

UNITED STATES BANKRUPTCY COURT Northern District of Texas (Dallas Division)

PROOF OF CLAIM

Name of Debtor: Erickson Retirement Communities, LLC

Case Number: 09-37010

NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.

Name of Creditor (the person or other entity to whom the debtor owes money or property):

Check this box to indicate that this claim amends a previously filed claim.

Name and address where notices should be sent:

20835747900334
BALFE, EDWARD M
289 ABBOTSFORD COURT
GLEN ELLYN, IL 60137

YOUR CLAIM IS SCHEDULED AS:
Schedule/Claim ID: s2614
AMOUNT/CLASSIFICATION
\$47,190.44 UNSECURED

Court Claim Number:
(If known)

Filed on:

Name and address where payment should be sent (if different from above):

RECEIVED
FEB 04 2010
BMC GROUP

Telephone number:

Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Check this box if you are the debtor or trustee in this case.

1. Amount of Claim as of Date Case Filed: \$47,190.44

If all or part of your claim is secured, complete item 4 below; however, if all of your claim is unsecured, do not complete item 4.

If all or part of your claim is entitled to priority, complete item 5.

Check this box if claim includes interest or other charges in addition to the principal amount of claim. Attach itemized statement of interest or charges.

5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.

Specify the priority of the claim.

Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).

Wages, salaries, or commissions (up to \$10,950\*) earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. §507 (a)(4).

Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).

Up to \$2,425\* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).

Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).

Other - Specify applicable paragraph of 11 U.S.C. §507 (a)( ).

Amount entitled to priority:

\$

\*Amounts are subject to adjustment on 4/1/10 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.

2. Basis for Claim: GROWTH PARTICIPATION PLAN PARTICIPANT ATTACH "ENROLLMENT CERTIFICATE"

3. Last four digits of any number by which creditor identifies debtor: 0718

3a. Debtor may have scheduled account as:
(See instruction #3a on reverse side.)

4. Secured Claim (See instruction #4 on reverse side.)

Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information.

Nature of property or right of setoff: Real Estate Motor Vehicle Other

Value of Property: \$ Annual Interest Rate %

Amount of arrearage and other charges as of time case filed included in secured claim,

if any: \$ Basis for perfection:

Amount of Secured Claim: \$ Amount Unsecured: \$

6. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.

7. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. You may also attach a summary. Attach redacted copies of documents providing evidence of perfection of a security interest. You may also attach a summary. (See instruction 7 and definition of "redacted" on reverse side.)

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

Date: 2/1/10

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

Edward Balfe

FOR COURT USE ONLY

Erickson Ret. Comm. LLC



00758

↑  
GPP Acct

**Personal Info**

**Edward Balfe**

304 Cimarron Rd E.  
Lombard, IL 60148

OLD ADDRESS

Home e-mail:

ebalfe@ericksonmail.com

Birth date:

Hire date:

07/26/2004

Vested 5 yrs  
last pay 9/1/09

Entry date:

**Balance by Investment as of 02/01/2010**

[View Details](#)

Investment	Balance	Vested Balance	Current Election
Fidelity Advisor Short Fixed-Income Fund	\$19,636.00	\$0.00	0.00%
Fidelity Advisor Money Market Prime Fund	\$8,413.09	\$0.00	0.00%
Total:	\$28,049.09	\$0.00	

**Balances by Source as of 02/01/2010**

[View Details](#)

Source	Balance	Vested Balance	Vested Percent
2006 GPP Plan	\$8,413.09	\$0.00	0.00%
2007 GPP Plan	\$10,575.61	\$0.00	0.00%
2008 GPP Plan	\$9,060.39	\$0.00	0.00%
Total:	\$28,049.09	\$0.00	

+ 2009

+ 1/2 of old Plan

2047.190.44

**At-a-Glance**

Account balance: \$28,049.09

Vested balance: \$0.00

**Charted Balances**

[View Details](#)

[Graph View](#)

Edward Balfe  
304 Cimarron Rd E.  
Lombard, IL 60148

**ERICKSON RETIREMENT COMMUNITIES, LLC DEF COMP & GPP PLANS**

Edward Balfe  
304 Cimarron Rd E.  
Lombard, IL 60148

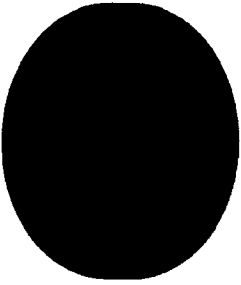
**Participant Investment Report**

April 1, 2009 - June 30, 2009

VRU (Pen-Cal) 1-800-736-2252  
Online www.pencal.com

**ALLOCATION BY FUND**

Fidelity Adv Short Fixed Incom 88.0%  
 Fidelity Prime Money Market Ac 31.0%  
Total 100.0%



**ACCOUNT VALUE \$ 27,138.02**

Beginning Value	Period-to-date
Additions	\$26,679.99
Subtractions	\$0.00
Gain/Loss	\$458.03
Ending Value	\$27,138.02
Vested Value	\$0.00

# ERICKSON RETIREMENT COMMUNITIES, LLC DEF COMP & GPP PLANS

Start Date: April 01, 2009

End Date: June 30, 2009

Edward Balte

## Allocation Summary

This section summarizes the allocation of your existing account balance and the allocation direction of your future contributions

Fund Name	Ending Balance	Account Breakdown by Investment	Investment Allocation Selected for Future Contributions
Fidelity Adv Short Fixed Income	\$18,727.44	69%	0%
Fidelity Prime Money Market Account	\$8,410.58	31%	0%
<b>Total</b>	<b>\$27,138.02</b>	<b>100 %</b>	

Percentages and Totals may not be exact due to rounding

### Personal Rate of Return for the Period 04/01/2009 - 06/30/2009

Fund	Period Return Percent	Year to Date Return Percent
Fidelity Prime Money Market Account	0.10%	0.36%
Fidelity Adv Short Fixed Income	2.46%	2.78%
<b>Total Return</b>	<b>1.72%</b>	<b>2.03%</b>

\*Personal Rate of Return is calculated for each fund utilizing a time-weighted cash flow formula. The rate of return denotes your individual account fluctuation for the reporting period and may not match published rate of return figures provided by Mutual Fund companies or Third Party reporting services.

**ERICKSON RETIREMENT COMMUNITIES, LLC DEF COMP & GPP PLANS**

Start Date: April 01, 2009

End Date: June 30, 2009

Edward Balfe

**YOUR INVESTMENT ACTIVITY BY FUND**

	Beginning Balance	Contributions	Transfers	Gain/Loss	Withdrawals	Forfeiture	Ending Balance
Fidelity Adv Short Fixed Income	\$18,278.10	\$0.00	\$0.00	\$449.34	\$0.00	\$0.00	\$18,727.44
Fidelity Prime Money Market Account	\$8,401.89	\$0.00	\$0.00	\$8.69	\$0.00	\$0.00	\$8,410.58
<b>Total</b>	<b>\$26,679.99</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$458.03</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$27,138.02</b>

**YOUR INVESTMENT ACTIVITY BY MONEY SOURCE**

	Beginning Balance	Contributions	Transfers	Gain/Loss	Withdrawals	Forfeiture	Ending Balance
2006 GPP Plan	\$8,401.89	\$0.00	\$0.00	\$8.69	\$0.00	\$0.00	\$8,410.58
2007 GPP Plan	\$9,844.26	\$0.00	\$0.00	\$242.01	\$0.00	\$0.00	\$10,086.27
2008 GPP Plan	\$8,433.84	\$0.00	\$0.00	\$207.33	\$0.00	\$0.00	\$8,641.17
<b>Total</b>	<b>\$26,679.99</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$458.03</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$27,138.02</b>

**ERICKSON RETIREMENT COMMUNITIES, LLC DEF COMP & GPP PLANS**

Start Date: April 01, 2009

End Date: June 30, 2009

Edward Balte

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June 22, 2005

Dear Growth Participation Plan Participant:

We are pleased to inform you that you are now a participant in the Erickson Growth Participation Plan. You became eligible for the Plan by virtue of your hire or promotion into an eligible position in 2004, and have now passed the threshold into the Plan. You are now participating in values of the units above the base 12/31/04 value of \$1051.74. Your participation will be for the appreciation of unit values above \$1051.74.

Your certificate identifying the units issued is enclosed, as well as a Plan Document. The Plan Document provides a detailed explanation of the rules and regulations of the Plan.

Please contact your Human Resources department with any questions you may have.

Sincerely,

The Plan Administrators

**Erickson Retirement Communities, L.L.C.  
Growth Participation Plan  
Certificate For**

**200 UNITS at the strike price of \$1051.74**

**In The Growth Participation Plan Of  
Erickson Retirement Communities Holdings, L.L.C.  
Granted To**

**Edward M Balle**

*This certificate represents your right to the amount of Equity Units indicated above under the Erickson Retirement Communities Holdings, L.L.C. Growth Participation Plan (the Plan). The designated holder of these Equity Units is entitled to all the rights, opportunities, values, and benefits of future financial growth of the Company as specified in the Plan.*

*These Equity Units are granted in consideration of the holder's future contributions to corporate strategies and the holder's productive delivery of services that form the foundation of the Company's growth. These Equity Units may be transferred and redeemed only in accordance with the Plan and are otherwise fully subject to the provisions of the Plan.*



**John C. Erickson**  
Chairman

**Date: 12/31/2004**