RECEIVED IN THE UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF GEORGIA FEB 0 1 2016 **ROME DIVISION** BMC GROUP IN RE: **CHAPTER 11** HUTCHESON MEDICAL CENTER, INC. Jointly Administered Under and HUTCHESON MEDICAL DIVISION, CASE NO. 14-42863-pwb INC., Debtors. REQUEST FOR ALLOWANCE AND PAYMENT OF ADMINISTRATIVE EXPENSE CLAIM PURSUANT TO 11 U.S.C. § 503 COMES NOW the claimant identified below and hereby requests the allowance of an administrative expense claim pursuant to Section 503 of the Bankruptcy Code, arising from November 20, 2014 through November 20, 2015, showing the following: CLAIMANT'S NAME AND ADDRESS: University of Teamessee 960 E. Third Street, Chattanooga, TN 37404 Amount of 11 U.S.C. § 503 Administrative Expense The undersigned holds an administrative expense claim pursuant to 11 U.S.C. § 503 in the amount identified above against the following Debtor identified in these bankruptcy cases: Hutcheson Medical Center, Inc. Hutcheson Medical Division, Inc.

as follows:
Hutcheson employees signed a contract (enclosed) agreeing
to pay these fees. Services provided include providing
continuing medical education/AMA credits for the Hutcheson

The consideration for this debt (or ground for this liability owed by the Debtor is

2.

3. The administrative expense is entitled to administrative priority under 11 U.S.C. § Conferences. 503(b) and 11 U.S.C. § 507(a)(2) because:

Hutcheson employees signed a contract (enclosed) agreeing to pay these fees for the services rendered,

- 4. A copy of the writing (invoice, purchase order, lease agreement, etc.) on which the administrative expense is founded, if any, is attached hereto or cannot be attached for the reason set forth in the statement attached hereto.
- 5. The amount of all payments on the administrative expense have been credited and deducted for the purpose of making this request.
- 6. The undersigned is aware that under 18 U.S.C. §§ 152 and 3571, the penalty for presenting a fraudulent claim in a bankruptcy case includes a fine of up to \$500,000 or imprisonment for up to five years, or both.

WHEREFORE, the undersigned requests that the Court allow the administrative expense or expenses requested herein, to be paid in accordance with the priorities set forth in the Bankruptcy Code and based upon availability of funds.

| Dated: | 127/2016 | · |
|--------|----------|--------------------------------------|
| | | Name of Claimant: Bill Reynolds, MBA |
| | | Signed: Bill Reynolds |
| | | By (if appropriate): |
| | | As Its (if appropriate): |

INSTRUCTIONS:

Mail the completed form by March 7, 2016, to the Clerk, United States Bankruptcy Court, Northern District of Georgia, Room 339, 600 East First Street, Rome, Georgia 30161, with a copy served on Trustee's Claims Agent: (i) if by overnight or hand delivery: BMC Group, Attn: Hutcheson Medical Center, Inc. Claims Processing, 300 Continental Blvd., #570, El Segundo, CA 90245; (ii) if by first class mail: BMC Group, Attn: Hutcheson Medical Center, Inc. Claims Processing, PO Box 90100, Los Angeles, CA 90009.

DISTRIBUTION LIST

Martin P. Ochs
Office of the United States Trustee
362 Richard Russell Building
75 Ted Turner Drive, SW
Atlanta, GA 30303

J. Robert Williamson
J. Hayden Kepner, Jr.
Scroggins & Williamson, P.C.
One Riverside
4401 Northside Parkway
Suite 450
Atlanta, GA 30327

David B. Kurzweil Greenberg Traurig, LLP 3333 Piedmont Road, NE, Suite 2500 Atlanta, GA 30303

David E. Lemke Waller Landsden Dortch & Davis, LLP 511 Union Street, Suite 2700 Nashville, TN 37219



COLLEGE of MEDICINE

Office of Continuing Medical Education

960 East Third Street, suite 104 • Chattanooga, TN 37403 Tel: (423) 778-6884 • Fax: (423) 778-3673

www.utcomchatt.org/cme

INVOICE

Invoice # UT1519 Date: May 15, 2015 For: 2015 CME Activity Certification fee

<u>Bill To</u>: Hutcheson Medical Center • <u>Attn</u>: Angela Helmes 4750 Battlefield Parkway • Ringgold, GA 30736

Fee Description:

CME Course Certification: CME Series; jointly-provided by a hospital \$2,000.00

2015 Hutcheson Tumor Conferences

January-December • live activity

1 AMA Category 1 Credit x 52 meetings

Joint Sponsor: Hutcheson Medical Center

Total: \$2,000.00

Make check payable to:
The University of Tennessee
Office of CME
960 East Third Street, suite 104
Chattanooga, TN 37403

Emailed them on 6/17/15

Payment is due within 30 days of invoice



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Office of Continuing Medical Education

960 East Third Street, suite 104 • Chattanooga, TN 37403 Tel: (423) 778-6884 • Fax: (423) 778-3673

www.utcomchatt.org/cme

INVOICE

Invoice # UT1539 Date: November 17, 2015

For: 2015 Credit Certificates

<u>Bill To</u>: Hutcheson Medical Center/Battlefield Imaging • <u>Attn</u>: Angela Helmes 4750 Battlefield Parkway • Ringgold, GA 30736

| Fee Description: | | Amount: |
|--------------------------|---|----------|
| CME Credit Certificates: | 21 credit certificates at \$25.00 per certificate | \$525.00 |
| | 2015 Hutcheson Tumor Conferences – live activity | |
| | Dates: January 1 – December 31, 2015 | |
| | 1 CME/AMA Category 1 Credits x 52 meetings | |
| | Joint Sponsor: Hutcheson Medical Center/Battlefield | |
| | Imaging | |

Total Due: \$525.00

Make check payable to:

The University of Tennessee UT College of Medicine, Office of CME 960 East Third Street, suite 104 Chattanooga, TN 37403

Payment is due within 30 days of invoice



The University of Tennessee College of Medicine

Office of Continuing Medical Education

www.utcomehatt.org/cme

CME Credit Application & Activity Summary

Purpose: Use this form to apply to have an educational activity certified for AMA PRA Category I CreditsTM (Category 1 credits towards the American Medical Association Physician's Recognition Award). This form is the mechanism we use to ensure that your activity is planned, developed, implemented & evaluated in accordance with the Accreditation Criteria, Standards for Commercial Support, and Policies established by the Accreditation Council for Continuing Medical Education for providing continuing medical education (CME) to physicians in a way that is evidence-based, scientifically balanced, and free from promotion. The mission of the University of Tennessee College of Medicine (UTCOM) is to serve the continuing education needs of Tennessee physicians, so discuss your activity with the Office of CME before completing this application if your primary target audience is something other than Tennessee physicians.

<u>Instructions</u>: Begin completing this application whenever you begin planning the activity. Submit this application a few months prior to the activity to ensure proper planning & coordination of the activity. Save this form to your computer and enter the requested information and responses. Once completed, print & sign this application, and then scan & email it to the Office of CME along with the supporting documents. Click any *blue* words in this application for more info about it. Click here (or visit www.utcomchatt.org/cme/application) or contact your nearest Office of CME for assistance in completing your application:

Chattanooga Office of CME

960 East Third Street, Suite 104 Chattanooga, TN 37403 Tel: (423) 778-6884 Knoxville Office of CME

1924 Alcoa Hwy, D-116 Knoxville, TN 37920 Tel: (865) 305-9190 Memphis Office of CME

910 Madison Avenue, suite 1031 Memphis, TN 38163 Tel: (901) 448-5128

<u>Accreditation</u>: The University of Tennessee College of Medicine is accredited by the Accreditation Council for Continuing Medical Education to provide continuing medical education for physicians.

| ACTIVITY INFORMATION | | | | |
|---|--|--|--|--|
| Activity Title: 2015 Hutcheson Tumor Conferences | | | | |
| # Annual: 52 (for example, "4th" for a fourth annual conference) | | | | |
| Host Organization or Department: Hutcheson Tumor Conference | | | | |
| Date(s) & Time(s): Thursdays, 8:00a.m. 9:00a.m. | | | | |
| Activity Type (check one): Course (?) Regularly-Scheduled Series (?) Enduring Material (?) | | | | |
| Hours of Continuing Medical Education per meeting: 100 Number of Meetings: 52 NOTE: If you want to certify this activity for CME credits other than AMA PRA Category I Credits TM , please discuss this with the Director of CME. | | | | |
| Activity Location (facility or building): Battlefield Imaging City/State: Ringgold, GA 30736 | | | | |
| ACTIVITY ADMINISTRATORS | | | | |
| Activity Medical Director (must be an MD or DO): James Santoro MD | | | | |
| The physician who is responsible for ensuring that this activity is educational for the target audience and is administered in accordance with CME policies. | | | | |
| Address/City/State/Zip Code: 4750 Battlefield Parkway, Ringgold, GA 30736 | | | | |
| Phone: 706/858-2873 Fax: 706/841-0659 Email: jamesjsantoro@gmail.com | | | | |
| | | | | |
| Activity Coordinator: Angela Helmes | | | | |
| The person is responsible for coordinating the operations and logistics of this educational activity in accordance with CME policies. | | | | |

Email: ahelmes@hutcheson.org

Address/City/State/Zip Code: 4750 Battlefield Parkway, Ringgold, GA 30736

Fax: 706/841-0659

Phone: 706/858-3322

CONTENT REQUIREMENTS

Continuing medical education consists of educational activities which serve to maintain, develop, or increase the knowledge, skills, and professional performance and relationships that a physician uses to provide services for patients, the public, or the profession. The content of CME is that body of knowledge and skills generally recognized and accepted by the profession as within the basic medical sciences, the discipline of clinical medicine, and the provision of health care to the public. Continuing Medical Education must be: 1) Designed Specifically for your Physicians - Your CME activity must address shortcomings in the current professional practice of your target audience (TN physicians) (EA 2-2). 2) Unbiased by Commercial Interests - The following aspects of your CME activity must be free from control of a commercial interest: (a) identification of the educational needs, (b) determination of educational objectives, (c) selection and presentation of content, (d) selection of all persons and organizations that will be in a position to control the content of the CME, (e) selection of the educational methods, and (f) evaluation of the activity (EA 2-7 & 2-8; SCS 1.1). 3) Educational - The content and presentation must promote improvements or quality in healthcare and not a specific proprietary business interest of a commercial interest (EA 2-10). 4) Evidence-Based - All recommendations involving clinical medicine must be based on evidence that is accepted within the profession of medicine as adequate justification for their indications and contraindications in the care of patients. All scientific research referred to, reported or used in support or justification of a patient care recommendation must conform to the generally accepted standards of experimental design, data collection and analysis. (ACCME) 5) Free from Promotion - The content and presentation must promote improvements or quality in healthcare and not a specific proprietary business interest of a commercial interest (EA 2-10).

Attestation: I have read the content requirements above and attest that all CME content will evidence-based, educational & free from promotion, and that this activity has been (and will be) designed to address shortcomings in target audience's professional practice and planned/coordinated in a way that is unbiased by commercial interests.

Initials of Activity Medical Director: IS

Initials of Activity Coordinator: AH

CME FEES

Fees for CME Services – Two types of fees are associated with all UTCOM CME activities: a Course Certification fee (varies by activity) and a Certificate of Attendance fee (a fixed fee for each certificate/transcript of attendance issued). There is also a Grant Administration fee for any commercial support received for this activity (if applicable).

Click here to review the Office of CME Fee Schedule (or visit www.utcomchatt.org/cme/fees)

Attestation: I attest that I have reviewed the Fee Schedule referenced above and agree to the fees listed.

Initials of Activity Medical Director: IS Initials of Activity Coordinator: AH

FINANCIAL RISK & LIABILITY

Attestation: I understand that planning & implementing an event, meeting or CME activity involves many tasks, resources, contracts, expenses, and other variables that create financial risk & liability, and that having the UT College of Medicine certify this activity for CME credit carries no guarantee about the activity's profitability, attendance, success, etc.

Initials of Activity Medical Director: IS

Initials of Activity Coordinator: AH

CME ACTIVITY CLOSEOUT & CERTIFICATES

After this CME activity is over, the CME Activity Closeout form must be completed and provided to the Office of CME, and the Office of CME does not issue CME credit certificates until this documentation is accurate and complete. Click here or visit www.utcomchatt.org/cme/closeout to download the CME Activity Closeout forms or for more information and resources in closing-out the CME documentation for your UTCOM-certified CME activity.

Attestation: I understand that I need to submit a CME Closeout form and supporting attachments to the Office of CME after this activity before the Office of CME will issue the CME credit certificates.

Initials of Activity Medical Director: IS

Initials of Activity Coordinator: AH

| | CHIVERY OVERVIEW | | | | |
|--|--|--|--|--|--|
| and specialties will be taught? The Confer | activity: What is the broad purpose of this activity? What topics rence is to promote open discussion between physicians to determine motherapy, Radiation Therapy, and Surgical Interventions will be | | | | |
| | TARGETAUDIENCE | | | | |
| 2. What is the Target Audience for this activit to serve the clinical and professional performa | y? Continuing Medical Education activities must be designed and directed nace of practicing physicians. | | | | |
| ☐ Tennessee Physicians, specify specialty(ies): ☐ Specialty Physicians (specify): ☐ Physician Assistants ☐ Pharmacists | ☑ Nurse Practitioners ☑ Registered Nurses ☑ Allied Health Professionals ☑ Other (specify): Georgia Physicians | | | | |
| What makes this activity particularly useful or applicable to your target audience? This will be an opportunity for physicians to directly discuss treatment options for their patients. | | | | | |
| How many learners do you expect to educat | te through this activity? 10 | | | | |
| Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z | ENCESPROTESSIONALERACTICE CAP TE | | | | |
| Does the target audience need the educati | on that this activity will provide? XYes No | | | | |
| CME activities should be designed to address shor audience. In order to demonstrate the need for this | tcomings or gaps in the professional practice of your specific target activity, you must identify at least one Professional Practice Gap that onal Practice Gap is the difference between the current professional | | | | |
| 3. In one sentence, tell us how your | target audience's current professional practice is | | | | |
| less than ideal or how it could be | better in terms of its knowledge, competence, | | | | |
| performance, and/or patient outcomes: Cancer care is an ever evolving discipline in medicine. There is a need for professionals in all fields to come together to enable a collaboration in the care of cancer patients, so there are optimal outcomes and survival. | | | | | |
| The Professional Practice Gap(s) you have source(s) did you use to identify and/or sup | identified must be acknowledged in medical literature. What data pport the professional practice gap(s) in your target audience? | | | | |
| ☐ Opinions of Health Professionals ☐ Newspapers & Local Media ☑ Research Literature or Findings ☐ National Benchmarks, Guidelines, Safety Goals, e ☐ Healthcare Data (local, regional or national) ☐ Surveys or Feedback from the target audience | ☐ Institutional or Organizational Data ☐ Specialty Society Guidelines ☐ Hospital Quality Improvement Info ctc. ☐ Gold Standards for Treatment ☐ Patients ☐ Other (specify): | | | | |
| Required Attachment #1: You must pro acknowledg | ovide articles or documentation from the data sources you selected (above) that the existence of the professional practice gap(s) you have identified. | | | | |

Gap Analysis: Why does this Professional Practice Gap exist? What caused it? To what extent does it exist?

With so many specialities in regard to taking care of cancer it is not possible for the different medical disciplines to know about all of the treatment options there are available. This conference will promote collaboration and continuity of care for cancer patients.

| THE T | ~ | PATT / | - TIL | 1000 | THE PER |
|--------|------|-------------|-------|--|---------|
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| | 1 | 0.00 | | C 100 100 100 100 100 100 100 100 100 10 | |

Based on the Professional Practice Gap(s) you identified in your target audience, you should now determine their *Educational Needs* for your target audience. The Educational Needs of your target audience include any education that will 'fill' or address the professional practice gap(s) that you identified (from section 3, page 3). (EARL)

4. What education will address the professional practice gap(s) you identified in your target audience?

| The conference will pres | ent new findings from literature, and clir | | Specify whether this need relates to the target and tence is Knowledge, Competence and ar Performance knowledge, competence & performance |
|--|---|----------------|---|
| 2) | 8 | | |
| | Ill your target audience have in incorpo from this activity into practice? (EA2 18.0 | | v knowledge, competency, and |
| No perceived barriers ☐ Lack of money/funding ☐ Lack of time | ☐ Lack of administrative support/resources ☐ Insurance/reimbursement issues ☐ Patient compliance issues | | nsensus on professional guidelines ources for additional guidance cify: |
| Will the education provi | ded at this CME activity address an | y of these bar | rriers? Yes No |
| 192 | * | | |

Based on the Educational Needs you identified for your target audience, what are your Learning Objectives for this educational activity? Your learning objectives should be measureable, should address the educational needs that you identified for your target audience (above), and should state what the learners should be able to do as a result of this activity. Specifically, the learning objectives should state how you hope to improve the knowledge, competence, performance, and/or patient outcomes of your target audience. Use verbs such as discuss, explain, describe, analyze, compare, differentiate, examine, formulate, propose, assess, measure, select, and choose. The word 'understand' should not be used. The number of objectives is not important as long as the educational needs identified are met.

5. What will participants be able to do as a result of what they learn from this activity? What is this activity designed to change? (EA 2.3)

| | Activity Objectives or Learning Objectives: | What question will the evaluation for this activity ask to measure how successful this activity was at accomplishing this learning objective? |
|------|--|---|
| I) | The participants will be able to engage in collaboration between medical disciplines to provide comprehensive care for oncology patients; with consideration of pathology, surgical, and imaging findings. | What knowledge have you gained, and will that change your practice? |
| II) | Educate attendees on newer findings | Did you gain knowledge that will change your patient care? |
| III) | | |
| IV) | | |

| 120 | ACTI | VITY FORMAT, SOCIA | EVENTS & EUES 1 3 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 | 3 | | | |
|-----|---|---|---|-----|--|--|--|
| 6. | ☐ Case Discussions & Study ☐ Ha | estion & Answer Session Inds-on Workshops Imal Club Ormats are appropriate for | Enduring Material, specify format: Other (specify): the setting, learning objectives, and desired results | | | | |
| 7. | may not compete with or take precede planned in conjunction with CME acticontact the Office of CME for instruct None Breakfast Breakfast Dinner Reception Dinner Required Attachment #2: Your (educational or not), breaks bet day. Your Meeting Agenda new speaker(s) for each presentation long as it contains the required agenda template. CME Activity will also need to provide an Agenda(s): You are responsible | ence over the educational ever ivities may not be paid direct tions on how to fund such ever Entertainment (specify): Other (specify): must provide a detailed Meeting tween sessions, and any relater eds to include the start time, en in of the meeting. Your agendatinformation. Click here or visit ies with Multiple Meetings: I tenda for the Year that shows to for providing the Office of CMI | g Agenda for this CME activity showing all sessions social events (e.g., reception, fundraiser, etc.) for each ditime, topic/presentation title, and name(s) of the can be a brochure or other promotional material as www.utcomchatt.org/cme/application to download our f your CME activity has more than one meeting, you ne dates & times for each meeting. Changes to your E with an updated version your Meeting Agenda or your | | | | |
| 0 | Agenda for the Year if any changes are made to them after this activity is approved for CME credit. The Office of CME reserves the right to charge a fee of \$25 for each revised agenda submitted for the time it takes to recalculate the CME count and cross reference the new agenda with your application and all supporting documents. Attachment #7 (Required if 2 hours or more of CME per meeting): If your activity has 2 hours or more of CME at each meeting, you must use a Continuing Education Credit Request form to allow attendees to verify which sessions they attended. Click here or visit www.utcomchatt.org/cme/application to download our form template. B. Will a registration fee be charged? No Yes, specify amount: | | | | | | |
| 8. | | | | \$6 | | | |
| | $\overline{\mathbf{D}}$ | RZIKAABIHHPPENAZIGILAN | ATERORIES | 1 | | | |
| phy | is good to incorporate desirable physicia ysician attributes according to the Institu Check all desirable physician as | ute of Medicine and Accredit | activity where possible. Below is a list of desirable ation Council for Graduate Medical Education. | | | | |
| 9. | ACGME/ABMS competencies Patient care and procedural skills Practice-based learning & improvement Interpersonal & communication skills Medical knowledge Professionalism System-based practice | Institute of Medicine competencies Patient-centered care Interdisciplinary teamwork Evidence-based practice Quality improvement Utilizing informatics | | | | | |

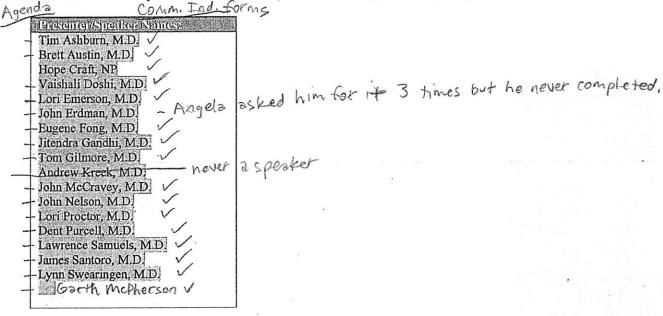
ACTIVITY PLANNERS & PRESENTERS

Enter the information requested below for all planners & speakers at this CME activity. Do <u>not</u> refer to an attachment as a substitute for typing all names into this form.

10. List the names and roles/affiliations of all planners for this activity. This includes the activity medical director(s), activity coordinator(s), planning committee member(s), and anyone else in a position of control over the planning, speaker selection, topic selection, content, agenda, implementation, evaluation, etc. for this activity.

| Angela Helmes Activity Coordinator | |
|------------------------------------|--|
| | |
| saac White Radiology Coordinator | |

11. List the names of *all speakers, presenters, moderators & anyone else with a speaking for the CME sessions of this activity. List their names in alphabetical order (by last name) and put each name on its own row.



^{*}For Case Discussion & Journal Club CME Activities – For CME activities where medical cases or journals are discussed, only list the names of the primary case/journal presenter(s); do not list the names of people who will only discuss the cases/journals presented.

DISCLOSURE POLICY

In order to ensure transparency of the people in control of this activity, all individuals in a position to control the planning, content, implementation, and/or evaluation of this activity are required to disclose relevant financial relationships (or the lack thereof) prior to the activity (853 2 1). This includes the activity medical director, activity coordinator, planners, coordinators, speakers, presenters, moderators, and anyone else in a position of control over this activity. Individuals who refuse to disclose are not allowed to be in a position of control over this activity (853 22).

The Activity Medical Director and Activity Coordinator for this activity are responsible for gathering financial disclosure information from all planners, speakers, and anyone else in position of control over this activity at least 30 days prior to the activity. The necessary disclosure information is gathered by having all planners for this activity complete a Commercial Independence form for Planners and by having the speaker(s)/presenter(s)/moderator(s) for this activity complete a Commercial Independence form for Speakers.

Required Attachment #3: You must provide a completed Commercial Independence form for every planner, speaker, and anyone else in a position of control over this activity to the UTCOM at least 30 days prior to the activity. A Commercial Independence form for Planners (click here or visit www.utcomchatt.org/cme/application to download) must be completed by each planner for this activity, and a Commercial Independence form for Speakers (click here or visit www.utcomchatt.org/cme/application to download) must be completed by everyone with a speaking role during the continuing medical education at this activity.

Attestation: I attest that I have read the Disclosure Policy above and agree to comply with it, and to have all planners & speakers for this activity complete the Commercial Independence form at least 30 days prior to the activity.

Initials of Activity Medical Director: Is Initials of Activity Coordinator:

The Disclosure Policy above ties into the following Conflict of Interest Policy:

CONTRICTEOR INTERREST POLICY

In order to ensure the objectivity, scientific rigor, and commercial independence of the people in control of this activity, any real or potential conflicts of interest must be resolved prior to the activity. (8CS 2.3) A conflict of interest exists for an CME activity when someone in a position of control over the activity has a relevant financial relationship and an opportunity to affect the content of CME in favor of a commercial interest. To aid the Office of CME in identifying and resolving any conflicts of interest for this CME activity, the Activity Medical Director and/or the Activity Coordinator must do the following at least 30 days prior to the activity:

- 1) Make a List of the Planners & Speakers that have a Relevant Financial Relationship Review the checkbox responses to the two Yes/No questions in the "Disclosure of Financial Relationships" section on each Commercial Independence form. Make a list of the names of all planners and speakers that checked the "Yes" to both questions.
- 2) Facilitate the Office of CME in Resolving Conflicts of Interest If a planner or speaker checked "Yes" to both Yes/No questions of the Commercial Independence form, then the Office of CME needs to review his/her content (for speakers) and/or contributions (for planners) to this activity for any bias that favors the commercial interest with which they have a financial relationship. For Planners who checked "Yes" to both questions, the Office of CME will need to review all aspects of this activity that they coordinated, so you will need to provide the Office of CME with a list of all aspects of the activity that were coordinated by these planners at least 30 days prior to the activity. For Speakers who checked "Yes" to both questions, the Office of CME will need to review all of their educational materials (slides, handouts, and any other literature shown/provided to learners), so you will need to provide the Office of CME with a copy of all of their educational materials (slideshows, handouts, etc) at least 30 days prior to the activity.

Any aspects of the planning, content, presentation, implementation, and/or evaluation planning for this activity that are not in compliance with the ACCME Accreditation Criteria, Standards for Commercial Support, and the ACCME Policies will be removed or resolved by the Office of CME prior to the activity.

Attestation: I attest that I have read the Conflict of Interest Policy (above) and agree to comply with it, and to notify the Office of CME about all planners & speakers that checked Yes to both questions (on the bottom of the Commercial Independence form) at least 30 days prior to the activity.

Initials of Activity Medical Director: Is Initials of Activity Coordinator:

PROMOTION OF YOUR CME ACTIVITY

Office of CME Promotion: The Office of CME will market this CME activity on our CME Opportunities webpage, CME Calendar, and bi-weekly E-newsletter by default unless you ask us not to.

 \boxtimes Check this box if you do <u>not</u> want to Office of CME to promote this CME activity.

| How will this activity be marketed or promoted to prospective | e participants? |
|---|-----------------|
|---|-----------------|

| В | TTAL PROMOTION rochure/Invitation -newsletter //cbpage | S: Email Online advertisement Other (specify): | PRINT PROMOTIONS: Invitation Save-the-Date announcement Newsletter Print Advertisement | ☐ Brochure/Flyer/Handout ☐ Poster or Signs ☐ Bulletin Board/office Memo ☐ Other (specify): |
|---|--|---|---|--|
| ز | Required Attac | hment #4: You must provide a used to promote this | draft of all marketing materials – p s CME activity. | printed and digital - that will be |

No Promotion of CME Credit Prior to Approval: Promotion of CME credit for this activity is prohibited until this application has been approved by the Office of CME. This includes (1) statements such as "CME credit has been applied for.", (2) use of the AMA Credit statement and Accreditation statement, and/or (3) mention of the UT College of Medicine's affiliation with this activity.

Review of Promotional Materials: All promotional materials for this activity – printed and digital (includes webpages) – must be approved by the Office of CME prior to distribution or use. For this reason, you should have all promotional materials for this CME activity reviewed by the Office of CME prior to having them printed or produced to avoid having to re-print or re-create any.

Required Statements: All promotional materials — printed and digital (includes webpages) — for your CME activity that mention CME credit or the University of Tennessee must contain the AMA Credit statement and Accreditation statement. Exception: Save-the-date announcements and other promotional materials that only contain general, preliminary information about the activity such as the title, date, & location are not required to include the two statements, but if more specific information such as the number of AMA PRA Category 1 CreditsTM, faculty, or learning objectives is included, the statements must be included.

| Send an email to cme@erlange | | |
|----------------------------------|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| emailed to you for use in all Pr | | |
| | | |

Attestation: I attest that I have read the policies above regarding promotion of CME activities and agree to comply with them. Initials of Activity Medical Director: IS Initials of Activity Coordinator:

PROMOTIONED INTERCOME A CHEMINA

The following policies govern the promotion of business interests during a CME activity: (EA 2-9)

- Arrangements for commercial exhibits or advertisements may <u>not</u> influence planning, interfere with the presentation, or be a condition of the provision of commercial support for CME activities. (SCS 4.1)
- Promotional exhibits/activities/interactions, advertisements, and promotional materials are prohibited in the educational space immediately before, during, and after a CME activity. (SCS 3.9)
- · 'Commercial breaks' are prohibited.
- Educational materials that are part of a CME activity such as handouts, abstracts & slides may not contain any advertising, trade name, corporate logos, or product-group messages of ACCME-defined commercial interests. (SCS 4.1)
- Printed advertisements and promotional materials may <u>not</u> be interleafed within the pages of the CME content, but they
 may face the first or last pages of printed CME content as long as these materials are not related to the CME content they
 face and are not paid for by the commercial supporters of this activity. (SCS: 4.2)
- Schedules, content descriptions, and other information that is <u>not</u> directly related to the transfer of education to the learner may include advertisements and/or product-promotion messages. (SCS 4.4)
- A commercial interest may <u>not</u> serve as the agent providing a CME activity to learners (e.g., arranging for electronic access to CME activities' or distributing self-study CME activities). (SCS 4.5)

| Attestation: I attest that | I have read the policies above regarding j | promotion of CME activities and | l agree to comply |
|----------------------------|--|---------------------------------|-------------------|
| with them. | Initials of Activity Medical Director: IS | Initials of Activity Coord | inator: AH |

| DESIRED ACTIVITY IMPACT | | | | | |
|--|--|--|--|--|--|
| What areas of professional practice do you hope to change within your target audience? (check all that apply) (EA 2-3) Knowledge Competence Performance Patient Outcomes | | | | | |
| ACTIVIT | Y EVALUATION | | | | |
| In what areas of professional practice will you measure change through your evaluations? (check all that apply) (EA2-3) Knowledge Competence Performance Patient Outcomes | | | | | |
| You are required to evaluate this educational activity (EA2-11). Only one evaluation method is required, and the Post-Activity evaluation is the most common and simpler than some of the other evaluation methods. Evaluations are used as tools to determine if the desired educational result was achieved for learners. In choosing the evaluation methods for this activity, consider the goal of the activity, the method of education, applicability of the tool, and your available resources. Vehicles for evaluating your activity include handouts, online surveys, and an audience response system. Please indicate the Evaluation method(s) that will be used to evaluate this activity: | | | | | |
| Evaluation Method(s): | Description & Rationals | | | | |
| Post-Activity Evaluation (most common) | Administered immediately after the education to measure post-activity ability. | | | | |
| ☐ Pre-Test and Exit-Test | Quizzes or case vignettes are completed by the learners prior to the activity and again immediately after, and the results of each are compared to measure what was learned. | | | | |
| ☐ Post-Test | Administered after the activity (ideally months after) to measure content retention. | | | | |
| Monitoring Performance Improvement Data Source(s): | Data about your target audience's performance in practice is collected prior to the activity and then after the activity, and the two are compared. Pre-activity performance data should be provided with this application, and then the post-activity performance data and the discussion should be provided after. | | | | |
| Monitoring National Quality of Care Indicators and Local Data Source(s): | Data about national/local quality of care indicators is collected prior to the activity and then after the activity, and the two are compared. Pre-activity performance data should be provided with this application, and then the post-activity performance data and the discussion should be provided after. | | | | |
| Other (specify): | • | | | | |
| Required Attachment #5: You will be required to selected above must be provided with your appropriate download our Post-Activity Evaluation templates | o evaluate this educational activity, and a draft of the evaluation tool(s) oplication. Click here or visit www.utcomchatt.org/cme/application to . | | | | |
| whole but also for each presentation (for activities wit | ould ask learners if they perceived commercial bias in the activity as a th multiple presentations), and should give attendees an opportunity to ample, "Did you perceive commercial bias in this [presentation OR | | | | |

Evaluations for Regularly-Scheduled Series (RSS): Evaluations must be administered at least twice a year for grand rounds and other RSS's, and your evaluations should ask attendees about every meeting of your RSS. For example, if you want to administer evaluations quarterly for your RSS, your first evaluation could ask attendees to evaluate all January-March sessions, your second evaluation could ask them to evaluate all April-June sessions, and so on. If certain meetings/sessions of your RSS are better-attended than others, administer your RSS evaluations during the meetings/sessions that have the most attendees, so that you get feedback from more attendees. Generic Evaluation: A Generic Evaluation form should be available to the attendees at every meeting in case they want to provide feedback. Click here or visit www.utcomchatt.org/cme/application to download our Generic Evaluation form template.

YES, please explain:

activity]? (circle one) NO

COMMERCIAL SUPPORT

Commercial support is monetary or in-kind contributions (for example, an educational grant) from a commercial interest used to fund all or part of the costs of a CME activity. (A <u>commercial interest</u> is an entity that produces, markets, re-sells, or distributes health care goods/services consumed by or used on patients.) Exceptions by Organization Type: Hospitals and other providers of clinical service directly to patients are <u>not</u> considered to be commercial interests. Exceptions by Income Type: Commercial exhibits and advertisements are promotional activities and not continuing medical education, so monies paid by commercial interests for these promotional activities are <u>not</u> considered commercial support (ACCAME).

| Do you plan to seek commercial support for this CME activity? | No _ | Yes, specify companies |
|---|------|------------------------|
|---|------|------------------------|

Commercial support for a CME activity is governed by the following CME policies: (EA 27, 28, & 2.10)

POLICIES GOVERNING THE RECEIPT OF COMMERCIAL SUPPORT

Grant Administration Fee: The Office of CME charges a fee of 10% (or a \$200 minimum) for each disbursement of commercial support received for this CME activity. Click here or visit www.utcomchatt.org/cme/fees for more information on CME fees.

Independence: The following aspects of your CME activity must be free from control of a commercial interest: (a) identification of needs, (b) determination of educational objectives, (c) selection and presentation of content, (d) selection of all persons and organizations that will be in a position to control the content of the CME, (e) selection of the educational methods, and (f) evaluation of the activity (EA 2-7 & 2-8; SCS 1.1). A commercial interest cannot take the role of non-accredited partner in a joint sponsorship relationship (EA 2-7; SCS 1.2). Expenses for social events or meals planned in conjunction with CME activities may not be paid directly from a commercial interest (UTCOM/Erlanger policy).

Letter of Agreement Required (EA 2-8): All commercial support for this CME activity must be given/received with the full knowledge and approval of the University of Tennessee College of Medicine (SCS 3.1 & 3.3). The terms, conditions, and purposes of the commercial support must be documented in a signed letter of agreement between (a) the commercial interest providing the financial support, (b) the UTCOM Office of CME, and (c) the educational partner organization (your organization) (SCS 3.4, 3.5 & 3.6). All letters of agreement for commercial support should be signed and provided to the Office of CME prior to the activity; you should notify the Office of CME if commercial support is being received but it is not possible to get the letter of agreement signed prior to the activity. A separate letter of agreement must be signed for each disbursement of commercial support received for this CME activity.

Attachment #8 (Required if commercial support is received): You must provide a letter of agreement for each disbursement of commercial support that is received for this CME activity. You can use a letter of agreement from the commercial interest if they have provided one, or download our letter of agreement template (click here or visit http://utcomchatt.org/docs/CME_LOA_for_Commercial_Support.doc) if they did not provide one.

Commercial Support Designations for Individuals (EA2-8: SCS 3.7): Payments to teachers or authors for honoraria and/or reimbursement of out-of-pocket expenses may not be made directly from the commercial interest; designated funds for these expenses must be paid to your organization or the UTCOM and then issued to the teacher or author (SCS 3.8). Commercial support may be used to pay for travel, lodging, honoraria, or personal expenses for bona fide employees and volunteers, but it may not be used to pay for travel, lodging, honoraria, or personal expenses for non-teacher or non-author participants of a CME activity (SCS 3.12). If teachers or authors facilitate or conduct a session but participate in the remainder of an educational event as a learner, their expenses can be reimbursed and honoraria can be paid for their teacher or author role only (SCS 3.10). No other payment shall be given to the Activity Medical Director, Activity Coordinator, or any other individuals involved with the supported activity (SCS 3.9).

Commercial Promotion Restrictions: Arrangements for commercial exhibits or advertisements may <u>not</u> influence planning, interfere with the presentation, or be a condition of the provision of commercial support for CME activities. 'Commercial breaks' are prohibited. A commercial interest may <u>not</u> serve as the agent providing a CME activity to learners (e.g., arranging for electronic access to CME activities or distributing self-study CME activities). Also see the *Promotion During your CME Activity* section on page 8 of this application for rules governing promotion of commercial interests during a CME activity.

Disclosure to the Audience: The source of all support from commercial interests must be disclosed to learners prior to the beginning of the educational activity (SCS 6.3 & 6.5). See the "Disclosure to the Learners" section (next page) #4 for details.

<u>Attestation</u>: I attest that I have read the Commercial Support Policies (above) and agree to comply with them and notify the Office of CME immediately after commercial support is received.

Initials of Activity Medical Director: JS Initials of Activity Coordinator: AH

DISCLOSURES TO LEARNERS

In order to ensure transparency of this activity and its planners & speakers, the Activity Medical Director and Activity Coordinator are responsible for ensuring that the following disclosures are provided to learners:

- 1) Learning Objectives The Activity Learning Objectives for this activity (from page 4) should be provided to learners on a handout; ideally the same handout that provides a welcome/overview of the activity. Our Disclosures to Learners Handout template is a great starting point for creating a handout to disclose this information to the learners at your CME activity.
- 2) AMA Credit statement and Accreditation statement The AMA Credit Designation statement and Accreditation statement must be included on all Promotional Materials and in some part of the Program Materials for this activity.
 - i. Promotional Materials The two statements must be included in all Promotional Materials for this activity (except save-the-date announcements) in both print and electronic formats, and all promotional materials for this activity must be approved by the Office of CME prior to distribution. Also see the Promotion During Your CME Activity section on page 8 for more info on promotional materials for your CME activity.
 - ii. Program Materials The two statements must also be included in some part of the Program Materials for this activity in both printed and digital formats. The most logical place to disclose the AMA Credit statement & Accreditation statement in the program materials is on the handout that contains the welcome/overview and learning objectives for this activity. Our Disclosures to Learners Handout template is a great starting point for a handout to disclose this information to your learners.
 - iii. Any other materials that mention the # of AMA PRA Category 1 Credits™ The two statements must be included on any other document that references the number of AMA PRA Category 1 Credits™ designated for the activity.

Send an email to cme@erlanger.org to request to have the AMA Credit Statement and Accreditation statement emailed to you for use in all Promotional materials and Program materials for this activity.

- 3) Disclosure of the Relevant Financial Relationships (or lack thereof) for all Planners & Speakers Disclosure of the Relevant Financial Relationships (or the lack thereof) of all planners & speakers should be provided to the learners prior to the beginning of the education (SCS 6.1, 6.2 & 6.5). If a speaker checks Yes to both Yes/No questions on the bottom of page 1 of their Commercial Independence form, then they have relevant financial relationships that need to be disclosed to the audience. Disclosure should include 1) the name of the commercial interests, 2) the nature of the relationships, and 3) the content areas/focuses of the financial relationships. Disclosure must never include the use of a trade name or a product-group message (SCS 6.4). This disclosure should be provided to learners in two ways:
 - i. In Writing On a handout; ideally the same handout that contains the welcome/overview, learning objectives, and AMA Credit & Accreditation statements. Our Disclosures to Learners Handout template is a great starting point for a handout to disclose this information to the learners at your CME activity, and it explains how these disclosures need to be worded and the information that needs to be included.
 - ii. Verbally Speakers should state their relevant financial relationships (or the lack thereof) at the beginning of each presentation. Verbal disclosure is as simple as "I have no relevant financial relationships to disclose." or "I have relevant financial relationships with: [Names of Organizations and Nature of Relationships]" for speakers with relevant financial relationships.
- 4) Disclosure of any Commercial Support received for this activity (if applicable) The source (i.e., company name) and nature (e.g., financial vs. in-kind) of all commercial support must be disclosed to learners in writing prior to the beginning of the educational activity (SCS 6.3 & 6.3). Disclosure of commercial support must never include a logo or the use of a trade name or product-group message (SCS 6.3). The most logical place to disclose commercial support is on the handout that contains the welcome/overview, learning objectives, AMA Credit & Accreditation statements, etc. Our Disclosures to Learners Handout template is a great starting point for a handout to disclose this information to the learners at your CME activity, and it explains how the disclosure statements should be worded. It is also good to disclose commercial support on signage at the conference, but commercial support signage should not be displayed in the educational space.

Attachment #6: You must create a document (or multiple documents) that will be provided to the learners at this activity that discloses the information described above. Click here or visit www.utcomchatt.org/cme/application to download our Disclosures to Learners Handout template for a single handout that will provide all of these disclosures.

Attestation: I attest that I have read the Disclosures to Learners policy (above) and agree to ensure that information above is disclosed to learners where applicable.

Initials of Activity Medical Director: IS

Initials of Activity Coordinator: AH

SUPPORTING DOCUMENTATION CHECKLIST

| REQUIRED ATTACHMENTS: The following documents must be provided with this application. |
|---|
| Required in order to approve this activity for CME credit: |
| Attachment #1: Literature that acknowledges the Professional Practice Gap that you identified. (see page 3, section 3) |
| Attachment #2: A Detailed Agenda for this activity. (see page 5, section 7) Attachment #3a: A Commercial Independence form for all Planners for this activity. (see page 7, the Disclosure Policy section) |
| Required prior to the activity: |
| Attachment #3b: A Commercial Independence form for all Speakers for this activity. (see page 7, the Disclosure Policy section) |
| Attachment #4: A copy of all Marketing Materials that will be used to promote this activity. (see page 8, yellow box) Attachment #5: A draft of the Evaluation for this activity. (see page 9, yellow box) Attachment #6: A handout containing the Disclosures to Learners for this activity. (see page 11, yellow box) |
| Other Attachments that are Required When Applicable: |
| Attachment #7: A Continuing Education Credit Request form for activities with 2 hours or more of CME per meeting. (see page 5, section 7) |
| Attachment #8: A Letter of Agreement for Commercial Support if any is received. (see page 10, yellow box) |
| Visit www.utcomchatt.org/cme/application to download templates and other useful application resources. |
| Educational Tools – Consider supplemental educational learning tools for post-activity use. Examples include algorithms, patient education tools, pocket references, posters for clinical use, stickers for clinical reminders, etc. Please list any additional educational tools that participants can use after the educational activity (does not include presentation handouts): Other (please specify): |
| ATTESTATION & SIGNATURES |
| I understand that the UT College of Medicine is committed to providing continuing medical education programs that are evidence-based, objective, scientifically-supported, balanced, and free from commercial bias, and that the policies outlined in this application work toward that goal. I attest that I have the authority to administer this activity on behalf of the Host Organization identified and that the information & documents provided in/with this application are complete & accurate to the best of my knowledge. Furthermore, I agree to comply with all policies in this application. Signature of Activity Medical Director: Date: 5/18/15 Signature of Activity Coordinator: Date: 5/18/15 |
| OFFICE OF CME USE ONLY Office of CME Reviewer: Sponsorship Type: Direct Joint Notes: Approved for: AMA PRA Category: 1 Credits TM x 52 meetings Other credit: CME Director Date CME Physician Advisor/ CME Associate Dean Date |



IT IS ORDERED as set forth below.

Date: January 7, 2016

Paul W. Bonapfel U.S. Bankruptcy Court Judge

Poul W Brought

IN THE UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF GEORGIA ROME DIVISION

| IN RE: |) | CHAPTER 11 |
|---------------------------------|---|----------------------------|
| MUTCHESON MEDICAL ODNINDD ING |) | |
| HUTCHESON MEDICAL CENTER, INC. |) | Jointly Administered Under |
| and HUTCHESON MEDICAL DIVISION, |) | CASE NO. 14-42863-pwb |
| INC., |) | - |
| |) | |
| Debtors. | | |

ORDER AND NOTICE OF LAST DATE TO FILE APPLICATIONS FOR PAYMENT OF ADMINISTRATIVE EXPENSE CLAIMS ARISING ON OR BEFORE NOVEMBER 30, 2015

The Trustee in these cases has filed a Motion to Set Administrative Claims Bar Date (the "Motion") [Doc. 433]. The Court having determined that is appropriate to set a bar date, it is,

ORDERED AND NOTICE IS HEREBY GIVEN, as follows:

1. All individuals and entities who provided goods, services, or other consideration to the Debtors on or after November 20, 2014 (the "Petition Date") and who assert that they have not been paid in full ("Post-Petition Claimants"), except those listed in paragraph 5 below, must file a request for allowance of their claims substantially in the form attached to this order (a "Request

for Claim Allowance") for all claims that arose between the Petition Date and November 30, 2015;

2. All Post-Petition Claimants, except those listed in paragraph 5 below, must file their Requests for Claim Allowance no later than March 7, 2016 (the "Administrative Bar Date"). The Request for Claim Allowance must be filed with the Clerk, United States Bankruptcy Court, Northern District of Georgia, Room 339, 600 East First St., Rome, Georgia 30161-3187 or filed electronically through the CM/ECF system no later than the Administrative Bar Date. Post-Petition Claimants must also serve a copy of any Request for Claim Allowance upon the Claims Agent at the following address:

If by overnight or hand delivery:

BMC Group
Attn: Hutcheson Medical Center, Inc. Claims Processing
300 Continental Blvd., #570
El Segundo, CA 90245

If by First Class Mail:

BMC Group
Attn: Hutcheson Medical Center, Inc. Claims Processing
PO Box 90100
Los Angeles, CA 90009

- 2. Any Post-Petition Claimant, except those listed in paragraph 5 below, who does not file a Request for Claim Allowance by the Administrative Bar date may be forever barred from any recovery for any such claim.
- 4. This Order does **not** apply to the following claimants, who are **not** required to submit a Request for Claim Allowance by the Administrative Bar Date:
- (a) current or former **employees** of the Debtors with respect to claims arising out of their employment;

- (b) current or former patients of the Debtors with respect to claims airing out of medical treatment received from the Debtors,
- (c) claimants asserting claims under 11 U.S.C. § 503(b)(9) for the value of goods provided to the Debtors within 20 days before the Petition Date;
- (d) claimants requesting compensation and reimbursement of expenses under 11 U.S.C. §§ 330(a) and 503(b)(2);
- (e) creditors who have filed a proof of claim asserting a claim against the Debtors that arose before the Petition Date and who do not contend that they have a claim arising after the Petition Date;
- (f) any claimant listed as undisputed on the List of Post-Petition Claims filed by the Trustee pursuant to paragraph 5; and
 - (g) claimants asserting any claims arising on or after December 1, 2015.
- 5. The Trustee shall within seven days from the date hereof file a list of Post-Petition Claims that the Debtors' books and records show are unpaid as of November 30, 2015. This list will be available through BMC Group, the Trustee's claim agent (the "Claims Agent") on its website www.bmcgroup.com and accessible to all parties that receive notice of this Order and Notice. The Trustee shall identify the claims on said list that are not disputed. Any Post-Petition Claimant included on the Trustee's list, but who disputes the claim amount must file a Request for Claim Allowance. Any claims listed as undisputed shall be allowed as administrative expenses subject to later objection by the Trustee or any other party in interest.

Counsel for the Trustee shall promptly serve a copy of this Order and Notice on (a) the Office of the United States Trustee, (b) all other interested parties requesting notice, (c) all parties listed on the creditors matrix maintained in this case, and (d) any other party who has transacted

business with the Debtors' estates post-petition with respect to which (i) the Debtors' records do not reflect payment in full or (ii) the party, by written communication to the Debtors, has disputed that it has been paid in full. Counsel for the Trustee shall file a certificate of such service.

[END OF DOCUMENT]

1

Prepared and presented by:

SCROGGINS & WILLIAMSON, P.C.

/s/ J. Robert Williamson
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J. HAYDEN KEPNER, JR.
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