

**TRANSMITTAL OF QUARTERLY
POST CONFIRMATION REPORT WITH CERTIFICATION
FOR THE QUARTER ENDED: (September 30, 2012)**

In re:) Case No. 06-51848 (Jointly Administered)
)
CEP Holdings, LLC, et al.¹) Chapter 11
)
Debtors.) Judge: Marilyn Shea-Stonum

The CEP Liquidating Trust, as successor in interest to the Debtors, affirms that:

1. The attached Chapter 11 Post Confirmation Report for the quarter ended September 30, 2012, which includes the **Total Disbursement for Quarter**, the **Summary of Amounts Distributed Under the Plan**, and the **Summary of Status on Consummation of Plan** has been reviewed and the report as prepared fairly and accurately reflects the debtor's complete disbursement/distribution activity and status for the period stated.

2. The individual responsible for preparing the attached report was Shaun Martin whose title is Liquidating Trustee. Any questions regarding the attached report should be directed to Shaun at telephone number 917-757-4974.

3 . The debtor is in compliance with the provisions of the confirmed Chapter 11 Plan except as listed below (Attach additional documentation if necessary):N/A
_____.

4. The undersigned is authorized to file this report on behalf of the debtor.

It is certified hereby, under penalty of perjury, that the information provided herein is true and correct to the best of my knowledge and belief.

Debtor

Dated: October 23, 2012

By: _____
Signature

Shaun Martin
Typed or printed name

Liquidating Trustee
Title

¹ The Debtors are: (i) CEP Holdings, LLC; (ii) Creative Engineered Polymer Products, LLC; and (iii) Thermoplastics Acquisition, LLC

**CHAPTER 11 POST CONFIRMATION REPORT
FOR QUARTER ENDED (September 30, 2012)**

Debtor: CEP Holdings, LLC, et al.¹

Case No.: 06-51848 (Jointly Administered)

Total Disbursements for Quarter

ALL disbursements made by the debtor during the current quarter, whether under the plan or not, must be accounted for and reported herein for purposes of calculating quarterly fees.

Total Disbursements: \$ 8,928

Summary of Amounts Distributed Under the Plan:

	Current Quarter	POST Paid to Date	Balance Due
A. Fees and Expenses:			
1. Trustee Compensation	0	202,631	0
2. Fee for Attorney for Trustee	634	403,806	0
3. Fee for Attorney for Debtor	0	531,468	0
4. Other professionals	8,294	817,970	0
5. All expenses, including trustee	0	332,077	0
B. Distributions:			
6. Secured Creditors	0	2,596,774	0
7. Priority Creditors	0	694,159	0
8. Unsecured Creditors	0	7,936,995	0
9. Equity Security Holders	0	0	0
10. Other Payments or Transfers	0	0	0
Administrative Liquidating Distribution	0	859,074	0
Total Plan Payments (Sum of Lines I -IO)	\$ 8,294	\$14,374,303	\$ 0

Summary of Status on Consummation of Plan

Plan payments are current: Yes No

If no, attach explanatory statement identifying payments not made (by creditor, amount, and date due), reason for non-payment, and an estimated date as to when payments will be brought current.

Quarterly fees due to the United States Trustee are current: Yes No

Anticipated date of final report/motion for final decree: TBD

¹ The Debtors are: (i) CEP Holdings, LLC; (ii) Creative Engineered Polymer Products, LLC; and (iii) Thermoplastics Acquisition, LLC

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2007		2008				2009			
	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
A. Fees & Expenses										
1 Trustee Compensation	-	29,282	23,576	21,085	33,007	15,081	7,660	7,890	8,185	-
2 Fee for Attorney for Trustee	-	110,842	96,277	27,835	94,833	30,317	1,426	2,363	-	2,706
3 Fee for Attorney for Debtor	254,250	267,761	-	-	9,456	-	-	-	-	-
4 Other Professionals	307,575	327,011	23,163	10,747	1,508	37,458	8,250	3,279	2,336	2,465
5 All expenses, including Trustee	187,796	33,009	53,205	3,867	10,694	5,815	9,764	7,209	1,334	10,073
B. Distributions										
6 Secured Creditors	2,562,888	-	-	-	33,886	-	-	-	-	-
7 Priority Creditors	-	-	-	360,084	334,075	-	-	-	-	-
8 Unsecured Creditors	-	-	-	-	7,936,995	-	-	-	-	-
9 Equity Security Holders	-	-	-	-	-	-	-	-	-	-
10 Other payments and Transfers	394,210	311,973	42,163	44,448	18,710	-	-	-	-	23,255
	<u>3,706,719</u>	<u>1,079,879</u>	<u>238,385</u>	<u>468,066</u>	<u>8,473,165</u>	<u>88,671</u>	<u>27,100</u>	<u>20,740</u>	<u>11,854</u>	<u>38,499</u>

Period to Date

A. Fees & Expenses										
1 Trustee Compensation		29,282	52,859	73,944	106,951	122,032	129,692	137,581	145,766	145,766
2 Fee for Attorney for Trustee		110,842	207,120	234,955	329,787	360,105	361,531	363,893	363,893	366,599
3 Fee for Attorney for Debtor	254,250	522,011	522,011	522,011	531,468	531,468	531,468	531,468	531,468	531,468
4 Other Professionals	307,575	634,586	657,749	668,496	670,003	707,461	715,712	718,991	721,326	723,792
5 All expenses, including Trustee	187,796	220,805	274,010	277,877	288,571	294,387	304,151	311,360	312,693	322,766
B. Distributions										
6 Secured Creditors	2,562,888	2,562,888	2,562,888	2,562,888	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774
7 Priority Creditors		-	-	360,084	694,159	694,159	694,159	694,159	694,159	694,159
8 Unsecured Creditors		-	-	-	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995
9 Equity Security Holders		-	-	-	-	-	-	-	-	-
10 Other payments and Transfers	394,210	706,183	748,346	792,794	811,504	811,504	811,504	811,504	811,504	834,759
	<u>3,706,719</u>	<u>4,786,598</u>	<u>5,024,983</u>	<u>5,493,049</u>	<u>13,966,214</u>	<u>14,054,885</u>	<u>14,081,986</u>	<u>14,102,726</u>	<u>14,114,580</u>	<u>14,153,079</u>

1 Trustee Compensation										
Huron Consulting - Post Confirmation		29,282	23,576	21,085	33,007	15,081	7,660	7,890	8,185	-
Winter Harbor - Post Confirmation		-	23,576	21,085	33,007	15,081	7,660	7,890	8,185	-
		<u>29,282</u>	<u>23,576</u>	<u>21,085</u>	<u>33,007</u>	<u>15,081</u>	<u>7,660</u>	<u>7,890</u>	<u>8,185</u>	<u>-</u>
2 Fee for Attorney for Trustee										
McGuire Woods - Post Confirmation		110,842	96,277	27,835	94,833	30,317	1,426	2,363	-	2,706
		<u>110,842</u>	<u>96,277</u>	<u>27,835</u>	<u>94,833</u>	<u>30,317</u>	<u>1,426</u>	<u>2,363</u>	<u>-</u>	<u>2,706</u>

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2007		2008				2009				
	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	
3 Fee for Attorney for Debtor Baker Hostetler	284,660	267,761			9,456			-	-	-	-
	<u>284,660</u>	<u>267,761</u>	<u>-</u>	<u>-</u>	<u>9,456</u>	<u>-</u>		<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
4 Other Professionals											
Mcquire Woods	138,308	125,790									
Huron	30,200	94,535									
Grant Thornton	1,995	12,118									
BMC	106,662	94,569	23,163	10,747	1,508	37,458	8,250	3,279	2,336	2,465	
Warfield & Company											
	<u>277,165</u>	<u>327,011</u>	<u>23,163</u>	<u>10,747</u>	<u>1,508</u>	<u>37,458</u>	<u>8,250</u>	<u>3,279</u>	<u>2,336</u>	<u>2,465</u>	

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2007		2008				2009			
	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
5 All expenses, including Trustee										
7th Avenue MGMT	10,858	5,104	13,595		4,800					
Paychex	10,217									
Anthem	90,673									
Delta Dental	1,881									
Joseph Mallak	13,875									
Terry Zagar	865			300						
Susan Cook	1,677									
Century Mold	15,694									
Columbia Gas	2,514									
Dominion	19,979									
US Trustee	5,500		17,123	2,000	4,880	4,889	8,772	649	650	650
Paceline Business	750									
Bank Charges	11,384	240	28	55	28					
EDS Canada	825									
AT&T	398	145								
Verizon Wireless	394	311	453							
Windstream	313	1,296	696							
The UPS Store		235					66	66	66	66
Profile Packaging		4,327								
Refunds - Wrong Bank Account		19,591								
Connie Mourad		1,500	1,613							
AMM Services					60					
Medical Reimbursement		260	1,446	1,512						
Western Mini Storage			1,548		927	927	927	927	618	1,005
Moiser Industrial			16,704					5,568	-	8,352
Great Lakes Record Center										
Mansfield Commerce Center										
USPS										
	<u>187,797</u>	<u>33,009</u>	<u>53,205</u>	<u>3,867</u>	<u>10,694</u>	<u>5,815</u>	<u>9,764</u>	<u>7,209</u>	<u>1,334</u>	<u>10,073</u>
B. Distributions										
6 Secured Creditors										
General Motors	1,212,882									
Delphi	847,794									
Visteon	502,211									
Liquidating Distribution					33,886					
	<u>2,562,887</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>33,886</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
7 Priority Creditors										
Specialty Risk Services				5,420						
Integrays Energy Services of New York				20,693						

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2007		2008				2009				
	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	
State of Michigan Honda of America Mfg Liquidating Distribution				63,600							
				270,372							
					334,075						
	-	-	-	360,084	334,075	-	-	-	-	-	-
8 Unsecured Creditors Liquidating Distribution					7,936,995						
	-	-	-	-	7,936,995	-	-	-	-	-	-
9 Equity Security Holders											
	-	-	-	-	-	-	-	-	-	-	-

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2007		2008				2009			
	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
10 Other payments and Transfers										
Carlisle - Rubber Chemical Refund	74,987									
Union Paychecks	317,000									
Paychex	2,223									
Carlisle		243,123								
Tuscalossa County Tax Collector		5,785								
State of Ohio										23,255
NL Ventures		63,064								
Kautex			17,860							
Lan Meng			2,175							
Paramount Stamping			22,128							
Wachovia Bank				44,448						
Administrative - Liquidating Distribution					18,710					
	<u>394,210</u>	<u>311,973</u>	<u>42,163</u>	<u>44,448</u>	<u>18,710</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>23,255</u>

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2010				2011				2012			
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	
A. Fees & Expenses												
1 Trustee Compensation	8,343	2,790	3,735	11,610	4,950	2,340	7,245	9,000	2,835	4,017	-	
2 Fee for Attorney for Trustee	28,233	1,145	512	-	-	495	2,138	1,914	-	2,137	634	
3 Fee for Attorney for Debtor	-	-	-	-	-	-	-	-	-	-	-	
4 Other Professionals	25,330	7,778	6,427	15,782	14,527	2,968	3,508	7,178	1,040	1,345	8,294	
5 All expenses, including Trustee	6,125	2,464	66	66	66	110	90	93	-	230	-	
B. Distributions												
6 Secured Creditors	-	-	-	-	-	-	-	-	-	-	-	
7 Priority Creditors	-	-	-	-	-	-	-	-	-	-	-	
8 Unsecured Creditors	-	-	-	-	-	-	-	-	-	-	-	
9 Equity Security Holders	-	-	-	-	-	-	-	-	-	-	-	
10 Other payments and Transfers	24,298	-	-	-	-	-	-	-	-	-	-	
	<u>92,330</u>	<u>14,177</u>	<u>10,740</u>	<u>27,458</u>	<u>19,543</u>	<u>5,913</u>	<u>12,980</u>	<u>18,185</u>	<u>3,875</u>	<u>7,729</u>	<u>8,928</u>	

Period to Date

A. Fees & Expenses											
1 Trustee Compensation	154,109	156,899	160,634	172,244	177,194	179,534	186,779	195,779	198,614	202,631	202,631
2 Fee for Attorney for Trustee	394,832	395,977	396,489	396,489	396,489	396,984	399,121	401,035	401,035	403,172	403,806
3 Fee for Attorney for Debtor	531,468	531,468	531,468	531,468	531,468	531,468	531,468	531,468	531,468	531,468	531,468
4 Other Professionals	749,122	756,900	763,328	779,110	793,637	796,605	800,113	807,291	808,332	809,676	817,970
5 All expenses, including Trustee	328,891	331,356	331,422	331,488	331,554	331,664	331,754	331,847	331,847	332,077	332,077
B. Distributions											
6 Secured Creditors	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774	2,596,774
7 Priority Creditors	694,159	694,159	694,159	694,159	694,159	694,159	694,159	694,159	694,159	694,159	694,159
8 Unsecured Creditors	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995	7,936,995
9 Equity Security Holders	-	-	-	-	-	-	-	-	-	-	-
10 Other payments and Transfers	859,057	859,057	859,057	859,057	859,057	859,057	859,057	859,057	859,057	859,057	859,057
	<u>14,245,409</u>	<u>14,259,586</u>	<u>14,270,326</u>	<u>14,297,784</u>	<u>14,317,327</u>	<u>14,323,240</u>	<u>14,336,221</u>	<u>14,354,406</u>	<u>14,358,281</u>	<u>14,366,010</u>	<u>14,374,937</u>

1 Trustee Compensation											
Huron Consulting - Post Confirmation	8,343	2,790	3,735	11,610	4,950	2,340	7,245	9,000			
Winter Harbor - Post Confirmation									2,835	4,017	
	<u>8,343</u>	<u>2,790</u>	<u>3,735</u>	<u>11,610</u>	<u>4,950</u>	<u>2,340</u>	<u>7,245</u>	<u>9,000</u>	<u>2,835</u>	<u>4,017</u>	<u>-</u>
2 Fee for Attorney for Trustee											
McGuire Woods - Post Confirmation	28,233	1,145	512	-	-	495	2,138	1,914	-	2,137	634
	<u>28,233</u>	<u>1,145</u>	<u>512</u>	<u>-</u>	<u>-</u>	<u>495</u>	<u>2,138</u>	<u>1,914</u>	<u>-</u>	<u>2,137</u>	<u>634</u>

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2010				2011				2012		
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr
3 Fee for Attorney for Debtor Baker Hostetler	-	-	-	-	-	-	-	-	-	-	-
4 Other Professionals											
Mcquire Woods											
Huron											
Grant Thornton											
BMC	1,548	2,387	1,195	1,719	1,201	674	355	1,656	1,040	1,345	-
Warfield & Company	23,782	5,391	5,232	14,063	13,326	2,294	3,153	5,522	-	-	8,294
	<u>25,330</u>	<u>7,778</u>	<u>6,427</u>	<u>15,782</u>	<u>14,527</u>	<u>2,968</u>	<u>3,508</u>	<u>7,178</u>	<u>1,040</u>	<u>1,345</u>	<u>8,294</u>

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2010				2011				2012		
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr
5 All expenses, including Trustee											
7th Avenue MGMT											
Paychex											
Anthem											
Delta Dental											
Joseph Mallak											
Terry Zagar											
Susan Cook											
Century Mold											
Columbia Gas											
Dominion											
US Trustee	650	650	-	-	-	-	-	-	-	-	-
Paceline Business											
Bank Charges								3		80	
EDS Canada											
AT&T											
Verizon Wireless											
Windstream											
The UPS Store	66	66	66	66	66	66	90	90	-	150	-
Profile Packaging											
Refunds - Wrong Bank Account											
Connie Mourad											
AMM Services											
Medical Reimbursement											
Western Mini Storage	633	-	-	-	-	-	-	-	-	-	-
Moiser Industrial	2,784	-	-	-	-	-	-	-	-	-	-
Great Lakes Record Center	1,990	-			-	-			-	-	
Mansfield Commerce Center		1,748									
USPS						44				-	
	<u>6,123</u>	<u>2,464</u>	<u>66</u>	<u>66</u>	<u>66</u>	<u>110</u>	<u>90</u>	<u>93</u>	<u>-</u>	<u>230</u>	<u>-</u>
B. Distributions											
6 Secured Creditors											
General Motors											
Delphi											
Visteon											
Liquidating Distribution											
	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
7 Priority Creditors											
Specialty Risk Services											
Integrays Energy Services of New York											

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2010				2011				2012		
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr
State of Michigan											
Honda of America Mfg Liquidating Distribution	-	-	-	-	-	-	-	-	-	-	-
8 Unsecured Creditors Liquidating Distribution	-	-	-	-	-	-	-	-	-	-	-
9 Equity Security Holders	-	-	-	-	-	-	-	-	-	-	-

**CEP
Disbursement Summary
Post Confirmation (8/17/07)**

	2010				2011				2012		
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr
10 Other payments and Transfers											
Carlisle - Rubber Chemical Refund											
Union Paychecks											
Paychex											
Carlisle											
Tuscalossa County Tax Collector	24,298										
State of Ohio											
NL Ventures											
Kautex											
Lan Meng											
Paramount Stamping											
Wachovia Bank											
Administrative - Liquidating Distribution											
	24,298	-	-	-	-	-	-	-	-	-	-

**CEP Products and Thermoplastics
Cash Disbursement Listing
July 1 to September 30, 2012**

Date	SUPPLIER	Ref	Trust Accounts	Disbursement Amount	Expenses	Adm Claims	Rent	Professional Fees	Trustee Fees	Attorney for Trustee	Customer Refunds	US Trustee
04/30/12	Warfield & Company	Ck 315	8,293.60	8,293.60				8,293.60				
05/31/12	McGuireWoods	Ck 316	634.00	634.00						634.00		
			<u>8,927.60</u>	<u>8,927.60</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>8,293.60</u>	<u>-</u>	<u>634.00</u>	<u>-</u>	<u>-</u>
July			-	-	-	-	-	-	-	-	-	-
August			-	-	-	-	-	-	-	-	-	-
September			8,927.60	8,927.60	-	-	-	8,293.60	-	634.00	-	-
Total			<u>8,927.60</u>	<u>8,927.60</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>8,293.60</u>	<u>-</u>	<u>634.00</u>	<u>-</u>	<u>-</u>

Search Criteria
Transaction: All
Time Period: All Dates
Date: 30-Sep-12

History data is available for a maximum of 2 years.

Date	Funds from Citizens - Net Proceeds from overpayment on Wachovia Line	Ref	Money Market Account					Investment Account				Total Value
			Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance	
08/17/07	Funds from Citizens - Net Proceeds from overpayment on Wachovia Line	Wire in	\$4,188,256.61				\$4,188,256.61					\$4,188,256.61
08/17/07	Funds from Wachovia - Transfer of Mexican Funds Account	Wire in	5,579,711.15				\$9,767,967.76				\$0.00	\$9,767,967.76
08/20/07	Asset Management Account Setup	Fee		20.00			\$9,767,947.76				\$0.00	\$9,767,947.76
08/20/07	Check Order Fee	Fee		50.00			\$9,767,897.76				\$0.00	\$9,767,897.76
08/20/07		Transfer			(\$7,984,006.00)		\$1,783,891.76	\$7,984,006.00			\$7,984,006.00	\$9,767,897.76
08/29/07	Funds from CEP Operating Account - Balance	Wire in	11,152.00				\$1,795,043.76				\$7,984,006.00	\$9,779,049.76
08/30/07	Anthem Blue Cross & Blue Shield	Wire out		59,000.00			\$1,736,043.76				\$7,984,006.00	\$9,720,049.76
08/30/07	Investors Capital - Wire Fee	Fee		27.50			\$1,736,016.26				\$7,984,006.00	\$9,720,022.26
08/31/07	Interest Income	Interest	\$8,891.68				\$1,744,907.94				\$7,984,006.00	\$9,728,913.94
09/06/07	Anthem Blue Cross & Blue Shield	Wire out		21,504.78			\$1,723,403.16				\$7,984,006.00	\$9,707,409.16
09/06/07	Investors Capital - Wire Fee	Fee		27.50			\$1,723,375.66				\$7,984,006.00	\$9,707,381.66
09/20/07	Baker Hostetler - Professional Fees	Wire out		79,938.52			\$1,643,437.14				\$7,984,006.00	\$9,627,443.14
09/20/07	McGuire Woods - Professional Fees	Wire out		49,528.45			\$1,593,908.69				\$7,984,006.00	\$9,577,914.69
09/20/07	Huron Consulting - Professional Fees	Wire out		10,421.44			\$1,583,487.25				\$7,984,006.00	\$9,567,493.25
09/20/07	Investors Capital - Wire Fee	Fee		27.50			\$1,583,459.75				\$7,984,006.00	\$9,567,465.75
09/20/07	Investors Capital - Wire Fee	Fee		27.50			\$1,583,432.25				\$7,984,006.00	\$9,567,438.25
09/20/07	Investors Capital - Wire Fee	Fee		27.50			\$1,583,404.75				\$7,984,006.00	\$9,567,410.75
09/20/07	Investors Capital - Bond Redemption Fee	Fee		20.00			\$1,583,384.75				\$7,984,006.00	\$9,567,390.75
09/20/07	Investors Capital - Interest Income						\$1,583,384.75			\$15,994.00	\$8,000,000.00	\$9,583,384.75
09/20/07	Investors Capital - Bond Redemption				8,000,000.00		\$9,583,384.75			(\$8,000,000.00)	\$0.00	\$9,583,384.75
09/21/07	Fixed Income Purchase				(8,960,074.53)		\$623,310.22	\$8,960,074.53			\$8,960,074.53	\$9,583,384.75
09/25/07	Paychex	Wire out		2,223.00			\$621,087.22				\$8,960,074.53	\$9,581,161.75
09/25/07	Visteon - Final Payment due	Wire in	97,788.82				\$718,876.04				\$8,960,074.53	\$9,678,950.57
09/25/07	American Red Cross	Deposit	205.07				\$719,081.11				\$8,960,074.53	\$9,679,155.64
09/25/07	Wire Fee	Fee		27.50			\$719,053.61				\$8,960,074.53	\$9,679,128.14
09/28/07	Interest Income	Interest	7,730.10				\$726,783.71				\$8,960,074.53	\$9,686,858.24
09/30/07	Fixed Income Account - Valuation Change						\$726,783.71			(\$13,714.53)	\$8,946,360.00	\$9,673,143.71
10/23/07	BBK Trust Funds - Balance from Participating Customers	Wire in	307,000.00				\$1,033,783.71				\$8,946,360.00	\$9,980,143.71
10/23/07	AT&T Refund	Deposit	0.58				\$1,033,784.29				\$8,946,360.00	\$9,980,144.29
10/23/07	Jeff Norris - Purchase of Ford Taurus	Deposit	400.00				\$1,034,184.29				\$8,946,360.00	\$9,980,544.29
10/23/07	Reserve Group - Purchase of Phone System	Deposit	2,000.00				\$1,036,184.29				\$8,946,360.00	\$9,982,544.29
10/23/07	E.I. Dupont De Nemours & Company - Refund on Chapter 11	Deposit	47,282.10				\$1,083,466.39				\$8,946,360.00	\$10,029,826.39
10/25/07	Lanxess Corp - Refund on Chapter 11 Payments	Wire in	109,282.92				\$1,192,749.31				\$8,946,360.00	\$10,139,109.31
10/30/07	Wachovia Bank	Wire in	27,636.95				\$1,220,386.26				\$8,946,360.00	\$10,166,746.26
10/30/07	AT&T - Telephone	Ck 103		145.20			\$1,220,241.06				\$8,946,360.00	\$10,166,601.06
10/31/07	Interest Income	Interest	2,855.74				\$1,223,096.80				\$8,946,360.00	\$10,169,456.80
10/31/07	Windstream - Telephone	Ck 102		528.56			\$1,222,568.24				\$8,946,360.00	\$10,168,928.24
10/31/07	Fixed Income Account - Valuation Change						\$1,222,568.24		\$26,280.00		\$8,946,360.00	\$10,168,928.24
11/06/07	Connie Mourad - Admin services	Ck 106 x		1,500.00			\$1,221,068.24				\$8,946,360.00	\$10,167,428.24
11/06/07	WWISP - Refund - Deposit Error	Ck 101 x		9,795.60			\$1,211,272.64				\$8,946,360.00	\$10,157,632.64
11/06/07	Carlisle - Court Order	Ck 104 x		25,483.54			\$1,185,789.10				\$8,946,360.00	\$10,132,149.10
11/06/07	Carlisle - Court Order	Ck 105 x		148,833.00			\$1,036,956.10				\$8,946,360.00	\$9,983,316.10
11/15/07	The UPS Store	Ck 107 x		235.00			\$1,036,721.10				\$8,946,360.00	\$9,983,081.10
11/15/07	Windstream	Ck 108 x		767.56			\$1,035,953.54				\$8,946,360.00	\$9,982,313.54
11/15/07	Verizon Wireless	Ck 109 x		310.52			\$1,035,643.02				\$8,946,360.00	\$9,982,003.02
11/15/07	7th Avenue Management	Ck 110 x		4,940.45			\$1,030,702.57				\$8,946,360.00	\$9,977,062.57
11/15/07	Profile Packaging	Ck 111 x		4,327.30			\$1,026,375.27				\$8,946,360.00	\$9,972,735.27
11/21/07	Baker Hostetler - Professional Fees	Wire		233,840.93			\$792,534.34				\$8,946,360.00	\$9,738,894.34
11/21/07	McGuire Woods - Professional Fees	Wire		91,016.26			\$701,518.08				\$8,946,360.00	\$9,647,878.08
11/21/07	Huron Consulting - Professional Fees	Wire		94,534.80			\$606,983.28				\$8,946,360.00	\$9,553,343.28
11/21/07	McGuire Woods - Professional Fees	Wire		113,539.22			\$493,444.06				\$8,946,360.00	\$9,439,804.06
11/21/07	Huron Consulting - Professional Fees	Wire		20,610.00			\$472,834.06				\$8,946,360.00	\$9,419,194.06

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Date	Ref	Money Market Account					Investment Account				Total Value
		Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance	
11/21/07	Grant Thornton - Professional Fees	Wire		12,117.55			\$460,716.51			\$8,946,360.00	\$9,407,076.51
11/21/07	BMC Group	Wire		94,568.95			\$366,147.56			\$8,946,360.00	\$9,312,507.56
11/21/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$366,120.06			\$8,946,360.00	\$9,312,480.06
11/21/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$366,092.56			\$8,946,360.00	\$9,312,452.56
11/21/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$366,065.06			\$8,946,360.00	\$9,312,425.06
11/21/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$366,037.56			\$8,946,360.00	\$9,312,397.56
11/21/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$366,010.06			\$8,946,360.00	\$9,312,370.06
11/29/07	Investors Capital - Bond Redemption	Bond	\$8,946,360.00				\$9,312,370.06		(\$8,946,360.00)	\$0.00	\$9,312,370.06
11/29/07	Investors Capital - Bond Redemption Fee	Bond Fee		20.00			\$9,312,350.06			\$0.00	\$9,312,350.06
11/29/07	Change in Fund Balance	Fund Bal				\$53,640.00	\$9,365,990.06			\$0.00	\$9,365,990.06
11/30/07	Interest Income	Interest	3,497.21				\$9,369,487.27			\$0.00	\$9,369,487.27
12/03/07	Tuscaloosa County Tax	Ck 112		5,784.82			\$9,363,702.45			\$0.00	\$9,363,702.45
12/03/07	NL Ventures	Ck 113		63,064.40			\$9,300,638.05			\$0.00	\$9,300,638.05
12/03/07	Carlisle Engineered Products	Ck 114		68,806.25			\$9,231,831.80			\$0.00	\$9,231,831.80
12/06/07	Automotive Rentals Inc. Refund on Chapter 11 Payments		26,359.81				\$9,258,191.61			\$0.00	\$9,258,191.61
12/10/07	Fixed Income Purchase				(8,515,943.50)		\$742,248.11	\$8,515,943.50		\$8,515,943.50	\$9,258,191.61
12/21/07	Parker Hanifinn		30,000.00				\$772,248.11			\$8,515,943.50	\$9,288,191.61
12/31/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$772,220.61			\$8,515,943.50	\$9,288,164.11
12/31/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$772,193.11			\$8,515,943.50	\$9,288,136.61
12/31/07	Investors Capital - Wire Fee	Wire Fee		27.50			\$772,165.61			\$8,515,943.50	\$9,288,109.11
12/31/07	Huron Consulting - Professional Fees			8,672.23			\$763,493.38			\$8,515,943.50	\$9,279,436.88
12/31/07	McGuire Woods - Professional Fees			32,076.61			\$731,416.77			\$8,515,943.50	\$9,247,360.27
12/31/07	Baker Hostetler - Professional Fees			33,920.41			\$697,496.36			\$8,515,943.50	\$9,213,439.86
12/31/07	Investors Capital - Interest Income		11,404.66				\$708,901.02			\$8,515,943.50	\$9,224,844.52
12/31/07	Change in Fund Balance	Fund Bal					\$708,901.02		(\$37,873.50)	\$8,478,070.00	\$9,186,971.02
12/31/07	WWISP	Ck 115		9,795.60			\$699,105.42			\$8,478,070.00	\$9,177,175.42
12/31/07	Todd Kerr	Ck 116		260.00			\$698,845.42			\$8,478,070.00	\$9,176,915.42
12/31/07	7th Avenue Management	Ck 117		162.89			\$698,682.53			\$8,478,070.00	\$9,176,752.53
01/20/08	BMS Group	Ck 118		7,953.86			\$690,728.67			\$8,478,070.00	\$9,168,798.67
01/20/08	McGuire Woods - Professional Fees	Ck 119		32,533.69			\$658,194.98			\$8,478,070.00	\$9,136,264.98
01/20/08	Huron Consulting - Professional Fees	Ck 120		7,409.00			\$650,785.98			\$8,478,070.00	\$9,128,855.98
01/20/08	Connie Mourad - Admin services	Ck 121		712.50			\$650,073.48			\$8,478,070.00	\$9,128,143.48
01/20/08	7th Avenue Management	Ck 122		6,400.00			\$643,673.48			\$8,478,070.00	\$9,121,743.48
01/20/08	Verizon Wireless	Ck 123		437.99			\$643,235.49			\$8,478,070.00	\$9,121,305.49
01/20/08	Windstream	Ck 124		646.30			\$642,589.19			\$8,478,070.00	\$9,120,659.19
01/20/08	U.S.Trustee	Ck 125		3,750.00			\$638,839.19			\$8,478,070.00	\$9,116,909.19
01/20/08	U.S.Trustee	Ck 126		250.00			\$638,589.19			\$8,478,070.00	\$9,116,659.19
01/20/08	U.S.Trustee	Ck 127		250.00			\$638,339.19			\$8,478,070.00	\$9,116,409.19
01/20/08	7th Avenue Management	Ck 128		2,395.20			\$635,943.99			\$8,478,070.00	\$9,114,013.99
01/20/08	Western Reserve Mini Storage	Ck 129		1,547.80			\$634,396.19			\$8,478,070.00	\$9,112,466.19
01/28/08	US Treasury Bond Interest		45,360.58				\$679,756.77			\$8,478,070.00	\$9,157,826.77
01/28/08					\$8,478,070.00		\$9,157,826.77		(\$8,478,070.00)	\$0.00	\$9,157,826.77
01/28/08			21,924.00				\$9,179,750.77			\$0.00	\$9,179,750.77
01/31/08	Money Market Fund		4,614.68				\$9,184,365.45			\$0.00	\$9,184,365.45
02/20/08	Kautex	Wire		17,860.00			\$9,166,505.45			\$0.00	\$9,166,505.45
02/20/08	Investors Capital - Wire Fee	Wire Fee		27.50			\$9,166,477.95			\$0.00	\$9,166,477.95
02/29/08	WWISP (void Check)	Ck 115		(9,795.60)			\$9,176,273.55			\$0.00	\$9,176,273.55
02/29/08	Change in Fund Balance					4,068.99	\$9,180,342.54			\$0.00	\$9,180,342.54
02/29/08	Investors Capital - Interest Income		16,065.72				\$9,196,408.26			\$0.00	\$9,196,408.26
03/12/08	7th Avenue Management - Rent March, April, May	Ck 130	z	4,800.00			\$9,191,608.26			\$0.00	\$9,191,608.26
03/12/08	Debra Conway (Reimburse medical - cobra cancelled 1 month early)	Ck 131	z	786.86			\$9,190,821.40			\$0.00	\$9,190,821.40
03/12/08	Windstream	Ck 132	z	49.40			\$9,190,772.00			\$0.00	\$9,190,772.00
03/12/08	Huron Consulting - Professional Fees - Jan/Feb	Ck 133	z	10,437.46			\$9,180,334.54			\$0.00	\$9,180,334.54
03/12/08	Talcom Communications - replace ck 115	Ck 134	z	9,795.60			\$9,170,538.94			\$0.00	\$9,170,538.94

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Date	Ref	Money Market Account					Investment Account				Total Value		
		Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance			
03/12/08	McGuire Woods - Professional Fees	Ck 135	z		26,951.92				\$9,143,587.02			\$0.00	\$9,143,587.02
03/20/08	McGuire Woods - Professional Fees	Ck 136	z		36,791.74				\$9,106,795.28			\$0.00	\$9,106,795.28
03/20/08	BMC Group	Ck 137	z		12,267.13				\$9,094,528.15			\$0.00	\$9,094,528.15
03/20/08	Lan Meng	Ck 138	z		2,175.00				\$9,092,353.15			\$0.00	\$9,092,353.15
03/20/08	US Trustee	Ck 139	z		12,873.27				\$9,079,479.88			\$0.00	\$9,079,479.88
03/20/08	Verizon Wireless	Ck 140	z		15.22				\$9,079,464.66			\$0.00	\$9,079,464.66
03/20/08	Mosier Industrial	Ck 141	z		16,704.00				\$9,062,760.66			\$0.00	\$9,062,760.66
03/20/08	Connie Mourad - Admin services	Ck 142	z		900.00				\$9,061,860.66			\$0.00	\$9,061,860.66
03/20/08	Debra Conway (Reimburse medical - cobra cancelled 1 month early)	Ck 143	z		659.00				\$9,061,201.66			\$0.00	\$9,061,201.66
03/20/08	Paramount Stamping	Ck 144	z		22,128.48				\$9,039,073.18			\$0.00	\$9,039,073.18
03/31/08	BMC Group	Ck 145	z		2,942.03				\$9,036,131.15			\$0.00	\$9,036,131.15
03/31/08	Huron Consulting	Ck 146	z		5,730.00				\$9,030,401.15			\$0.00	\$9,030,401.15
03/31/08	Talcom Communications - replace ck 115 - Voided				(9,795.60)				\$9,040,196.75			\$0.00	\$9,040,196.75
03/31/08	Wachovia Bank - Replaced ck 134 - to Talcom Communications	Ck 147			9,795.60				\$9,030,401.15			\$0.00	\$9,030,401.15
03/31/08	Change in Fund Balance							18,874.00	\$9,049,275.15			\$0.00	\$9,049,275.15
03/31/08	Money Market Fund			4,904.53					\$9,054,179.68			\$0.00	\$9,054,179.68
04/04/08	Purchased					(7,956,486.00)			\$1,097,693.68	\$7,956,486.00		\$7,956,486.00	\$9,054,179.68
04/09/08	Honda of America Mfg (NCB)				270,371.90				\$827,321.78			\$7,956,486.00	\$8,783,807.78
04/23/08	Wire Fee				27.50				\$827,294.28			\$7,956,486.00	\$8,783,780.28
04/23/08	Wachovia Bank (escrow)				44,448.40				\$782,845.88			\$7,956,486.00	\$8,739,331.88
04/23/08	Wire Fee				27.50				\$782,818.38			\$7,956,486.00	\$8,739,304.38
04/30/08	Change in Fund Balance								\$782,818.38		(4,646.00)	\$7,951,840.00	\$8,734,658.38
04/30/08	Money Market Fund			3,499.93					\$786,318.31			\$7,951,840.00	\$8,738,158.31
05/31/08	Specialty Risk Services	Ck 148	z		5,419.78				\$780,898.53			\$7,951,840.00	\$8,732,738.53
05/31/08	McGuire Woods - Professional Fees	Ck 149	z		7,528.29				\$773,370.24			\$7,951,840.00	\$8,725,210.24
05/31/08	Huron Consulting	Ck 150	z		14,851.71				\$758,518.53			\$7,951,840.00	\$8,710,358.53
05/31/08	Change in Fund Balance								\$758,518.53			\$7,951,840.00	\$8,710,358.53
05/31/08	Money Market Fund			945.36					\$759,463.89			\$7,951,840.00	\$8,711,303.89
06/13/08	Huron Consulting	Ck 151	z		6,233.56				\$753,230.33			\$7,951,840.00	\$8,705,070.33
06/17/08	Integrus Energy Services of NY	Ck 152	z		20,692.57				\$732,537.76			\$7,951,840.00	\$8,684,377.76
06/17/08	State of Michigan-CD	Ck 153	z		31,444.21				\$701,093.55			\$7,951,840.00	\$8,652,933.55
06/17/08	VOID	Ck 154	z						\$701,093.55			\$7,951,840.00	\$8,652,933.55
06/17/08	State of Michigan-CD	Ck 155	z		32,155.47				\$668,938.08			\$7,951,840.00	\$8,620,778.08
06/17/08	US Trustee	Ck 156	z		2,000.13				\$666,937.95			\$7,951,840.00	\$8,618,777.95
06/20/08	Debra Conway (Reimburse medical - cobra cancelled 1 month early)	Ck 157	z		1,512.00				\$665,425.95			\$7,951,840.00	\$8,617,265.95
06/26/08	Change in Fund Balance								\$665,425.95		9,774.00	\$7,961,614.00	\$8,627,039.95
06/26/08	Sale					7,961,614.00			\$8,627,039.95		(7,961,614.00)	\$0.00	\$8,627,039.95
06/27/08	Check received			6,821.23					\$8,633,861.18			\$0.00	\$8,633,861.18
06/27/08	Check received			587.91					\$8,634,449.09			\$0.00	\$8,634,449.09
06/27/08	Purchased					(7,938,486.00)			\$695,963.09	7,938,486.00		\$7,938,486.00	\$8,634,449.09
06/30/08	BMC Group	Ck 158	z		10,746.61				\$685,216.48			\$7,938,486.00	\$8,623,702.48
06/30/08	McGuire Woods - Professional Fees	Ck 159	z		20,306.73				\$664,909.75			\$7,938,486.00	\$8,603,395.75
06/30/08	Terrence M. Zagar	Ck 160	z		300.00				\$664,609.75			\$7,938,486.00	\$8,603,095.75
06/30/08	Check received			2,166.86					\$666,776.61			\$7,938,486.00	\$8,605,262.61
06/30/08	Check received			14,988.77					\$681,765.38			\$7,938,486.00	\$8,620,251.38
06/30/08	Change in Fund Balance								\$681,765.38		(\$17,526.00)	\$7,920,960.00	\$8,602,725.38
06/30/08	Money Market Fund			848.38					\$682,613.76			\$7,920,960.00	\$8,603,573.76
07/22/08	BMC Group	Ck 161	z		1,507.65				\$681,106.11			\$7,920,960.00	\$8,602,066.11
07/22/08	Huron Consulting	Ck 162	z		9,396.25				\$671,709.86			\$7,920,960.00	\$8,592,669.86
07/22/08	Baker Hostetler - Professional Fees	Ck 163	z		9,245.32				\$662,464.54			\$7,920,960.00	\$8,583,424.54
07/22/08	Baker Hostetler - Professional Fees	Ck 164	z		210.96				\$662,253.58			\$7,920,960.00	\$8,583,213.58
07/22/08	McGuire Woods - Professional Fees	Ck 165	z		28,249.55				\$634,004.03			\$7,920,960.00	\$8,554,964.03
07/22/08	7th Avenue Management	Ck 166	z		4,800.00				\$629,204.03			\$7,920,960.00	\$8,550,164.03
07/22/08	Western Reserve Mini Storage	Ck 167	z		308.85				\$628,895.18			\$7,920,960.00	\$8,549,855.18

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Date	Ref	Money Market Account					Investment Account				Total Value		
		Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance			
07/31/08													
07/31/08	Change in Fund Balance											\$7,920,960.00	\$8,549,855.18
07/31/08	Money Market Fund	791.83										\$629,687.01	\$8,574,807.01
08/12/08	Grant Thornton - Remittance		30,000.00							24,160.00		\$7,945,120.00	\$8,604,807.01
08/14/08	McGuire Woods	Ck 168	z		36,211.72							\$7,945,120.00	\$8,568,595.29
08/14/08	Huron Consulting	Ck 169	z		11,288.18							\$7,945,120.00	\$8,557,307.11
08/14/08	US Trustee	Ck 170	z		1,950.00							\$7,945,120.00	\$8,555,357.11
08/22/08	Change in Fund Balance											\$610,237.11	\$8,557,824.44
08/22/08	Sale									2,467.33		\$7,947,587.33	\$8,557,824.44
08/28/08	Disbursement				8,323,666.88					(7,947,587.33)		\$0.00	\$8,557,824.44
08/28/08	Wire Fee				27.50							\$0.00	\$234,157.56
08/28/08	Money Market Fund				1,579.99							\$0.00	\$234,130.06
08/29/08	Remittance				49,342.00							\$0.00	\$235,710.05
08/29/08	Western Reserve Mini Storage	Ck 171	z		308.85							\$0.00	\$285,052.05
08/29/08	US Trustee	Ck 172	z		2,929.92							\$0.00	\$284,743.20
09/20/08	AMM Services	Ck173	z		60.00							\$281,813.28	\$281,813.28
09/21/08	McGuire Woods	Ck174	z		30,371.39							\$0.00	\$281,753.28
09/30/08	Western Reserve Mini Storage	Ck 175	z		308.85							\$0.00	\$251,381.89
09/30/08	Huron Consulting	Ck 176	z		12,322.75							\$0.00	\$251,073.04
09/30/08	Money Market Fund				234.38							\$0.00	\$238,750.29
10/04/08	BMC Group	Ck 177	z		14,421.74							\$0.00	\$238,984.67
10/25/08	McGuire Woods	Ck 178	z		24,757.64							\$0.00	\$224,562.93
10/25/08	US Trustee	Ck 179	z		4,888.90							\$0.00	\$199,805.29
10/25/08	Huron Consulting Group	Ck 180	z		8,873.08							\$0.00	\$194,916.39
10/31/08	Money Market Fund				126.06							\$0.00	\$186,043.31
11/03/08	Western Reserve Mini Storage	Ck 181	z		308.85							\$0.00	\$186,169.37
11/03/09	Sabic Innovative - purchase of intellectual property				3,077.00							\$0.00	\$185,860.52
11/16/08	Huron Consulting	Ck 182	z		4,454.69							\$0.00	\$188,937.52
11/28/08	Money Market Fund				57.77							\$0.00	\$184,482.83
12/08/08	Huron Consulting	Ck 183	z		1,752.96							\$0.00	\$184,540.60
12/08/08	BMC Group	Ck 184	z		23,036.33							\$0.00	\$182,787.64
12/23/09	Deposit - purchase of intellectual property				3,000.00							\$0.00	\$159,751.31
12/29/08	McGuire Woods	Ck 185	z		5,559.57							\$0.00	\$162,751.31
12/30/08	Western Reserve Mini Storage	Ck 186	z		617.70							\$0.00	\$157,191.74
12/31/08	Money Market Fund				32.60							\$0.00	\$156,574.04
01/12/09	BMC Group	Ck 187	z		2,285.29							\$0.00	\$156,606.64
01/23/09	US Trustee	Ck 188	z		8,125.00							\$0.00	\$154,321.35
01/23/09	McGuire Woods	Ck 189	z		1,426.16							\$0.00	\$146,196.35
01/30/09	Money Market Fund				11.51							\$0.00	\$144,770.19
02/02/09	Huron Consulting	Ck 190	z		3,102.96							\$0.00	\$144,781.70
02/02/09	BMC Group	Ck 191	z		2,520.62							\$0.00	\$141,678.74
02/02/09	Western Reserve Mini Storage	Ck 192	z		308.85							\$0.00	\$139,158.12
02/08/09	The UPS Store	Ck 193	z		66.00							\$0.00	\$138,849.27
02/22/09	Huron Consulting	Ck 194	z		2,131.28							\$0.00	\$138,783.27
02/27/09	Money Market Fund				0.28							\$0.00	\$136,651.99
03/01/09	Western Reserve Mini Storage	Ck 195	z		308.85							\$0.00	\$136,652.27
03/08/09	BMC Group	Ck 196	z		2,097.96							\$0.00	\$136,343.42
03/28/09	US Trustee	Ck 197	z		646.56							\$0.00	\$134,245.46
03/28/09	Huron Consulting	Ck 198	z		2,418.40							\$0.00	\$133,598.90
03/28/09	BMC Group	Ck 199	z		1,346.44							\$0.00	\$131,180.50
03/28/09	Western Reserve Mini Storage	Ck 200	z		308.85							\$0.00	\$129,834.06
04/30/09	BMC Group	Ck 201	z		2,042.17							\$0.00	\$129,525.21
04/30/09	Huron Consulting	Ck 202	z		1,771.00							\$0.00	\$127,483.04
04/30/09	McGuire Woods	Ck 203	z		2,362.50							\$0.00	\$125,712.04
04/30/09	Western Reserve Mini Storage	Ck 204	z		308.85							\$0.00	\$123,349.54
												\$0.00	\$123,040.69

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		Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance		
04/30/09	The UPS Store	Ck 205	z		66.00			\$122,974.69			\$0.00	\$122,974.69
05/29/09	US Trustee	Ck 206	z		648.52			\$122,326.17			\$0.00	\$122,326.17
05/29/09	Western Reserve Mini Storage	Ck 207	z		308.85			\$122,017.32			\$0.00	\$122,017.32
05/29/09	BMC Group	Ck 208	z		674.82			\$121,342.50			\$0.00	\$121,342.50
05/29/09	Huron Consulting	Ck 209	z		2,472.60			\$118,869.90			\$0.00	\$118,869.90
06/22/09	Mosier Industrial Services Corp	Ck 210	z		5,568.00			\$113,301.90			\$0.00	\$113,301.90
06/29/09	Western Reserve Mini Storage	Ck 211	z		308.85			\$112,993.05			\$0.00	\$112,993.05
06/29/09	BMC Group	Ck 212	z		561.86			\$112,431.19			\$0.00	\$112,431.19
06/29/09	Huron Consulting	Ck 213	z		3,646.00			\$108,785.19			\$0.00	\$108,785.19
07/24/09	Western Reserve Mini Storage	Ck 214	z		308.85			\$108,476.34			\$0.00	\$108,476.34
07/24/09	Huron Consulting	Ck 215	z		3,687.80			\$104,788.54			\$0.00	\$104,788.54
07/24/09	BMC Group	Ck 216	z		498.94			\$104,289.60			\$0.00	\$104,289.60
07/24/09	US Trustee	Ck 217	z		650.00			\$103,639.60			\$0.00	\$103,639.60
07/24/09	UPS Store	Ck 218	z		66.00			\$103,573.60			\$0.00	\$103,573.60
08/25/09	Western Reserve Mini Storage	Ck 219	z		308.85			\$103,264.75			\$0.00	\$103,264.75
08/25/09	Huron Consulting	Ck 220	z		4,497.00			\$98,767.75			\$0.00	\$98,767.75
08/25/09	BMC Group	Ck 221	z		1,836.86			\$96,930.89			\$0.00	\$96,930.89
09/30/09								\$96,930.89			\$0.00	\$96,930.89
11/25/09	Return of unclaimed funds				339,974.51			\$436,905.40			\$0.00	\$436,905.40
11/30/09	Money Market Fund				0.28			\$436,905.68			\$0.00	\$436,905.68
12/17/09	McGuire Woods	Ck 222	z		2,706.23			\$434,199.45			\$0.00	\$434,199.45
12/17/09	BMC Group	Ck 223	z		2,465.36			\$431,734.09			\$0.00	\$431,734.09
12/17/09	Treasurer, State of Ohio	Ck 224	z		23,254.53			\$408,479.56			\$0.00	\$408,479.56
12/17/09	Western Reserve Mini Storage	Ck 225	z		1,004.55			\$407,475.01			\$0.00	\$407,475.01
12/17/09	Mosier Industrial Services Corp	Ck 226	z		8,352.00			\$399,123.01			\$0.00	\$399,123.01
12/17/09	UPS Store	Ck 227	z		66.00			\$399,057.01			\$0.00	\$399,057.01
12/17/09	US Trustee	Ck 228	z		650.00			\$398,407.01			\$0.00	\$398,407.01
12/31/09	Return of unclaimed funds				9,795.60			\$408,202.61			\$0.00	\$408,202.61
12/31/09	Money Market Fund				1.81			\$408,204.42			\$0.00	\$408,204.42
01/06/10	Western Reserve Mini Storage	Ck 229	z		323.85			\$407,880.57			\$0.00	\$407,880.57
01/28/10	Warfield & Sender	Ck 230	z		3,194.00			\$404,686.57			\$0.00	\$404,686.57
01/28/10	BMC Group	Ck 231	z		1,092.12			\$403,594.45			\$0.00	\$403,594.45
01/28/10	Western Reserve Mini Storage	Ck 232	z		308.85			\$403,285.60			\$0.00	\$403,285.60
01/28/10	US Trustee	Ck 233	z		650.00			\$402,635.60			\$0.00	\$402,635.60
01/28/10	Mosier Industrial Services Corp	Ck 234	z		2,784.00			\$399,851.60			\$0.00	\$399,851.60
01/28/10	VOID	Ck 235	z					\$399,851.60			\$0.00	\$399,851.60
01/28/10	Peyton Cochran, Tax Collector	Ck 236	z		24,297.55			\$375,554.05			\$0.00	\$375,554.05
01/31/10	Adjustment - reverse Ohio Reserve Fund held by BMC				95,160.42			\$280,393.63			\$0.00	\$280,393.63
01/31/10	Money Market Fund				0.01			\$280,393.64			\$0.00	\$280,393.64
02/05/10	Huron Consulting	Ck 237	z		6,588.40			\$273,805.24			\$0.00	\$273,805.24
02/09/10	Warfield & Sender	Ck 238	z		2,598.00			\$271,207.24			\$0.00	\$271,207.24
02/16/10	Great Lake Record Center	Ck 239	z		1,040.00			\$270,167.24			\$0.00	\$270,167.24
02/19/10	Great Lake Record Center	Ck 240	z		950.00			\$269,217.24			\$0.00	\$269,217.24
02/28/10	Money Market Fund				0.01			\$269,217.25			\$0.00	\$269,217.25
03/13/10	Warfield & Sender	Ck 241	z		17,990.00			\$251,227.25			\$0.00	\$251,227.25
03/13/10	Huron Consulting	Ck 242	z		1,755.00			\$249,472.25			\$0.00	\$249,472.25
03/13/10	BMC Group	Ck 243	z		456.37			\$249,015.88			\$0.00	\$249,015.88
03/13/10	McGuire Woods	Ck 244	z		28,232.61			\$220,783.27			\$0.00	\$220,783.27
03/13/10	The UPS Store	Ck 245	z		66.00			\$220,717.27			\$0.00	\$220,717.27
03/30/10	Special Request Fee				2.69			\$220,714.58			\$0.00	\$220,714.58
04/30/10	US Trustee	Ck 246	z		650.00			\$220,064.58			\$0.00	\$220,064.58
04/30/10	Huron Consulting	Ck 247	z		630.00			\$219,434.58			\$0.00	\$219,434.58
04/30/10	VOID	Ck 248	z					\$219,434.58			\$0.00	\$219,434.58
04/30/10	The UPS Store	Ck 249	z		66.00			\$219,368.58			\$0.00	\$219,368.58

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			Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance	
04/30/10	Warfield & Sender	Ck 250 z		1,994.00			\$217,374.58				\$0.00	\$217,374.58
04/30/10	Mansfield Commerce Center	Ck 251 z		1,748.34			\$215,626.24				\$0.00	\$215,626.24
04/30/10	BMC Group	Ck 252 z		1,451.92			\$214,174.32				\$0.00	\$214,174.32
04/30/10	Money Market Fund						\$214,174.32				\$0.00	\$214,174.32
05/31/10	Huron Consulting	Ck 253 z		2,160.00			\$212,014.32				\$0.00	\$212,014.32
05/31/10	BMC Group	Ck 254 z		511.85			\$211,502.47				\$0.00	\$211,502.47
05/31/10	Warfield & Sender	Ck 255 z		2,602.80			\$208,899.67				\$0.00	\$208,899.67
05/31/10	McGuire Woods	Ck 256 z		1,144.58			\$207,755.09				\$0.00	\$207,755.09
06/29/10	Warfield & Sender	Ck 257 z		794.00			\$206,961.09				\$0.00	\$206,961.09
06/29/10	BMC Group	Ck 258 z		423.45			\$206,537.64				\$0.00	\$206,537.64
	VOID	Ck 259					\$206,537.64				\$0.00	\$206,537.64
07/17/10	The UPS Store	Ck 260 z		66.00			\$206,471.64				\$0.00	\$206,471.64
08/15/10	BMC Group	Ck 261		456.93			\$206,014.71				\$0.00	\$206,014.71
08/15/10	Warfield & Sender	Ck 262		1,094.00			\$204,920.71				\$0.00	\$204,920.71
08/15/10	McGuire Woods	Ck 263		512.00			\$204,408.71				\$0.00	\$204,408.71
	VOID	Ck 264					\$204,408.71				\$0.00	\$204,408.71
08/15/10	Huron Consulting	Ck 265		2,160.00			\$202,248.71				\$0.00	\$202,248.71
08/31/10	Money Market Fund		0.36				\$202,249.07				\$0.00	\$202,249.07
09/10/10	Western Reserve Mini Storage	Ck 266		738.45			\$201,510.62				\$0.00	\$201,510.62
09/10/10	Huron Consulting	Ck 267		2,444.00			\$199,066.62				\$0.00	\$199,066.62
09/10/10	BMC Group	Ck 268		1,575.00			\$197,491.62				\$0.00	\$197,491.62
09/28/10	Warfield & Sender	Ck 269		1,694.00			\$195,797.62				\$0.00	\$195,797.62
09/30/10	Money Market Fund		0.36				\$195,797.98				\$0.00	\$195,797.98
10/31/10	The UPS Store	Ck 270		66.00			\$195,731.98				\$0.00	\$195,731.98
10/31/10	BMC Group	Ck 271		1,031.72			\$194,700.26				\$0.00	\$194,700.26
10/31/10	Huron Consulting	Ck 272		4,140.00			\$190,560.26				\$0.00	\$190,560.26
10/31/10	Warfield & Sender	Ck 273		10,757.66			\$179,802.60				\$0.00	\$179,802.60
10/31/10	Money Market Fund		0.37				\$179,802.97				\$0.00	\$179,802.97
11/30/10	Money Market Fund		0.50				\$179,803.47				\$0.00	\$179,803.47
12/27/10	Huron Consulting	Ck 274		7,470.00			\$172,333.47				\$0.00	\$172,333.47
12/27/10	BMC Group	Ck 275		687.18			\$171,646.29				\$0.00	\$171,646.29
12/30/10	Warfield & Sender	Ck 276		3,344.00			\$168,302.29				\$0.00	\$168,302.29
12/31/10	Money Market Fund		0.51				\$168,302.80				\$0.00	\$168,302.80
01/27/11	Huron Consulting	Ck 277		855.00			\$167,447.80				\$0.00	\$167,447.80
01/27/11	BMC Group	Ck 278		329.45			\$167,118.35				\$0.00	\$167,118.35
01/27/11	VOID	Ck 279		-			\$167,118.35				\$0.00	\$167,118.35
01/27/11	Warfield & Sender	Ck 280		1,372.00			\$165,746.35				\$0.00	\$165,746.35
01/31/11	Money Market Fund		0.01				\$165,746.36				\$0.00	\$165,746.36
02/05/11	UPS Store	Ck 281		66.00			\$165,680.36				\$0.00	\$165,680.36
02/28/11	Money Market Fund		-				\$165,680.36				\$0.00	\$165,680.36
03/26/11	Huron Consulting	Ck 282		4,095.00			\$161,585.36				\$0.00	\$161,585.36
03/26/11	BMC Group	Ck 283		871.86			\$160,713.50				\$0.00	\$160,713.50
03/26/11	Warfield & Sender	Ck 284		11,954.07			\$148,759.43				\$0.00	\$148,759.43
03/31/11	Money Market Fund		-				\$148,759.43				\$0.00	\$148,759.43
04/30/11	Money Market Fund		-				\$148,759.43				\$0.00	\$148,759.43
05/14/11	UPS Store	Ck 285		66.00			\$148,693.43				\$0.00	\$148,693.43
05/14/11	BMC Group	Ck 286		344.45			\$148,348.98				\$0.00	\$148,348.98
05/17/11	Reclaimed Funds - Delta Dental		24,675.57				\$173,024.55				\$0.00	\$173,024.55
05/17/11	Reclaimed Funds - AT&T		169.35				\$173,193.90				\$0.00	\$173,193.90
05/31/11	Money Market Fund		-				\$173,193.90				\$0.00	\$173,193.90
06/24/11	Huron Consulting	Ck 287		1,665.00			\$171,528.90				\$0.00	\$171,528.90
06/24/11	Warfield & Sender	Ck 288		2,294.00			\$169,234.90				\$0.00	\$169,234.90
06/24/11	McGuireWoods	Ck 289		495.00			\$168,739.90				\$0.00	\$168,739.90
06/24/11	BMC Group	Ck 290		329.45			\$168,410.45				\$0.00	\$168,410.45

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			Receipts	Disbursements	Transfer	Fund Balance	Balance	Purchase	Fund Balance	Sale/Income	Balance	
06/24/11	Huron Consulting	Ck 291		675.00			\$167,735.45				\$0.00	\$167,735.45
06/30/11	USPS	Ck 292		44.00			\$167,691.45				\$0.00	\$167,691.45
06/30/11	Money Market Fund			-			\$167,691.45				\$0.00	\$167,691.45
07/31/11	Money Market Fund			-			\$167,691.45				\$0.00	\$167,691.45
08/31/11	Money Market Fund		0.09				\$167,691.54				\$0.00	\$167,691.54
09/15/11	Huron Consulting	Ck 293		7,245.00			\$160,446.54				\$0.00	\$160,446.54
09/15/11	McGuireWoods	Ck 294		2,137.50			\$158,309.04				\$0.00	\$158,309.04
09/15/11	BMC Group	Ck 295		354.65			\$157,954.39				\$0.00	\$157,954.39
09/15/11	Warfield & Sender	Ck 296		3,153.33			\$154,801.06				\$0.00	\$154,801.06
09/15/11	VOID	Ck 297		-			\$154,801.06				\$0.00	\$154,801.06
09/15/11	UPS Store	Ck 298		90.00			\$154,711.06				\$0.00	\$154,711.06
09/15/11	Money Market Fund		0.09				\$154,711.15				\$0.00	\$154,711.15
10/31/11	Money Market Fund		0.23				\$154,711.38				\$0.00	\$154,711.38
11/27/11	The UPS Store	Ck 299		90.00			\$154,621.38				\$0.00	\$154,621.38
11/27/11	Huron Consulting	Ck 300		6,525.00			\$148,096.38				\$0.00	\$148,096.38
11/27/11	McGuire Woods	Ck 301		1,913.50			\$146,182.88				\$0.00	\$146,182.88
11/27/11	BMC Group	Ck 302		1,326.90			\$144,855.98				\$0.00	\$144,855.98
11/27/11	Warfield & Sender	Ck 303		2,923.26			\$141,932.72				\$0.00	\$141,932.72
11/30/11	Money Market Fund		0.01				\$141,932.73				\$0.00	\$141,932.73
12/13/11	Huron Consulting	Ck 304		2,475.00			\$139,457.73				\$0.00	\$139,457.73
12/13/11	BMC Group	Ck 305		329.45			\$139,128.28				\$0.00	\$139,128.28
12/13/11	Warfield & Sender	Ck 306		2,598.84			\$136,529.44				\$0.00	\$136,529.44
12/13/11	Special Request Fee			2.88			\$136,526.56				\$0.00	\$136,526.56
12/20/11	Reclaimed Funds		45,892.31				\$182,418.87				\$0.00	\$182,418.87
12/31/11	Money Market Fund			-			\$182,418.87				\$0.00	\$182,418.87
01/31/12	Money Market Fund			-			\$182,418.87				\$0.00	\$182,418.87
02/29/12	Money Market Fund			-			\$182,418.87				\$0.00	\$182,418.87
03/31/12	Winter Harbor	Ck 308		2,835.00			\$179,583.87				\$0.00	\$179,583.87
03/31/12	BMC Group	Ck 309		1,040.35			\$178,543.52				\$0.00	\$178,543.52
03/31/12	VOID	Ck 310		-			\$178,543.52				\$0.00	\$178,543.52
03/31/12	Money Market Fund			-			\$178,543.52				\$0.00	\$178,543.52
04/30/12	Special Request Fee			80.00			\$178,463.52				\$0.00	\$178,463.52
04/30/12	Money Market Fund			-			\$178,463.52				\$0.00	\$178,463.52
05/31/12	The UPS Store	Ck 311		150.00			\$178,313.52				\$0.00	\$178,313.52
05/31/12	McGuire Woods	Ck 312		2,137.00			\$176,176.52				\$0.00	\$176,176.52
05/31/12	BMC Group	Ck 313		1,344.67			\$174,831.85				\$0.00	\$174,831.85
05/31/12	Winter Harbor	Ck 314		4,017.00			\$170,814.85				\$0.00	\$170,814.85
05/31/12	Money Market Fund			-			\$170,814.85				\$0.00	\$170,814.85
06/30/12	Money Market Fund			-			\$170,814.85				\$0.00	\$170,814.85
07/31/12	Money Market Fund			-			\$170,814.85				\$0.00	\$170,814.85
08/31/12	Money Market Fund			-			\$170,814.85				\$0.00	\$170,814.85
09/28/12	Warfield & Company	Ck 315		8,293.60			\$162,521.25				\$0.00	\$162,521.25
09/28/12	McGuireWoods	Ck 316		634.00			\$161,887.25				\$0.00	\$161,887.25
09/30/12	Money Market Fund			-			\$161,887.25				\$0.00	\$161,887.25

Book Balance - 9/30/12	\$161,887.25	\$161,887.25
O/S Checks	8,927.60	8,927.60
Bank Balance	\$170,814.85	\$170,814.85



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* 00044363 02 AV 0.350 02 TR 00185 X106PD04 000000

THE CEP LIQUIDATING TRUST
 SHAUN M. MARTIN LIQUIDATING TTEE
 D/T/D 08/01/2007
 ATTN RICHARD MACKESSY
 3465 S. ARLINGTON RD. STE 316
 AKRON OH 44312-5272



Your Investment Professional:

DAVID M. BRUNELLE
 (508) 799-2196

Account Number: 6BB-713584
 Statement Period: 07/01/2012 - 09/30/2012

Valuation at a Glance

	<u>This Period</u>
Beginning Account Value	\$170,814.85
Dividends/Interest	0.01
Change in Account Value	0.00
Ending Account Value	\$170,814.86
Estimated Annual Income	\$0.01

Asset Allocation

	<u>Last Period</u>	<u>This Period</u>	<u>% Allocation</u>	
Cash, Money Funds, and FDIC Deposits	170,814.85	170,814.86	100%	Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.
Account Total	\$170,814.85	\$170,814.86	100%	



Client Service Information

Your Investment Professional: N40

DAVID M. BRUNELLE
255 PARK AVE.
WORCESTER MA 01609-1976

Contact Information

Telephone Number: (508) 799-2196

Client Service Information

Service Hours: Weekdays 08:30 a.m. - 05:00 p.m. (EST)

Client Service Telephone Number: (781) 593-8565

Web Site: WWW.INVESTORSCAPITAL.COM

To report a lost or stolen Debit Card or check call (800) 547-7008, 24 hours a day, 7 days a week.

Investment Objective: INCOME

Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Investment Professional.

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT

Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT

Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
Money Market						
170,814.860	FEDERATED GOVERNMENT RESERVES	170,814.85	170,814.86	0.00	0.01	0.00%
Total Money Market		\$170,814.85	\$170,814.86	\$0.00	\$0.01	
Total Cash, Money Funds, and FDIC Deposits		\$170,814.85	\$170,814.86	\$0.00	\$0.01	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	\$170,814.86	\$0.00	\$0.01

To learn more about investing in bonds please visit www.investinginbonds.com. Geared toward many types of investorsâfrom beginners to experienced equity investors who are new to bonds to sophisticated bond investorsâInvestinginbonds.com is a unique source of bond price information and includes a wide variety of market data, news, commentary and information about bonds. The site is continually enhanced and updated with new data, information and features.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to

Statement Period: 07/01/2012 - 09/30/2012

Portfolio Holdings (continued)

www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.
The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Asset Management		
Asset Management Checks	0.00	0.00
Total Asset Management	\$0.00	\$0.00
Additional Transactions		
Fees	0.00	0.00
Dividends and Interest	0.01	0.01
Total Additional Transactions	\$0.01	\$0.01
Total Credits	\$0.01	\$0.01

Debits	This Period	Year-to-Date
Asset Management		
Asset Management Checks	0.00	-11,524.02
Total Asset Management	\$0.00	-\$11,524.02
Additional Transactions		
Fees	0.00	-80.00
Dividends and Interest	0.00	0.00
Total Additional Transactions	\$0.00	-\$80.00
Total Debits	\$0.00	-\$11,604.02



Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/31/12	MONEY MARKET FUND INCOME RECEIVED 314994245	FEDERATED GOVT RESRV				0.01	USD
Total Value of all Transactions						\$0.00	\$0.01

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Money Market	0.01	0.00	0.01	0.00
Total Dividends, Interest, Income and Expenses	\$0.01	\$0.00	\$0.01	\$0.00

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
FEDERATED GOVERNMENT RESERVES				
Account Number: 0000051601 Current Yield: 0.00% Activity Ending: 09/28/12				
06/30/12	Opening Balance		170,814.85	170,814.85
08/31/12	Deposit	INCOME REINVEST	0.01	170,814.86
09/28/12	Closing Balance			\$170,814.86
Total All Money Market Funds				\$170,814.86

Messages

Pursuant to The Securities Exchange Act of 1934, Pershing LLC (Pershing), a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis.

Pershing's June Statement of Financial Condition is now available. On June 30, 2012, Pershing's net capital of \$1.5 billion was 12.9% of aggregate debit balances and exceeded the minimum requirements by \$1.3 billion.

Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2012, Pershing's net capital of \$1.5 billion was 13.83% of aggregate debit balances and exceeded the minimum requirement by \$1.3 billion.

A copy of the June 30, 2012, Statement of Financial Condition is available at www.pershing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option #5.

If you have questions, contact your Account Manager or contact your Customer Service team and select option 5 for General Customer Service.

Your Corestone Account Agreement has been amended and the following is the notice to you of such amendment which you should review and maintain for your records:

Page 4 of 10

Statement Period: 07/01/2012 - 09/30/2012

Messages (continued)

NOTICE OF AMENDMENT TO CORESTONE ACCOUNT AGREEMENT

This is a notice of amendment of certain portions of sections to your Corestone Account Agreement with Pershing LLC. The following portions of sections are hereby amended to read as follows currently scheduled to be effective June 23, 2012:

A.1. Roles of Service Providers

Pershing

Pershing is carrying Your accounts as clearing broker pursuant to a clearing agreement with Your Introducing Financial Organization (defined below). Pershing has arranged with Administrator (defined below) and Bank (defined below) for the issuance of Check writing privileges and Visa cards to its Introducing Financial Organizations' clients. In addition, Pershing has arranged with the Administrator and CheckFree (defined below) for the provision of BillSuite Services (defined below) to its Introducing Financial Organizations' clients.

A.4 Definitions

"Administrator" refers to BNY Mellon Investment Servicing Trust Company, which is responsible for administration of the Banking Services provided hereunder.

"Bank" refers to PNC Bank, N.A. for all terms and issues related to the Visa debit card, and refers to The Bank of New York Mellon for all terms and issues related to Checks.

"I, Me, My, You, Your, Accountholder" refers to all of the individual Account owners and persons who sign the Corestone Account Application for individual and joint Accounts and refers to the corporation, limited liability company, partnership, trust or other legal entity for corporate, limited liability company, partnership, trust or other legal entity Accounts.

C.3.h. Stop Payments - Checks

Oral and Written Orders. You are generally permitted to make stop payment orders orally; telephone is the most common medium used. To request a stop payment, please call Us at 800-547-7008. When You place Your stop-payment order, the service representative will tell You what information is needed to stop payment. If You provide Your stop payment order in writing, You must send it to the address on page 2 of this Agreement. You must provide the following information to Us:

Checkwriting number; amount; Check number; name of party to be paid; date; and Your name and address. **The stop payment information must be correct and exact. If Your information is not correct and exact, We cannot assure You that Your order will be effective, and We will not be responsible for failure to stop payment.**

C.12. Governing Law

Except where specified, this Banking Services Agreement with respect to PNC Bank, N.A. shall be governed by the laws of the Commonwealth of Pennsylvania and the United States of America, as amended and with respect to The Bank of New York Mellon shall be governed by the laws of the State of New York and the United States of America, as amended.

D.13. Errors and Questions

In case of errors or questions about Your transactions, You should as soon as possible notify Administrator via one of the following:



Messages (continued)

> Telephone Us at 1-866-458-7585 during customer service hours; and/or,

> Write Us at:

BNY Mellon Investment Servicing Trust Company
BTS Operations
701 Market Street
3rd Floor AIM 199-3517
Philadelphia, PA 19106

D.19. Service Termination, Cancellation, or Suspension

In the event You wish to cancel the BillSuite Services, please contact Your Introducing Financial Organization directly, or You may contact Customer Service via one of the following:

> Telephone Us at 1-866-458-7585 during customer service hours; and/or,

> Write Us at:

BNY Mellon Investment Servicing Trust Company
BTS Operations
701 Market Street
3rd Floor AIM 199-3517
Philadelphia, PA 19106

All other sections and portions of sections of the Corestone Account Agreement not amended herein are unchanged and remain in full force and effect.

Dated: May 31, 2012

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Investors Capital is pleased to inform you of a new service that we are providing our Investors to assist them in automatically and electronically filing for securities class action claims. The service analyzes your account for securities class action settlements for which you may be eligible to make claims and will file claims electronically.

There have been approximately 1,200 securities class action cases over the past ten years, with over \$55 billion in settlements. Most investors do not file claims or do so incorrectly. With this service, we believe claims can be filed on your behalf quickly and easily, and all recovered funds will be deposited automatically back into your account.

We are providing this service through an unaffiliated third party, Financial Recovery Technologies LLC, (FRT), a leader in the field. FRT does not charge an upfront fee but is compensated on a percentage-of-recovery success fee basis.

Investors Capital will be commencing the program with FRT starting on April 1, 2012 and will update you periodically as claims settlements are received. If you prefer not to participate in this program, please advise us at any time by calling the operations department at 800-550-0998 and we will be sure to remove your account from the program.

PRIVACY NOTICE:

Investors Capital Holdings and its affiliates, including Investors Capital Corporation are committed to maintaining the trust and confidence of our customers. We want you to understand how we protect your privacy when we collect and use your nonpublic personal information ("personal information") and measures we take to safeguard your personal information. We maintain physical, electronic and procedural

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230 BROADWAY
 LYNNFIELD, MA 01940-2320
 781-593-8565
 FAX 781-477-9756

NORTH POINTE
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Statement Period: 07/01/2012 - 09/30/2012

Messages (continued)

safeguards that comply with applicable law to protect your personal information. We train our employees in the proper handling of personal information. We may disclose your personal information as permitted or required by law.

We may use a "cookie" in order to provide better service to you, facilitate use of our website, track usage of the website, and address security issues. We do not sell, share or disclose your personal information to non-affiliated third-parties, except personal information we share with other financial institutions pursuant to joint marketing agreements.

If your financial advisor terminates his or her relationship with us and moves to another brokerage or investment advisory firm ("New Firm"), we or your financial advisor may disclose your personal information to the New Firm, unless you instruct us not to. You may request that we and/or your financial advisor limit the information that is shared with the New Firm by filling out the Privacy Choices Notice, which is provided on the Firm's website at investorscapital.com. Some state laws require affirmative consent from you before we provide your personal information to the New Firm.

If your relationship with us ends, we will continue to treat personal information in accordance with this Privacy Notice.

Our mailing address for purposes of this Privacy Notice is Investors Capital Corporation, Compliance Dept. 230 Broadway East, Lynnfield, MA 01940. Questions related to the Firm's privacy policy may also be sent to compliance@investorscapital.com. The Firm's full privacy policy may be accessed on the Firm's website at www.investorscapital.com.

REMINDER: When making a deposit to this account checks should be made payable to "Pershing LLC" and include the account number on the check to be deposited. Checks made payable to Investors Capital Corporation, Investors Capital, Investors Capital Holdings, ICC or any variation thereof, will not be accepted. **Additionally, please note that under no circumstances should you give cash to or make any checks payable to your financial advisor or to your financial advisor's business name or DBA name.** If you should have any questions, please contact your financial advisor or the Investors Capital Operations Department at 800-550-0998.

Effective June 1, 2010, each paper brokerage account statement and each trade for which a confirmation is produced and delivered in paper format became subject to a separate paper surcharge of \$1.00. **To avoid these surcharges, you will need to turn off paper delivery for your account(s) by applying for online access to account information.** There are two options available to you in order to avoid paying these charges:

- A. Apply for online access to REAL TIME information about your account(s) by going to www.investorscapital.com, or
- B. Apply for online access only to your statements and confirms by going to www.mydocumentsuite.com.

We strongly recommend that you use Option A applying at www.investorscapital.com as this application process is much faster and simpler and also results in access to real time account information. There is no application fee in either case.

Option A. Apply for real time access through Investors Capital (RECOMMENDED):

Go to the www.investorscapital.com, and click on the link in the upper right hand corner "Apply for Online Access".



Messages (continued)

A popup screen will appear, input all required information (account number, mother maiden name, login id, etc.), and hit the submit button.

Within 48-72hours the client will receive an email with their login id and temporary password.

Go back to our website www.investorscapital.com, and click on the link "Client Login" (located in the upper right hand corner of the web page).

Option B. Apply access only to statements and confirms by enrolling in mydocumentsuite.com :

1. Go to www.mydocumentsuite.com
2. In the box labeled Financial Organization Number, enter "6BB."
3. Click "Register Now" and follow these steps:
 - ú Review and agree to the terms and conditions regarding the use of the web site.
 - ú Provide user information, including a valid email address.
 - ú Verify identity to help ensure the safety and security of your information.
 - ú Create a user ID and secure password.

An email will be sent to the email address provided. Click the link inside the email that you receive to complete enrollment. You will be redirected to mydocumentsuite.com and can then log in with your self-created user ID and secure password that you established in a previous step above.

For your protection, you must select and answer a set of challenge questions and choose an image and personal phrase the first time you log in. You will be presented with a list of accounts associated with your SSN. All accounts will default to electronic delivery. **You need to click "Save" to confirm all choices.**

- ú You will receive a letter in the mail and an email to confirm your choices. After this, you will only receive statements or confirms electronically. It takes five business days for delivery preferences to be confirmed.
- ú You can view historical data and view, print or download your statements and trade confirmations. You will receive an email whenever a new document is available to view online.

You may change your decision at any time. To resume receiving paper copies of statements or trade confirmations, simply change your delivery preferences. This will subject you again to the paper format surcharges.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.

Page 8 of 10

Account Number: 6BB-713584

THE CEP LIQUIDATING TRUST

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#1 Brokerage Statement,
2009, 2010
DALBAR RATED

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC



INVESTORS CAPITAL CORPORATION
MEMBER FINRA SIPC

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LYNNFIELD, MA 01940-2320
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Statement Period: 07/01/2012 - 09/30/2012

Important Arbitration Disclosures and Important Arbitration Agreement *(continued)*

Important Arbitration Disclosures *(continued)*

- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.



TERMS AND CONDITIONS

GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.

13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT PERSHING.COM.

14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY ONLY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL. YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS; THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE; THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

PERSHING MAY CAPTURE AND STORE DATA ABOUT YOU SUCH AS YOUR FINANCIAL INFORMATION AND INVESTMENT OBJECTIVES. HOWEVER, PERSHING IS NOT REVIEWING THIS INFORMATION AND EVALUATING WHETHER YOUR INVESTMENTS COMPORT WITH YOUR FINANCIAL STATUS AND OBJECTIVES AND YOU HEREBY RELEASE PERSHING FROM ANY LIABILITY TO DO SO. SIMILARLY, PERSHING MAY CAPTURE AND STORE INFORMATION ABOUT WHETHER A BROKER OR AN INTRODUCING FIRM IS REGISTERED IN A GIVEN STATE. YOU HEREBY RELEASE PERSHING FROM ANY LIABILITY TO REVIEW THIS DATA OR TO EVALUATE WHETHER A PARTICULAR SECURITY IS REGISTERED OR EXEMPT FROM REGISTRATION IN YOUR STATE.

THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC*). PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION. IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS. FOR MORE DETAILED INFORMATION PLEASE VISIT: WWW.PERSHING.COM/STRENGTH.HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE AND AN ACCOUNT STATED UNLESS YOU ADVISE PERSHING IN WRITING OF ANY OBJECTION TO IT WITHIN TEN DAYS AFTER RECEIPT. A YOU ARE ADVISED TO REPORT PROMPTLY ANY INACCURACY OR DISCREPANCY IN YOUR ACCOUNT (INCLUDING UNAUTHORIZED TRADING) TO YOUR FINANCIAL ORGANIZATION AND PERSHING, THE CUSTODIAN OF YOUR ACCOUNT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING

LLC; LEGAL DEPARTMENT; ONE PERSHING PLAZA; JERSEY CITY, NEW JERSEY 07399; [201] 413-3330. ERRORS AND OMISSIONS EXCEPTED.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

WRAP ACCOUNT CUSTOMERS WHO ELECTED NOT TO RECEIVE IMMEDIATE CONFIRMATION OF TRANSACTIONS

THE FOLLOWING TERMS AND CONDITIONS ARE APPLICABLE ONLY IF YOUR ACCOUNT IS AN INVESTMENT ADVISORY ACCOUNT AND THE TRANSACTION CONFIRMATIONS ARE NOT SENT TO YOU (SENT ONLY TO YOUR FIDUCIARY) PURSUANT TO YOUR INSTRUCTION:

1. THE FOLLOWING INFORMATION WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR FINANCIAL INSTITUTION ("INTRODUCING FIRM") WITH RESPECT TO ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU: THE MARKET UPON WHICH ANY TRANSACTION WAS EXECUTED; THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED; THE NAME OF THE PERSON FROM WHOM ANY SECURITY WAS PURCHASED OR TO WHOM SUCH SECURITY WAS SOLD; THE SOURCE AND AMOUNT OF OTHER COMMISSIONS RECEIVED IN CONNECTION WITH ANY TRANSACTION; AND A COPY OF THE TRANSACTION CONFIRMATION.
2. YOUR INTRODUCING FIRM EFFECTED EACH TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU AS YOUR AGENT.
3. YOUR INTRODUCING FIRM DOES NOT RECEIVE ANY PAYMENT FOR ORDER FLOW FOR ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU.
4. CALL FEATURES MAY EXIST FOR SECURITIES. CALL FEATURES FOR FIXED INCOME SECURITIES MAY AFFECT YIELD. COMPLETE INFORMATION WILL BE PROVIDED UPON REQUEST.
5. IF ANY TRANSACTION INVOLVES AN ASSET-BACKED SECURITY, INCLUDING A MUNICIPAL COLLATERALIZED MORTGAGE OBLIGATION, WHICH REPRESENTS AN INTEREST IN OR IS SECURED BY A POOL OF RECEIVABLES OR OTHER FINANCIAL ASSETS THAT ARE SUBJECT CONTINUOUSLY TO PREPAYMENT, THEN THE ACTUAL YIELD OF SUCH SECURITY MAY VARY ACCORDING TO THE RATE AT WHICH THE UNDERLYING RECEIVABLES OR OTHER FINANCIAL ASSETS ARE PREPAID. INFORMATION CONCERNING THE FACTORS THAT AFFECT YIELD (INCLUDING AT A MINIMUM ESTIMATED YIELD, WEIGHTED AVERAGE LIFE, AND THE PREPAYMENT ASSUMPTIONS OF UNDERLYING YIELD) WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR INTRODUCING FIRM.
6. THE RATINGS THAT APPEAR IN THE DESCRIPTION OF SOME FIXED INCOME SECURITIES HAVE BEEN OBTAINED FROM RATINGS SERVICES WHICH PERSHING BELIEVES TO BE RELIABLE; HOWEVER, PERSHING CANNOT GUARANTEE THEIR ACCURACY. SECURITIES FOR WHICH A RATING IS NOT AVAILABLE ARE MARKED "UNRATED."

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT