damages

VII. NATURE OF SUIT (Place an X in one box only.)					
<b>OTHER STATUTES</b> <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc. <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Act <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Info. Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes	<b>CONTRACT</b> <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loan (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise <b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>TORTS</b> <b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Fed. Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury-Med Malpractice <input type="checkbox"/> 365 Personal Injury-Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 463 Habeas Corpus-Alien Detainee <input type="checkbox"/> 465 Other Immigration Actions	<b>TORTS</b> <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability <b>BANKRUPTCY</b> <input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>CIVIL RIGHTS</b> <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 445 American with Disabilities - Employment <input type="checkbox"/> 446 American with Disabilities - Other <input type="checkbox"/> 440 Other Civil Rights	<b>PRISONER</b> <b>PETITIONS</b> <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 Habeas Corpus <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus/Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <b>FORFEITURE/PENALTY</b> <input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other	<b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395m) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS-Third Party 26 USC 7609

SACV12 - 01186 JST (RNBx)

FOR OFFICE USE ONLY: Case Number: \_\_\_\_\_

AFTER COMPLETING THE FRONT SIDE OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED BELOW.

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA  
CIVIL COVER SHEET**

**VIII(a). IDENTICAL CASES:** Has this action been previously filed in this court and dismissed, remanded or closed? ☒ No ☐ Yes  
If yes, list case number(s): \_\_\_\_\_

**VIII(b). RELATED CASES:** Have any cases been previously filed in this court that are related to the present case? ☒ No ☐ Yes  
If yes, list case number(s): \_\_\_\_\_

Civil cases are deemed related if a previously filed case and the present case:

- (Check all boxes that apply) ☐ A. Arise from the same or closely related transactions, happenings, or events; or  
☐ B. Call for determination of the same or substantially related or similar questions of law and fact, or  
☐ C. For other reasons would entail substantial duplication of labor if heard by different judges, or  
☐ D. Involve the same patent, trademark or copyright, and one of the factors identified above in a, b or c also is present.

**IX. VENUE:** (When completing the following information, use an additional sheet if necessary.)

- (a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named plaintiff resides  
☐ Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

County in this District *	California County outside of this District; State, if other than California; or Foreign Country
Orange	

- (b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named defendant resides.  
☐ Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

County in this District *	California County outside of this District; State, if other than California; or Foreign Country
	Santa Clara

- (c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH claim arose.  
**Note: In land condemnation cases, use the location of the tract of land involved.**

County in this District *	California County outside of this District; State, if other than California; or Foreign Country
Orange	

\* Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties

**Note:** In land condemnation cases, use the location of the tract of land involved

**X. SIGNATURE OF ATTORNEY (OR PRO PER):** J. Ford Date July 20, 2012

**Notice to Counsel/Parties:** The CV-71 (JS-44) Civil Cover Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet.)

**Key to Statistical codes relating to Social Security Cases:**

Nature of Suit Code	Abbreviation	Substantive Statement of Cause of Action
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405(g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405(g))
864	SSID	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. (g))



**COPY**

**FILED**

**2012 NOV -5 AM 11:27**

**CLERK U.S. DISTRICT COURT  
CENTRAL DIST. OF CALIF.  
SANTA ANA**

BY \_\_\_\_\_

1 John E. Lord (Bar No. 216111)  
2 jlord@onellp.com

3 **ONE LLP**

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Santa Monica, CA 90401  
Telephone: (310) 954-9497

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6 pafrasiabi@onellp.com

7 Nate L. Dilger (Bar No. 196203)  
8 ndilger@onellp.com

9 **ONE LLP**

4000 MacArthur Blvd.  
West Tower, Suite 1100  
Newport Beach, CA 92660  
Telephone: (949) 502-2870

10 *Attorneys for Plaintiff.*  
11 *Agranat IP Licensing LLC*

**By Fax**

12 **UNITED STATES DISTRICT COURT**  
13 **CENTRAL DISTRICT OF CALIFORNIA**

15 Agranat IP Licensing LLC,

16 Plaintiff,

17 v.

18 Hewlett-Packard Company,

19 Defendant.

Case No. **SACV12 - 01910 JVS (RNBx)**

**COMPLAINT FOR PATENT  
INFRINGEMENT, PERMANENT  
INJUNCTION AND DAMAGES**

**DEMAND FOR JURY TRIAL**

21 For its Complaint against Hewlett Packard Company ("HP"), Plaintiff Agranat IP  
22 Licensing LLC ("Plaintiff" or "Agranat") alleges as follows:

23 **THE PARTIES**

24 1. Plaintiff is a limited liability company duly organized and existing under the  
25 laws of California with its principal place of business at 30021 Tomas Street, Suite 300,  
26 Rancho Santa Margarita, California 92688.

1           2.       Defendant HP is a corporation duly organized and existing under the laws of  
2 Delaware, with its principal place of business at 3000 Hanover Street, Palo Alto, CA  
3 94304-1185.

4                               **JURISDICTION AND VENUE**

5           3.       This is a civil action for patent infringement arising under the Patent Act of  
6 the United States, 35 U.S.C. §§ 1 et seq. This court has subject matter jurisdiction of such  
7 federal question claims pursuant to 28 U.S.C. §§ 1331 and 1338(a).

8           4.       Venue is proper under 28 U.S.C. §§ 1391(b), 1391(c) and 1400(b) in that the  
9 acts and transactions complained of herein were conceived, carried out, made effective, and  
10 had effect within the State of California and within this district, among other places. HP  
11 resides in this judicial district by virtue of its business location and business activities in  
12 this district and have committed acts of infringement in this judicial district.

13                               **U.S. PATENT NO. 6,456,308**

14           5.       On September 24, 2002, the United States Patent & Trademark Office duly  
15 and legally issued United States Patent No. 6,456,308 ("the '308 Patent"), entitled  
16 "Embedded Web Server." Agranat is the owner of all right, title, and interest in the '308  
17 Patent. A true and correct copy of the '308 Patent is attached as Exhibit A and  
18 incorporated herein by reference.

19           6.       The '308 Patent is a continuation of U.S. Patent Application No. 09/322,382,  
20 filed May 28, 1999, which, in turn, is a continuation of U.S. Patent Application No.  
21 08/907,770, filed Aug. 8, 1997 that issued on October 26, 1999 as U.S. Patent No.  
22 5,973,696. The '308 also claims priority under 35 U.S.C. Section 119(e) to U.S. Patent  
23 Provisional Application Nos. 60/023,373, filed August 8, 1996, and 60/108,321, filed  
24 November 13, 1998.

25           7.       The '308 patent claims, among other things, methods and apparatuses for  
26 providing and/or developing a web page which has a segment of code that, when executed,  
27 causes a web server to provide real time dynamic data. In certain of the claimed  
28 embodiments, the systems comprise a data structure for use in a computer system,

1 including a client and a server in communication with each other, that has portions  
2 containing executable code, written in a language other than HTML, where the executable  
3 code runs and generates data for the server to serve to the client when the HTML file is  
4 served, thereby providing real time dynamic data.

5 **FIRST CLAIM FOR RELIEF AGAINST DEFENDANT HP FOR DIRECT**  
6 **INFRINGEMENT, INDUCING INFRINGEMENT AND CONTRIBUTORY**  
7 **INFRINGEMENT OF U.S. PATENT NO. 6,456,308**

8 8. Plaintiff incorporates herein by reference the allegations set forth in  
9 paragraphs 1-7 of the Complaint as though fully set forth herein.

10 9. Defendant HP imports, makes, uses, sells, and/or offers for sale products that  
11 are network accessible and configurable, including printers, blades, servers, storage  
12 devices, wireless access points, and networking equipment, such as routers and switches,  
13 with an embedded web server application (collectively, the "HP Products"). Examples of  
14 such products include, but are not limited to, the following: HP Deskjet 3050, HP  
15 Photosmart 55xx, HP Photosmart 6510, HP Photosmart 7510, HP Photosmart C510a, HP  
16 Officejet Pro 8600, HP Officejet Pro 8100, HP Officejet Pro 6100, HP Officejet 6500, HP  
17 Officejet 6600, HP Officejet 6700, HP Officejet 7500A, HP Envy 114, HP Envy 110, HP  
18 LasetJet Pro P1102w, HP LasetJet Pro M1212nf, HP LaserJet Pro M1217nfw, HP LasetJet  
19 Pro P1606dn, HP LaserJet Pro CP1025nw, HP LaserJet Pro 400 Color MFP M475dw, HP,  
20 HP Designjet T790 24-inch ePrinter, HP Designjet T790 44-inch ePrinter, or any other  
21 printer with ePrint capability or is otherwise network accessible.

22 10. Each HP Product stores and executes an embedded web server application.  
23 When a user's client device connects, via an IP network address, to a HP Product, the HP  
24 Product executes the embedded web server application and serves an embedded web server  
25 page, comprising HTML code, to the connecting client device. The embedded web server  
26 page includes executable code that causes the embedded web server application to generate  
27 data and to serve that generated data to the client, thereby providing real time dynamic data  
28 associated with the application.

1        11. By importing, making, using, selling, and offering for sale the HP Products,  
2 each with an embedded web server application, HP has directly infringed and continues to  
3 directly infringe the '308 Patent, including infringement under 35 U.S.C. § 271(a) and (f).

4        12. On information and belief, HP has also indirectly infringed and continues to  
5 indirectly infringe the '308 Patent by actively inducing direct infringement by other  
6 persons, such as HP's customers and end users, who operate methods and systems that  
7 embody or otherwise practice one or more of the claims of the '308 Patent, when HP had  
8 knowledge of the '308 Patent and knew or should have known that its actions would induce  
9 direct infringement by others and intended that its actions would induce direct infringement  
10 by others.

11        13. On information and belief, HP has also indirectly infringed and continues to  
12 indirectly infringe the '308 Patent by contributory infringement by providing non-staple  
13 articles of commerce to others, such as HP's customers and end users, for use in an  
14 infringing system or method with knowledge of the '308 Patent and knowledge that these  
15 non-staple articles of commerce are used as a material part of the claimed invention of the  
16 '308 Patent, and have no substantial non-infringing use.

17        14. On information and belief, HP will continue to infringe the '308 Patent unless  
18 enjoined by this Court.

19        15. On information and belief, HP's infringement of the '308 Patent is, has been,  
20 and continues to be willful and deliberate.

21        16. As a direct and proximate result of HP's infringement of the '308 Patent,  
22 Agranat has been and continues to be damaged in an amount yet to be determined.

23        17. Unless a preliminary and permanent injunction are issued enjoining HP and  
24 its officers, agents, servants and employees, and all others acting on their behalf or in  
25 concert with HP, from infringing the '308 Patent, Agranat will be greatly and irreparably  
26 harmed.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiff Agranat prays for judgment against Defendant HP as follows:

(1) For a judicial determination and declaration that Defendant HP has directly infringed, and continues to directly infringe, the '308 Patent;

(2) For a judicial determination and declaration that Defendant HP has induced, and continues to induce, the infringement of the '308 Patent;

(3) For a judicial determination and declaration that Defendant HP has contributorily infringed, and continues to contributorily infringe, the '308 Patent;

(4) For a judicial determination and decree that Defendant HP's infringement of the '308 Patent has been, and continues to be, willful and deliberate;

(5) For a judicial determination and decree that Defendant HP, its respective subsidiaries, officers, agents, servants, employees, licensees, and all other persons or entities acting or attempting to act in active concert or participation with it or acting on its behalf, be preliminarily and permanently enjoined from further infringement of the '308 Patent;

(6) For a declaration that HP notify all of its customers and users of the infringing system and customers' participation in the infringement with HP's encouragement, and that HP encourage customers to cease all such infringing actions;

(7) For a judicial decree that orders Defendant HP to account for and pay to Agranat all damages caused to Agranat by reason of Defendant HP's infringement pursuant to 35 U.S.C. Section 284, together with pre-judgment and post-judgment interest;


(8) For an award of damages according to proof at trial;

(9) For a judicial declaration that this case is exceptional under 35 U.S.C. Section 285 and Defendant HP be ordered to pay Agranat's costs, expenses, and reasonable attorney's fees pursuant to 35 U.S.C. Section 285, or as otherwise permitted by law; and

(10) For such other relief as justice requires.



1 Dated: November 5, 2012

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4 By:   
5 John E. Lord  
6 Attorneys for Plaintiff,  
7 Agranat Systems LLC  
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Dated: November 5, 2012

J. Ford

John E. Lord  
Attorneys for Plaintiff,  
Agranat Systems LLC

Hewlett-Packard Company  
3000 Hanover Street, MS 1050  
Palo Alto, CA 94304

hp.com



May 15, 2013

**By Federal Express**

BMC Group, Inc.  
Attn.: Conexant Systems, Inc. Claims Processing  
18675 Lake Drive East  
Chanhassen, MN 55317

RE: Hewlett-Packard Company's Proof of Claim as to Conexant Systems, Inc.  
(Case No. 13-10367)

Dear BMC Group:

**Elizabeth S. Tse**  
IP Litigation Counsel  
T +1 650 857 4980  
F +1 650 852 8141  
elizabeth.tse@hp.com

Please find enclosed Hewlett-Packard Company's Proof of Claim for the case referenced above. Also enclosed are the following documents:

- Exhibit A to HP's Proof of Claim
- Indemnity Letter to Conexant from HP's counsel, dated October 10, 2012, attaching relevant EmWeb License and Distribution Agreements and amendments thereto
- Complaints for alleged patent infringement against HP

Please kindly return to me a date-stamped copy of the Proof of Claim in the enclosed self-addressed envelope. Thank you.

Sincerely,

A handwritten signature in black ink, appearing to read 'Elizabeth M'.

Elizabeth Tse  
IP Litigation Manager

# FedEx NEW Package Express® US Airbill

FedEx Tracking Number

8015 2273 0134

## 1 From

Date 5/15/2013

Sender's Name Elizabeth Tse

Phone 650 857-4980

Company Hewlett-Packard Co.

Address 3000 Mariner Street MS 1050

City Palo Alto

State CA

ZIP 94304-1112

## 2 Your Internal Billing Reference

## 3 To

Recipient's Name BMC Group, Inc.

Phone

Company Hewlett-Packard Systems, Inc. (Hewlett-Packard)

Address 18675 Lake Drive East

City Chanhassen

State MN

ZIP 55317

Address Use this line for the HOLD location address or for continuation of your shipping address.

Dep. Room/Station/Room

RECEIVED

MAY 16 2013

BMC GROUP

8015 2273 0134

Form ID No. 0200

## 4 Express Package Service

NOTE: Service order has changed. Please select carefully.

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☐ FedEx Priority Overnight

☐ FedEx Standard Overnight

☐ Next Business Day

☐ Saturday Delivery NOT available.

### 2 or 3 Business Days

☐ FedEx 2Day AM

☐ Second business morning

☐ FedEx 2Day

☐ Second business afternoon

☐ FedEx Express Saver

☐ Third business day

☐ Saturday Delivery NOT available.

## 5 Packaging

☐ FedEx Envelope

☐ FedEx Pak

☒ FedEx Box

☐ FedEx Tube

☐ Other

## 6 Special Handling and Delivery Signature Options

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☐ Direct Signature

☐ Indirect Signature

☐ Signature Required

☐ Signature Required

☐ Signature Required

☐ Signature Required

☐ Signature Required

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