

EXHIBIT C

JOHN J. GLADE

CRD# 1763846

Currently employed by and registered with the following FINRA Firms:**TEJAS SECURITIES GROUP, INC.**

8226 BEE CAVES ROAD

AUSTIN, TX 78746

CRD# 36705

Registered with this firm since: 12/22/1997

Report Summary for this Broker

The report summary provides an overview of the broker's professional background and conduct. The individual broker, a FINRA-registered firm(s), and/or securities regulator(s) have provided the information contained in this report as part of the securities industry's registration and licensing process. The information contained in this report was last updated by the broker, a previous employing brokerage firm, or a securities regulator on 04/29/2010.

Broker Qualifications**This broker is registered with:**

- 2 Self-Regulatory Organizations
- 34 U.S. states and territories

Is this broker currently suspended or inactive with any regulator? **No**

This broker has passed:

- 1 Principal/Supervisory Exam
- 1 General Industry/Product Exam
- 1 State Securities Law Exam

Registration and Employment History

This broker was previously registered with the following FINRA member firms:

APS FINANCIAL CORPORATION

CRD# 10033

AUSTIN, TX

07/1990 - 12/1997

MABON, NUGENT & CO.

CRD# 2617

04/1988 - 05/1990

For additional registration and employment history details as reported by the individual broker, refer to the Registration and Employment History section of this report.

Disclosure of Customer Disputes, Disciplinary, and Regulatory Events

This section includes details regarding disclosure events reported by or about this broker to CRD as part of the securities industry registration and licensing process. Examples of such disclosure events include formal investigations and disciplinary actions initiated by regulators, customer disputes, certain criminal charges and/or convictions, as well as financial disclosures, such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs), states and U.S. territories the broker is currently registered and licensed with, the category of each registration, and the date on which the registration became effective. This section also provides the physical location of each branch that the individual broker is associated with for each listed employment.

This individual is currently registered with 2 SROs and is licensed in 34 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **TEJAS SECURITIES GROUP, INC.**

Main Office Address: **8226 BEE CAVES ROAD
AUSTIN, TX 78746**

Firm CRD#: **36705**

SRO	Category	Status	Date
FINRA	General Securities Principal	APPROVED	12/22/1997
FINRA	General Securities Representative	APPROVED	12/22/1997
FINRA	Investment Banking Representative	APPROVED	04/29/2010
NASDAQ Stock Market	General Securities Principal	APPROVED	07/12/2006
NASDAQ Stock Market	General Securities Representative	APPROVED	07/12/2006

U.S. State/ Territory	Category	Status	Date	U.S. State/ Territory	Category	Status	Date
Alabama	Agent	APPROVED	09/15/2005	Illinois	Agent	APPROVED	12/23/1997
Arizona	Agent	APPROVED	12/23/1997	Iowa	Agent	APPROVED	09/15/2005
Arkansas	Agent	APPROVED	07/01/1998	Kansas	Agent	APPROVED	09/15/2005
California	Agent	APPROVED	12/20/1997	Kentucky	Agent	APPROVED	09/15/2005
Colorado	Agent	APPROVED	12/23/1997	Maryland	Agent	APPROVED	12/23/1997
Connecticut	Agent	APPROVED	12/23/1997	Massachusetts	Agent	APPROVED	01/06/1998
District of Columbia	Agent	APPROVED	09/15/2005	Michigan	Agent	APPROVED	09/15/2005
Florida	Agent	APPROVED	12/24/1997	Minnesota	Agent	APPROVED	01/07/1998
Georgia	Agent	APPROVED	01/06/1998	Mississippi	Agent	APPROVED	09/15/2005
				Nebraska	Agent	APPROVED	09/15/2005

Broker Qualifications



Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
New Jersey	Agent	APPROVED	02/02/1998
New Mexico	Agent	APPROVED	09/15/2005
New York	Agent	APPROVED	03/03/1998
North Carolina	Agent	APPROVED	09/21/2005
Ohio	Agent	APPROVED	09/16/2005
Oklahoma	Agent	APPROVED	09/15/2005
Oregon	Agent	APPROVED	12/22/1997
Pennsylvania	Agent	APPROVED	12/23/1997
South Carolina	Agent	APPROVED	09/15/2005
South Dakota	Agent	APPROVED	09/15/2005
Texas	Agent	APPROVED	12/22/1997
Utah	Agent	APPROVED	09/15/2005
Virginia	Agent	APPROVED	09/15/2005
Washington	Agent	APPROVED	12/22/1997
Wisconsin	Agent	APPROVED	02/18/1998

Branch Office Locations

TEJAS SECURITIES GROUP, INC.
8226 BEE CAVES ROAD
AUSTIN, TX 78746

TEJAS SECURITIES GROUP, INC.
800 3RD AVENUE
10TH FLOOR
NEW YORK, NY 10022

Broker Qualifications



Industry Exams this Broker has Passed

This individual has passed 1 principal/supervisory exam, 1 general industry/product exam, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination	Series 24	05/12/1992

General Industry/Product Exams

Exam	Category	Date
General Securities Representative Examination	Series 7	04/16/1988

State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination	Series 63	06/23/1989

Registration and Employment History



Previously Registered with the Following FINRA Firms

FINRA records show this broker previously held FINRA registrations with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
07/1990 - 12/1997	APS FINANCIAL CORPORATION	10033	AUSTIN, TX
04/1988 - 05/1990	MABON, NUGENT & CO.	2617	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with a FINRA firm and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment Dates	Employer Name	Employer Location
12/1997 - Present	TEJAS SECURITIES GROUP, INC.	AUSTIN, TX

Affiliations

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

KEEPERS MENSWEAR-PARTNER, MEZZALUPA RESTAURANT-PARTNER, REED'S SUPPER CLUB - PARTNER - MAY RECEIVE DIVIDENDS AND PARTNERSHIP DISTRIBUTIONS - NON-SECURITIES RELATED.